Transcript of June 19, 2001 Meeting

Please Note: This transcript has not been edited and CMS makes no representation regarding its accuracy.

00001 1 2	
3	
4	HEALTH CARE FINANCING ADMINISTRATION
5	Medicare Coverage Advisory Committee
6	Meeting of the Diagnostic Imaging Panel
7	
8	
9	
10	
11	June 19, 2001
12	
13	
14	Baltimore Convention Center
15	One West Pratt Street
16	Baltimore, Maryland
17	
18	
19	
20	
21	
22	
23	
24	
25	
00002	
1	Panelists
2	
3	Chairperson
4	Frank J. Papatheofanis, MD, PhD, MPH
5	Vice-Chairperson
6	Barbara J. McNeil, MD, PhD
7	·
8	Voting Members
9	Carole R. Flamm, MD, MPH

10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25	Jeffrey C. Lerner, PhD Michael Manyak, MD Donna C. Novak, ASA, MAAA, MBA Steven Guyton, MD Industry Representative Michael S. Klein, MBA Guests Arnold J. Krubsack, PhD, MD Jeff Abrams, MD HCFA Liaison Sean R. Tunis, MD, MSc Executive Secretary Janet Anderson	
00003		
1	TABLE OF CONTENTS	
2		Page
3	Opening Remarks	_
4	Janet Anderson	5
5	Sean R. Tunis, MD, MSc	7
6		
7	Charge to the Panel	0
8	Frank J. Papatheofanis, MD, PhD, MPH	9
9		
10 11	Presentation of questions for FDG-PET and br	east
12	cancer Mitchell Burken, MD	10
13	MICCHEIL BULKEH, MD	10
$\frac{13}{14}$	Presentation of technology assessment	
15	David Samson	13
16	Davia Samboli	13
17	Scheduled Public Comments	
18	Sanjiv Sam Gambhir, MD, PhD	78
19	F. David Rollo, MD, PhD, FACC, FACNP	97
20	Steven Larson, MD	120
21	, , , , , , , , , , , , , , , , , , ,	
22	Lunch	132
23		
24		
25		

00004		
1	TABLE OF CONTENTS (Continued)	
2		
3	Open Public Comments	134
4		
5	Open Panel Deliberation	151
6		
7	Further Discussion and Final Panel	
8		
9	Recommendations	201
10		
11	Closing remarks	289
12		
13	Adjournment	291
14		
15		
16		
17		
18		
19		
20		
21 22		
23		
24		
25		
23		
00005		
1	PANEL PROCEEDINGS	
2	(The meeting was called to order at	
3	8:35 a.m., Tuesday, June 19, 2001.)	
4	MS. ANDERSON: Good morning and	
5	welcome, committee chairperson, members and	
6	guests. I am Janet Anderson, executive secreta	ary
7	of the Diagnostic Imaging Panel of the Medicare	=
8	Coverage Advisory Committee. The committee is	
9	here today to hear and discuss presentations	
10	regarding the diagnosing and staging of breast	
11	cancer using positron emission tomography scann	ning
12	technology.	
13	In evaluating the evidence presented	d to
14	you today, HCFA encourages the panel to conside	er

- 15 all relevant forms of information, including but
- 16 not limited to professional society statements,
- 17 clinical guidelines, and other testimony you may
- 18 hear during the course of this panel meeting.
- 19 The following is for the record: For
- 20 today's panel meeting, voting members present are:
- 21 Barbara McNeil, Carole Flamm, Jeffrey Lerner,
- 22 Michael Manyak, Donna Novak, Steven Guyton.
- 23 Dr. Frank Papatheofanis will vote in the event of
- 24 a tie. A quorum is present. No one has been
- 25 recused because of conflicts of interest.

- 1 The following announcement addresses
- 2 conflicts of interest issues associated with this
- 3 meeting and is made part of the record to preclude
- 4 even the appearance of impropriety. The conflict
- 5 of interest statutes prohibit special government
- 6 employees from participating in matters that could
- 7 affect their or their employer's financial
- 8 interests. To determine if any conflict existed,
- 9 the Agency reviewed all financial interests
- 10 reported by the committee participants. The
- 11 Agency has determined that all members may
- 12 participate in the matters before the committee
- 13 today.
- With respect to all other participants,
- 15 we ask that in the interest of fairness that all
- 16 persons making statements or presentations
- 17 disclose any current or previous financial
- 18 involvement with any firm whose products or
- 19 services they may wish to comment on. This
- 20 includes direct financial investments, consulting
- 21 fees and significant institutional support.
- I would now like to turn the meeting over to
- 23 Dr. Sean Tunis, and Chairman Dr. Frank
- 24 Papatheofanis, who will ask the committee members
- 25 to introduce themselves and to disclose for the

- 1 record any involvement with the topics to be
- 2 presented. Dr. Tunis.
- 3 DR. TUNIS: Thanks, Janet. Just very

- 4 briefly, I wanted to thank the panelists for
- 5 attending today and especially for all of the
- 6 extensive preparatory work I'm sure they have all
- 7 done in reading the material for this meeting,
- 8 which was quite voluminous.
- 9 And other than introducing myself as
- 10 the director of the coverage group and the federal
- 11 liaison to this panel, I just want to continue
- 12 around the table and continue introductions.
- DR. PAPATHEOFANIS: I'm Frank
- 14 Papatheofanis. I am on the faculty of the
- 15 University of California at San Diego, and I am
- 16 going to be chairing the meeting today.
- DR. BURKEN: I am Mitch Burken. I am a
- 18 medical officer with Sean's group in coverage and
- 19 I am also an acting division director in medical
- 20 and surgical services.
- 21 DR. MCNEIL: I'm Barbara McNeil from
- 22 Harvard Medical School and the Brigham and Women's
- 23 Hospital.
- DR. LERNER: I'm Jeffrey Lerner. I am
- 25 vice president for strategic planning at ECRI, and

- 1 I direct our evidence based practice center, as
- 2 designated by AHRQ.
- 3 DR. MANYAK: I am Michael Manyak,
- 4 professional and chairman of urology at the George
- 5 Washington University in Washington, D.C.
- 6 MS. NOVAK: I am Donna Novak, I am a
- 7 principal with Marsh McClennan Enterprise Risk
- 8 Consulting.
- 9 DR. GUYTON: I'm Steve Guyton. I'm a
- 10 cardiothoracic surgeon at the Virginia Mason
- 11 Medical Center at Seattle.
- DR. KRUBSACK: I am Arnold Krubsack,
- 13 medical director for Medicare Part B in Indiana,
- 14 with Administar Federal.
- DR. FLAMM: I'm Carole Flamm. I am
- 16 senior consultant at the Blue Cross/Blue Shield
- 17 Association Technology Evaluation Center, and I
- 18 was a co-author on the technology assessment
- 19 report on PET that was done as a task order

- 20 through the AHRQ evidence based practice center
- 21 program.
- DR. ABRAMS: Hi. I'm Jeff Abrams, I'm
- 23 a medical oncologist and I work in the breast
- 24 cancer area at the National Cancer Institute.
- MR. KLEIN: Mike Klein, president and

- 1 CEO of R2 Technology, computer aided detection for
- 2 medical imaging, previously general manager for
- 3 oncology for Varian Medical Systems.
- DR. PAPATHEOFANIS: Great. Well, good
- 5 morning and welcome to panelists and also to the
- 6 audience. As you all know, this is the first time
- 7 the Diagnostic Imaging panel actually will be
- 8 reviewing and considering a topic in the two-year
- 9 interval since we all met. You probably have
- 10 tracked the Executive Committee and some of the
- 11 other panels. The Executive Committee has met at
- 12 least half a dozen times and has considered
- 13 numerous topics. Approximately half of the
- 14 panels, I think, have yet to meet or are about to
- 15 meet. And we're just kicking off, so welcome.
- 16 As you know, you were chosen to serve
- 17 on this panel because of various backgrounds and
- 18 various levels of expertise that you bring to the
- 19 table, and what hopefully Barbara, the co-chair,
- 20 and I would like to see in our deliberations today
- 21 is expressions of that expertise, and a lively
- 22 discussion.
- Obviously, it's a very contentious or
- 24 potentially contentious topic that we will be
- 25 reviewing. The research that has been done and

- 1 the background information you have been provided
- 2 is very thorough, it's technical, and it's
- 3 difficult to appreciate, and so hopefully there
- 4 will be opportunities for all of you to ask
- 5 questions and seek clarification during this
- 6 meeting.
- 7 That's all I would like to say at this
- 8 point, and I'm going to turn the mike over to

- 9 Mitch Burken.
- DR. BURKEN: I think the way to start
- 11 the day off is to talk about what questions are
- 12 going to be posed to the panel, and let's get
- 13 right to it.
- There is a framework, kind of a
- 15 two-part framework that we're going to be using
- 16 for all the questions, and the first part of this
- 17 two-prong framework is to ask, is there adequate
- 18 evidence to, that PET improves health outcomes
- 19 under a particular situation? And then once we
- 20 have answered that first question, we will go to a
- 21 second question and we'll say, if so, what is the
- 22 size of the effect, and there is a seven-point
- 23 scale that the Executive Committee has helped lay
- 24 out for us, starting from not effective; up to
- 25 less effective without advantages; less effective

- 1 with advantages, and those advantages might be
- 2 convenience or tolerability; then going up to as
- 3 effective without advantages, or with advantages;
- 4 then more effective; and then a breakthrough
- 5 technology.
- 6 So with that in mind, again, that being
- 7 the general framework which we have used
- 8 throughout several panels, we discussed PET always
- 9 in the context of a comparative technology. So in
- 10 this first question, we compare PET to biopsy when
- 11 there is an abnormal mammogram or palpable mass,
- 12 and obviously in this situation, there is
- 13 presumably a high risk of malignancy, so biopsy is
- 14 considered an alternative strategy.
- In the second question, we take another
- 16 situation where we have a lower suspicion of
- 17 cancer, and we look at the difference between PET
- 18 and short interval mammographic follow-up.
- In the third scenario or the third
- 20 question, we look to see whether PET has a role in
- 21 staging as compared to axillary lymph node
- 22 dissection, and once we have addressed that issue,
- 23 we find another question that opens up because
- 24 sentinel node biopsy has been an emerging

- 1 biopsy versus PET, you know, versus axillary lymph
- 2 node dissection, something we ought to consider.
- 3 The fourth scenario we have is looking
- 4 at PET versus standard staging tests for detecting
- 5 locoregional recurrence or distant mets.
- And finally, the fifth question we ask
- 7 is whether PET is effective or is there adequate
- 8 evidence that PET improves health outcomes in
- 9 determining tumor response to treatment compared
- 10 to the use of conventional response criteria.
- 11 Are there any questions about the
- 12 questions? Okay.
- DR. PAPATHEOFANIS: Great. Thank you,
- 14 Dr. Burken. We are going to follow the agenda
- 15 that has been posted and I think that we will just
- 16 move along to the presentation of the technology
- 17 assessment by David Samson.
- The other framework in addition to the
- 19 one that Mitch outlined is the one that you have
- 20 in your packets and I think is available at the
- 21 desk in front, and that's the recommendation from
- 22 the Executive Committee for evaluating
- 23 effectiveness, which is an important document that
- 24 the Executive Committee has been framing for the
- 25 past 18 months or so, so please keep this in mind

- 1 in our discussions as well. So, welcome, David.
- 2 MR. SAMSON: Thank you for inviting me.
- 3 I am associate director of the Technology
- 4 Evaluation Center for the Blue Cross and Blue
- 5 Shield Association, and as Dr. Flamm pointed out,
- 6 we are an evidence based practice center
- 7 designated by AHRQ.
- 8 The assessment that we consider today
- 9 can be broken down into several parts, and these
- 10 are the points I will be making. I will be going
- 11 over first, the review methods that we used; then
- 12 I will discuss the indications, the specific ones
- 13 that we considered, the first being the initial

- 14 diagnosis of breast canter; second, initial
- 15 staging of axillary lymph nodes; third is
- 16 detection of locoregional recurrence of distant
- 17 metastasis recurrence; and the fourth being
- 18 evaluating response to therapy. I will then
- 19 finish up with the conclusions.
- 20 All right. Turning first to the review
- 21 methods, the following topics had to do with
- 22 review methods. First, I will go over what our
- 23 data abstraction elements were, I will describe
- 24 the study quality characteristics, I will discuss
- 25 meta-analysis, the search methods, and the study

- 1 selection criteria.
- 2 Here are the data abstraction elements
- 3 that we looked at, first the sample size, and we
- 4 also looked at the institution that the study was
- 5 performed at and the dates of the study, whether
- 6 the study design was prospective, retrospective or
- 7 unclear, what patient selection criteria were
- 8 described, the mean patient age, and the tumor
- 9 size and T stage distribution, and the technique
- 10 by which PET was interpreted, whether it was
- 11 qualitative, quantitative, sometimes
- 12 semiguantitative, and also whether attenuation
- 13 correction was performed.
- 14 Some additional data abstraction
- 15 elements included whether verification bias was
- 16 avoided. By this we were looking for consecutive
- 17 series of patients, that qualified as a yes. If
- 18 there was no information about whether the
- 19 patients were selected consecutively, we in most
- 20 cases put a question mark to indicate that it was
- 21 uncertain.
- We also looked at whether the PET
- 23 imaging were read blind to the reference standard
- 24 evaluation, whether the reference standard was
- 25 read blind with respect to the PET image. We also

- 1 gave details about the reference standard test
- 2 itself, whether it was histologic or had to do

- 3 with another imaging procedure with follow-up. We
- 4 looked at the unit of analysis, whether it was the
- 5 lesion, perhaps a region, an anatomic region for
- 6 the patient. Then we gave the diagnostic
- 7 performance data, the joint events of the
- 8 reference standard and the test result, whether
- 9 true positive, false negative, false positive or
- 10 true negative.
- 11 And then the prevalence data. And
- 12 throughout the presentation, when I say
- 13 prevalence, that can be used interchangeably with
- 14 the pretest probability of disease.
- 15 Here are the study quality
- 16 characteristics that we looked at, and I'm aware
- 17 that there are other, that there are a variety of
- 18 sources that you can use to document study quality
- 19 characteristics. The sources that we relied on
- 20 were the Cochrane collaboration methods group, and
- 21 a landmark paper from 1994 in the Annals of
- 22 Internal Medicine by Ehrlich et al., that were
- 23 guidelines for doing systematic reviews on
- 24 diagnostic tests and also meta-analysis.
- So one of the key things that we looked

- 1 at was whether there was a valid reference
- 2 standard, again, whether tests were interpreted
- 3 blindly with respect to the reference standard and
- 4 vice versa, whether verification bias was avoided,
- 5 and verification bias having to do with whether
- 6 the test results influence performance of the
- 7 reference standard. We wanted a clear description
- 8 of the spectrum of disease in the study sample,
- 9 clear description of other patient
- 10 characteristics, clear description of the test
- 11 performance, interpretation and reproducibility
- 12 aspects, whether the study design was prospective
- 13 or introspective, and whether there was a valid
- 14 design for comparing the index test with
- 15 alternative tests.
- 16 These are the criteria for what we
- 17 considered a higher quality study. It had to
- 18 possess three qualities: First, had to be a

- 19 prospective design, had to avoid verification
- 20 bias, and the study had to use blind
- 21 interpretation of the PET with respect to the
- 22 reference standard. These three characteristics
- 23 were intended to be used for sensitivity analyses
- 24 and quantitative data synthesis, and I will get
- 25 into that more later.

- 1 Meta-analysis was performed in this
- 2 assessment. Why do meta-analysis? First of all,
- 3 you can overcome small sample sizing in studies by
- 4 pooling them, you can come up with point estimates
- 5 for diagnostic performance, and you can
- 6 systematically assess the influence of important
- 7 variables that may not influence diagnostic test
- 8 performance, for example, the testing techniques,
- 9 patient factors and study quality.
- 10 There are several techniques in doing
- 11 meta-analysis of diagnostic tests. You can
- 12 perform a conventional random effects model, or a
- 13 fixed effects model meta-analysis. Disadvantages
- 14 of doing that is that they tend, they do not
- 15 account for the dependence between sensitivity and
- 16 specificity, and therefore, tend to underestimate
- 17 them.
- 18 Another approach is to use the summary
- 19 receiver operating characteristic curve or ROC
- 20 curve. It's important when you are using a
- 21 summary ROC curve approach to keep in mind whether
- 22 you're doing it on a test that was interpreted
- 23 qualitatively versus quantitatively; if it's a
- 24 qualitative test, then you have to be careful
- 25 about selecting a point on the summary ROC curve.

- 1 You can produce summary ROC curves by either
- 2 nonweighting or weighting by the inverse of the
- 3 variance. Waiting has the advantage of giving
- 4 more attention to larger studies, and again,
- 5 selecting a representative point on the summary
- 6 ROC curve has to be done with great caution,
- 7 especially when you have a qualitatively

- 8 interpreted test.
- 9 Here are the search methods that we
- 10 used. We did our electronic search of two
- 11 databases, the MEDLINE PubMed, and CANCERLIT
- 12 databases. Our search strategy began by looking
- 13 at radionuclide imaging as a mesh term. It was
- 14 exploded to get all subordinate mesh terms. And
- 15 we also looked at the word positron and PET as
- 16 text words, we have the intersection of those two
- 17 phrases in that search strategy. And then we also
- 18 kept the intersection with neoplasms.
- 19 All of these references were loaded
- 20 onto a ProCite database, and the search for breast
- 21 cancer. The studies that we looked at were
- 22 limited to these published in English. The
- 23 electronic search was conducted from January of
- 24 '66 through March of 2001. We also looked at
- 25 additional sources, including reference lists of

- 1 key articles, current content, and expert peer
- 2 reviews.
- 3 The total retrieval from this search
- 4 strategy was 163 references.
- 5 Here are our study selection criteria.
- 6 First we were looking for a study that was
- 7 published in a peer reviewed journal as a full
- 8 article, not a conference abstract. If there were
- 9 multiple reports from a single institution, we
- 10 limited the inclusion of studies to the largest
- 11 series for the purpose of data synthesis. We
- 12 wanted at least 10 patients with breast cancer,
- 13 not mixed in with other types of tumors. We
- 14 wanted tomographic imaging of FDG, not planar.
- 15 And we had to have a correlation of the PET
- 16 results with reference standard results for both
- 17 diseased and non-diseased patients. There were
- 18 additional indication specific criteria that we
- 19 applied.
- When we applied these general criteria,
- 21 a total of 32 studies were included.
- 22 All right. The first indication that
- 23 we reviewed had to do with initial diagnosis of

- 24 breast cancer, and there are actually to
- 25 subindications, the first having to do with

- 1 obviating biopsy for a suspicious mammogram or a
- 2 palpable mass, and the second selecting biopsy for
- 3 a patient with a low suspicious mammogram.
- 4 For all of the indications that I will
- 5 be reviewing, I will first point to some clinical
- 6 issues, then state the problem formulation, and
- 7 then discuss the evidence review and analysis.
- 8 All right. I would like to distinguish
- 9 these first two roles for PET in initial diagnosis
- 10 of breast cancer. 1-A is a patient with a
- 11 suspicious mammogram or palpable mass and the idea
- 12 is that if PET is negative, that patient might be
- 13 able to avoid undergoing a biopsy. Now, the
- 14 patients who do have a suspicious mammogram or
- 15 palpable mass comprise the upper segment of the
- 16 biopsy population. The lower segment would be
- 17 patients who were referred for biopsy for an
- 18 indeterminate mammogram usually.
- 19 But the key issue is that patients who
- 20 are referred for biopsy are frequently false
- 21 positives in the screening process, and they end
- 22 up having negative biopsies. The question in this
- 23 role of using PET is whether we can improve the
- 24 selection for biopsies.
- 25 The second indication here, 1-B has to

- 1 do with patients who have a low suspicion
 - 2 mammogram, and would be referred for shorter
 - 3 interval follow-up. The question here is whether
 - 4 some of these patients might be selected for
 - 5 biopsy, they could have an early biopsy and early
 - 6 diagnosis and may benefit from early treatment.
 - 7 So again, the issue here is whether we can improve
 - 8 the selection of follow-up for biopsy.
- 9 All right. I am going to the problem
- 10 formulation for indication 1-A. These are
- 11 patients who have an abnormal mammogram or a
- 12 palpable mass and are recommended for biopsy. The

- 13 comparison here is going to be between using a
- 14 negative PET result to avoid a biopsy, versus
- 15 performing biopsy on all patients.
- Some of the health outcomes that are of
- 17 concern, if PET is a true negative, the benefit
- 18 would be to avoid the pain an anxiety of biopsy.
- 19 If PET is a false negative, the harm could come
- 20 from having missed or delayed diagnosis and
- 21 delayed treatment.
- This is a causal chain and forgive me
- 23 for this small print, I crammed it together as
- 24 much as I could and made it as big as I could, but
- 25 I realize that you probably can't read this. The

- 1 key thing though, is to recognize that there are
- 2 two paths. The first path up here is using PET;
- 3 the second path is not using PET. So if a patient
- 4 decides to use PET to guide the decision of
- 5 whether to perform the biopsy, at this point the
- 6 PET would be performed, up here the PET result
- 7 would be positive and the patient would undergo
- 8 biopsy. In some patients the PET would be a true
- 9 positive so there would be an actual tumor found.
- 10 In other patients there would be a false positive
- 11 and the patient would not, would have a benign
- 12 mass.
- 13 If the PET is true positive, the
- 14 patient would go on to getting treatment, and in
- 15 the last two columns, I point out what the
- 16 outcomes are in path one compared to path two, so
- 17 in path two, these are all patients who undergo
- 18 biopsy, and in some cases the biopsy is positive
- 19 and others it's negative, so if it's positive,
- 20 these patients have the benefits associated with
- 21 early treatment, and the harms of pain and anxiety
- 22 of biopsy in addition to any treatment side
- 23 effects.
- If the biopsy is negative, the benefit
- 25 would be reassurance, and the harms would have to

00023

1 do with pain and anxiety of the biopsy.

2 So, the comparison between using PET if 3 it's positive and doing biopsy in all cases, the benefits of positive PET would be the same as 4 those in the biopsy PET. It's only when there is 5 a negative PET would there be any difference in 6 the types of outcomes that could occur. So if PET 7 is truly negative, the patient could safely avoid 8 the pain and anxiety of biopsy. If the PET is 9 falsely negative, there would be an undetected 10 tumor, the patient would resume the screening 11 schedule, but may suffer from the loss of the 12 advantage of early treatment. 13 All right. The specific question, as 14 Mitch pointed out earlier is the following: 15 16 there adequate evidence that PET can improve health outcomes when used to decide whether to 17 perform a biopsy in patients with an abnormal 18 mammogram or a palpable mass? And within this 19

question we asked two subquestions. We first

the diagnostic performance of PET, and then we

wanted to see how the diagnostic performance

wanted to know if we could reach conclusions about

translates into outcomes, and whether those 24 outcomes would be improved by using PET.

00024

25

20

21 22

23

1 So, here's the evidence we were able to 2 find. First, I wanted to just touch on some issues dealing with the biopsy population. 3 of all, there is an overall prevalence of 4 malignancy of approximately 20 to 30 percent. 5 upper segment as I described are patients who have 6 an abnormal mammogram, a palpable mass, and 7 relatively large lesions. The lower segment are 8 patients with an indeterminate, that should be 9 mammogram, a nonpalpable mass, or small lesions. 10 11 And for this lower segment of the population, we 12 don't have any diagnostic performance data for PET. It's only for the upper segment for which we 13 have any PET diagnostic performance data. 14 There were a total of 13 studies with a 15 pool of 606 patients. Unit of analysis in three 16 studies was lesion, for 191 patients. The unit 17

- 18 was patient for 10 studies, and 415 patients.
- 19 There were consistent study selection criteria, as
- 20 I described in the problem formulation, and the
- 21 average tumor size across these studies was
- 22 between 2 and 4 centimeters, so these are fairly
- 23 large tumors.
- Here is a summary of study quality
- 25 characteristics. 9 of the 13 studies were

- 1 prospectively designed. 3 out the 13 avoided
- 2 verification bias. 7 clearly indicated that PET
- 3 was read blind to the reference standard, and none
- 4 of the studies indicated whether the reference
- 5 standard was read blind to the PET.
- 6 Here is a summary of the diagnostic
- 7 performance data. In individual studies, the
- 8 range of sensitivities was between 79 and 100
- 9 percent. The random effects meta-analysis comes
- 10 up with a point estimate of 88 percent and a
- 11 confidence interval here between 83 and 92
- 12 percent. Specify ranged between 50 and 100
- 13 percent, with a random effects meta-analysis point
- 14 estimate of 79 percent and a 95 percent confidence
- 15 interval between 71 and 85 percent.
- 16 Here is the graphic of the
- 17 meta-analysis, and each line here represents an
- 18 individual study, and the random effects
- 19 meta-analysis point estimates are down here at the
- 20 bottom.
- 21 Here is the summary ROC curve and as I
- 22 said earlier, you have to be careful in using a
- 23 random effects meta-analysis because it tends to
- 24 underestimate the diagnostic performance, because
- 25 it doesn't account for the dependence between

- 1 sensitivity and specificity, and this can be seen
- 2 in any summary ROC curve to the extent that the X
- 3 here which represents the random effects
- 4 meta-analysis point is below the summary ROC
- 5 curve. And the curve that we used was the one
- 6 that was weighted by the inverse of study

- 7 variance.
- 8 So, the random effects meta-analysis
- 9 doesn't underestimate the sensitivity and
- 10 specificity by a great deal, it's pretty close to
- 11 the curve. But we decided that just to eliminate
- 12 the underestimation of diagnostic performance with
- 13 a random effects meta-analysis, we chose the point
- 14 on the summary ROC curve nearest to the random
- 15 effects meta-analysis point. And we did that
- 16 partially because we wanted, you could ideally
- 17 select any point on the summary ROC curve and that
- 18 would represent the diagnostic performance of PET.
- 19 However, we think that the advantage of doing a
- 20 point near the random effects meta-analysis point
- 21 is that it represents an average diagnostic
- 22 performance.
- 23 And you could say that you would be
- 24 looking for points on the curve that have higher
- 25 sensitivity. However, you could only do that if

- 1 you could realistically adjust your criteria for a
- 2 positive test result, and when you're doing a
- 3 qualitative test, that's very difficult. So we
- 4 decided to look at this point here on the curve
- 5 closest to the random effects meta-analysis point
- 6 as being a good representative choice.
- 7 We did plan to do sensitivity analysis,
- 8 but only one study met study selection, or the
- 9 quality criteria, and so we didn't go through with
- 10 that.
- 11 The analysis of outcomes can be done
- 12 from two different perspectives, and I will be
- 13 walking you through some examples to try to make
- 14 this clear. The first perspective is that of the
- 15 population, so using a given prevalence and
- 16 estimates of sensitivity and specificity, as well
- 17 as the causal change that I talked about earlier,
- 18 we can calculate the probabilities of outcomes
- 19 before the PET scan results are known.
- Now, from the perspective of a patient
- 21 who has a negative PET scan, the perspective is
- 22 different, but using different given prevalence,

- 23 in other words pretest probability, and the same
- 24 information here, we want to calculate the
- 25 negative predictive value or the post-test

- 1 probability, and the associated probabilities of
- 2 outcomes for a patient with a known negative PET
- 3 scan.
- 4 Now, from the population perspective
- 5 the question that you would ask a patient would be
- 6 this. Based upon the probabilities to follow,
- 7 would you be billing to let the results of PET
- 8 guide your decision to undergo biopsy? That is,
- 9 if PET is positive, do the biopsy, if it's
- 10 negative, skip biopsy. The alternative to using
- 11 PET to guide the decision is for all patients to
- 12 undergo biopsy.
- Now we know the probabilities before
- 14 you undergo the PET scan and that's all based on
- 15 the diagnostic performance estimates and
- 16 prevalence. Now, the two examples that I will be
- 17 using will be first with a prevalence of 50
- 18 percent and second with a prevalence of 75
- 19 percent.
- Now for a, the perspective of a patient
- 21 who has a negative PET scan, the question is this:
- 22 Based on the probability of PET missing a cancer,
- 23 would you still be willing to skip the biopsy if
- 24 your PET scan is negative. The probabilities of
- 25 true negative and false negative differ in this

- 1 perspective from that of a population, because the
- 2 denominator is different.
- Now, although there is, this is
- 4 described as a known negative PET, we know the
- 5 probabilities before you undergo the PET scan, and
- 6 you can imagine making the decision, so we don't
- 7 actually have to put the patient through the PET
- 8 scan and come out with a negative result in order
- 9 to go through this scenario. And again, the two
- 10 examples I will be using are prevalence of 50
- 11 percent and 75 percent.

- 12 All right. This is the first example.
- 13 Prevalence is 50 percent, here's the two-by-two
- 14 table, we are assuming there is a total population
- 15 of a thousand individuals. This column represents
- 16 patients who have malignant lesions, these
- 17 patients have benign lesions. This row is
- 18 patients who test positive on PET and this row is
- 19 for PET negative patients.
- 20 So here is the sensitivity and the
- 21 specificity, 89 percent and 80 percent. This is
- 22 the point on the summary ROC curve closest to the
- 23 random effects meta-analysis point. And here are
- 24 the probabilities of the different events. We
- 25 have the true positive, false negative, false

- 1 positive or true negative. So when the prevalence
- 2 is 50 percent, the probability of a true positive
- 3 result is 44.5 percent, the false negative
- 4 probability is 5.5 percent, the true negative is
- 5 40 percent, and the false positive is 10 percent.
- 6 Now, you will see that in this column,
- 7 I do it from the population perspective and in
- 8 this column I do it from the PET negative
- 9 individual perspective. And so, the two outcomes
- 10 that we're going to be most interested in are the
- 11 false negative and true negative, and from the
- 12 population perspective, these are what the
- 13 probabilities are. However, when you get to the
- 14 perspective of a patient testing negative on PET,
- 15 the probabilities for false negatives and true
- 16 negatives change, and the reason is that you have
- 17 a different denominator. The denominator from the
- 18 population perspective is the total of all the
- 19 cells of the two-by-two table, whereas from the
- 20 perspective of an individual with a negative PET
- 21 scan, the denominator is only the row marginal
- 22 total for the PET negative patients.
- So, the risk of false negative rises as
- 24 you go from the population perspective to the
- 25 individual perspective.

- On this slide I summarize what is 1 2 already in tables 3 and 4 of the document, and at a prevalence of 50 percent, these are the 3 probabilities. Now I, the first two columns 4 represent the population perspective and the third 5 is the individual perspective. So the outcomes, 6 if PET is true positive or if the patient is being 7 managed in the path in this which all patients 8 would undergo biopsy, that would be a positive 9 The benefit would be whatever outcomes 10 11 would be associated with the appropriate 12 treatment, and the probability of having this outcome would be 50 percent. 13 14 If the biopsy was the choice, and the harm of having either a PET false positive or a 15 16 negative biopsy would be the morbidity associated with biopsy, and that would also be in 50 percent.
- 17 18 The two key outcomes that we're 19 interested in are the two in the center here, the harm associated with the false negative PET, which 20 21 could possibly result in late treatment, or the 22 benefit of a true negative PET, in which the 23 patient could avoid the morbidity of biopsy. the patient could look at these numbers and decide 24 25 whether the benefit that you gain in terms of the

- 1 probability of avoiding the biopsy morbidity is 2 worth the harm that you get from delaying 3 treatments. And so, the risk-benefit trade off 4 would take into account these results, first from 5 the population perspective.
- Once the patient has a negative PET result, the probabilities change, so the risk of a false negative, having delayed treatment would prise to 12.1 percent, and the benefit would be about 88 percent.
- Now, this is the second example on which the prevalence is 75 percent, the sensitivity and specificity are the same as in the previous example, 89 percent and 80 percent. The probabilities of a true positive are 66.8 percent, false negative 8.2 percent, true negative 20

- 17 percent, and false positive 5 percent.
- 18 From the perspective of a patient who
- 19 had a negative PET scan, the probabilities differ
- 20 again, because the denominators differ, so the
- 21 false negative risk goes from 8.2 percent at the
- 22 population perspective to 29.2 percent at the
- 23 individual perspective, and I think most people
- 24 would agree that the risk-benefit trade-off is not
- 25 an acceptable one with these kind of numbers.

- 1 Again, I present the same information
- 2 here, this can be found in tables 3 and 4 of the
- 3 document. It's the same as on the previous slide,
- 4 just presented with descriptions of what the
- 5 outcomes are. So again, we're comparing the harm
- 6 of delaying treatment with the benefit of avoiding
- 7 the morbidity of biopsy, and you have to balance
- 8 the 20 percent benefit with the 8.2 percent harm
- 9 from the population perspective, and versus the
- 10 individual perspective of a 70.8 percent benefit
- 11 against the 29.2 percent.
- 12 All right. Our conclusions are that
- 13 the diagnostic performance data that are available
- 14 apply only to the upper segment of the biopsy
- 15 population, not to the lower segment, so there is
- 16 incomplete data for the full spectrum of patients
- 17 that we might be interested in.
- Only one study met all of the criteria
- 19 for a higher quality study; the sensitivity
- 20 estimate was 89 percent, specificity was 80
- 21 percent. For the intermediate to higher
- 22 prevalence spectrum, the risk-benefit trade-offs
- 23 do not appear to be acceptable.
- 24 All right. Turning to the indication
- 25 1-B, having to do with initial diagnosis of breast

- 1 cancer, the problem formulation is this. The
- 2 patients of interest are those who have low
- 3 suspicious findings on mammography and other
- 4 routine imaging procedures that are referred for
- 5 short interval follow-up, from three to six months

- 6 in frequency. The comparison we're using here is
- 7 using PET to elect early biopsy or avoid short
- 8 interval follow-up, versus doing short interval
- 9 follow-up in all patients.
- The health outcomes associated with
- 11 different PET results, if PET is true positive, it
- 12 could lead to earlier detection and treatment of
- 13 malignancy. If PET is true negative, patients
- 14 could forego short interval follow-up and revert
- 15 to a normal screening schedule, so they would be
- 16 avoiding some inconvenience. The false negative
- 17 PET outcome would entail foregoing short interval
- 18 follow-up and the potential benefit of earlier
- 19 detection and treatment. And the outcome
- 20 associated with the false positive PET would be
- 21 the morbidity associated with biopsy.
- The specific question that we're asking
- 23 here is, is there adequate evidence that PET can
- 24 improve health outcomes by leading to earlier and
- 25 more accurate diagnosis of breast cancer, compared

- 1 to short interval mammographic follow-up, in
- 2 patients with a low suspicious finding on
- 3 mammography or other routine imaging procedures.
- 4 And again, within this question, we're asking
- 5 whether we can reach conclusions about diagnostic
- 6 performance of PET and can the use of PET improve
- 7 the outcomes by selecting follow-up or biopsy.
- 8 What is the evidence? Well, there are
- 9 no studies available, so we can quite quickly
- 10 reach the conclusion that we don't know what the
- 11 diagnostic performance data or health outcomes
- 12 are.
- 13 All right. Turning now to the second
- 14 indication, this is the initial staging of
- 15 axillary lymph nodes, again, we going to be
- 16 looking at clinical issues, the problem
- 17 formulation and the evidence review.
- The clinical issues, the patients who
- 19 are undergoing staging of axillary lymph nodes by
- 20 PET or some other noninvasive procedure are
- 21 undergoing that testing in order to determine

- 22 whether they might need to undergo axillary lymph
- 23 node dissection. And the roles of axillary lymph
- 24 node dissection could be either to define
- 25 prognosis, to guide treatment decisions, and it's

- 1 also wondered whether the procedure itself is
- 2 therapeutic. It might contribute to local control
- 3 of the tumor as well as, there is some question
- 4 about whether it improves survival, although the
- 5 data has not demonstrated that yet.
- 6 But the key thing that we are focusing
- 7 on here is guiding treatment decisions and in
- 8 particular, a patient who has a positive lymph
- 9 node on pathologic analysis, an axillary lymph
- 10 node dissection, would be a good candidate to
- 11 undergo adjuvant therapy. Now this is complicated
- 12 by the fact that some patients who are negative on
- 13 axillary dissection would also, may choose
- 14 adjuvant therapy.
- 15 Here are some of the outcomes that we
- 16 have been able to identify that are associated
- 17 with adjuvant therapy in patients who are either
- 18 lymph node positive of lymph node negative. So
- 19 patients will undergo either adjuvant chemotherapy
- 20 or hormonal therapy. The median overall survival
- 21 increases by two years, and ten-year overall
- 22 survival, there is a difference between patients
- 23 who get adjuvant therapy and those who don't at
- 24 ten years, of 6.8 percent.
- In patients who are lymph node

- 1 negative, the chemotherapy can have a significant
- 2 advantage for ten-year overall survival but it's a
- 3 smaller one, it's 3.5 percent. Patient
- 4 preferences can play a big role in whether a
- 5 patient chooses adjuvant therapy, and different
- 6 patients may value the survival benefits of
- 7 adjuvant therapy in different ways, and other
- 8 patient may value the adverse effects of adjuvant
- 9 therapy and so may make different decisions.
- 10 Sentinel node biopsy is an emerging

- 11 technique that is used for a similar purpose
- 12 compared to PET for staging axillary lymph nodes.
- 13 It s an invasive procedure, however. The
- 14 technique involves using either a blue dye or a
- 15 radiotracer injected near the tumor site, and
- 16 either the dye or the tracer is tracked to
- 17 determine which is the first lymph node that is
- 18 visualized or localized. That would be called the
- 19 sentinel node. And if it's positive, that patient
- 20 may go on to full axillary lymph node dissection.
- 21 If it's negative, patients might be able to avoid
- 22 the full axillary dissection.
- 23 As the issues in evaluating sentinel
- 24 node biopsy, we're looking first of all at
- 25 sensitivity. So a false negative sentinel node

- 1 would be one in which the node would be negative,
- 2 but other downstream nodes might be positive, and
- 3 that would be considered a skipped metastasis.
- 4 The specificity for sentinel node biopsy is always
- 5 100 percent. Each positive result from a sentinel
- 6 node biopsy is pathologic positive, so it's, there
- 7 is no possibility of a false positive.
- 8 We did a systematic review of 21
- 9 studies in over 3,000 patients and the results we
- 10 got were a weighted average rate of successful
- 11 localization of 90.1 percent and a random effects
- 12 meta-analysis point estimate for sensitivity of 89
- 13 percent, and the confidence interval was between
- 14 86 and 91 percent.
- The problem formulation that we used in
- 16 this indication, the patients that we were
- 17 concerned with are those patients who have
- 18 confirmed primary breast cancer, no palpable
- 19 axillary lymph nodes, and no evidence of distant
- 20 metastasis. The comparison we're using here is
- 21 between using PET to decide whether to perform
- 22 axillary lymph node dissection versus performing
- 23 axillary lymph node dissection in all patients.
- The key health outcomes of interest are
- 25 when PET is a true negative, the patient could

- avoid the complications of axillary lymph node dissection; when PET is a false negative, that patient, if the result is used to avoid adjuvant chemotherapy or other treatment, that patient would have an undetected positive lymph node and could be considered undertreated.
- And again, the causal chain is in very tiny print and I will try to walk you through it. Again, we have two paths. The first is using PET to select whether to undergo axillary lymph node dissection, and the path down here is using, is not using PET, so all patients would undergo axillary lymph dissection.
- 14 And again, the outcomes associated with doing axillary node dissection in all patients are 15 down here, and the outcomes associated with using 16 PET to choose axillary lymph node dissection are 17 up here, and are viewed in comparison with this 18 path. So we are interested in up here the kinds 19 of outcomes that differ in this path from this 20 21 path, and I'll get into that in a moment.
- But anyway, if the axillary lymph node dissection reveals positive lymph nodes, the causal chain here assumes that patients would the initiate adjuvant therapy and the outcomes would

- 1 be those associated with adjuvant therapy. If
 - 2 there are no positive lymph nodes found, then the
- 3 patient would not elect adjuvant therapy and would
- 4 just undergo monitoring for recurrence. And the
- 5 outcome, the benefit of the negative PET scan --
- 6 I'm sorry, negative axillary node dissection --
- 7 would be the prognostic information that it
- 8 supplies. And if the axillary node section is
- 9 positive, the harm would be the adverse effects
- 10 associated with axillary lymph node dissection and
- 11 with adjuvant therapy. And for those patients who
- 12 are lymph node negative, the harms would be the
- 13 adverse effects of axillary node dissection.
- So, if the patient decides to use PET
- 15 to guide the choice in whether to have axillary

- 16 node dissection, if it's positive they would
- 17 undergo node dissection, either the PET was truly
- 18 positive or false positive. If it's truly
- 19 positive, they would be getting adjuvant therapy
- 20 and the benefits would be the same as here on this
- 21 path. If the PET is falsely positive, the patient
- 22 would have the adverse effects of axillary
- 23 dissection. If PET is negative and skips axillary
- 24 dissection and it's truly negative, they would
- 25 benefit by avoiding the adverse effects of

- 1 axillary node dissection. If PET is falsely
- 2 negative, then they wouldn't be getting adjuvant
- 3 therapy and they would be undertreated.
- 4 The specific questions that we asked
- 5 are, is there adequate evidence that PET can
- 6 improve health outcomes when used to decide
- 7 whether to perform axillary lymph node dissection.
- 8 And again, we wanted to know whether we could get
- 9 conclusions about the diagnostic performance of
- 10 PET and whether use of PET to decide whether to
- 11 perform axillary node dissection could improve
- 12 outcomes.
- 13 And a second question is whether there
- 14 is adequate evidence on the previous question,
- 15 should we do be doing a more detailed analysis of
- 16 sentinel node biopsy versus PET, as alternatives
- 17 to actual lymph node dissection.
- 18 Here's the evidence that we were able
- 19 to find. First, I want to go over some issues
- 20 dealing with population. You can break down
- 21 patients who undergo PET into those who have
- 22 palpable axillary lymph nodes versus nonpalpable
- 23 axillary lymph nodes, and the disease spectrum in
- 24 those groups, if they're palpable, these are
- 25 patients who have larger metastatic foco in lymph

- 1 nodes, and patients with nonpalpable nodes would
- 2 have smaller foci.
- 3 There are potential differences in the
- 4 diagnostic performance of PET for these two

- 5 segments letters of the population, and axillary
- 6 lymph node dissection would probably be likely for
- 7 patients who have palpable axillary lymph nodes
- 8 regardless of imaging. So, we are really
- 9 interested in the patients who have nonpalpable
- 10 axillary lymph nodes, because those are the
- 11 patients for whom use of PET really could make a
- 12 difference in determining whether they have
- 13 axillary lymph node dissection, and it's
- 14 fundamental to assess the diagnostic performance
- 15 of PET for the patients who have nonpalpable
- 16 axillary lymph nodes.
- 17 All right. We came up with a total of
- 18 four studies and 269 patients who had nonpalpable
- 19 axillary lymph nodes and there was specific data
- 20 on the diagnostic performance of PET for those
- 21 patient. In the appendix of the document we
- 22 actually list a larger group of studies in which
- 23 the evidence is presented irrespective of whether
- 24 the patients had palpable or nonpalpable lymph
- 25 nodes.

- 1 Here are the study quality
- 2 characteristics. Four of the four studies were
- 3 prospective designs. One of them avoided
- 4 verification bias. Three out of four read PET
- 5 blind to the reference standard, and none of the
- 6 four read the reference standard blind to PET.
- 7 Here is the summary of the diagnostic
- 8 performance data. In the four studies,
- 9 sensitivity ranged between 40 percent and 93
- 10 percent. The random effects meta-analysis comes
- 11 up with a point estimate of 80 percent, and a 95
- 12 percent confidence interval of 46 to 95 percent.
- 13 That's really quite large.
- The specificity ranged between 87
- 15 percent and 100 percent. The random effects
- 16 meta-analysis point estimate was 89 percent, with
- 17 a more narrow confidence interval between 83 and
- 18 94 percent.
- 19 Here is the graphic representation of
- 20 the random effects meta-analysis, with the point

- 21 estimates of sensitivity and specificities at the
- 22 bottom of the graph.
- Here is the summary ROC curve. Now I
- 24 should throw in a note of caution that doing a
- 25 meta-analysis on such a small body of evidence is

- 1 an exercise that you might question and with good
- 2 reason. I think we went through this exercise
- 3 just for illustrative purposes. I think the key
- 4 point was that there was a very large confidence
- 5 interval around the sensitivity and ultimately, we
- 6 would conclude that there is not sufficient
- 7 evidence to estimate diagnostic performance for
- 8 such a small group of studies.
- 9 But, if you go through the exercise,
- 10 this is what the summary ROC curve looks like.
- 11 When the curve is weighted by the inversive study
- 12 variance, it's the one on the inside here. The X
- 13 represents the random effects meta-analysis curve.
- 14 If you choose the point nearest on the summary ROC
- 15 curve, the sensitivity and specificity estimates
- 16 are here, so the sensitivity would be 81 percent,
- 17 specificity would be 95 percent.
- 18 A sensitivity analysis was not possible
- 19 with respect to study quality.
- 20 All right. Again, we're looking at the
- 21 outcomes from two perspectives, first the
- 22 population perspective, and the question we would
- 23 ask the patient would be, based on the following
- 24 probabilities, would you be willing to let the
- 25 results of PET guide your decision to undergo

- 1 axillary lymph node dissection? If PET is
- 2 positive, do the axillary lymph node dissection;
- 3 if it's negative, skip the dissection. The
- 4 alternative to PET guiding the decision is for all
- 5 patients to undergo axillary lymph node
- 6 dissection. We know the probabilities before the
- 7 patient undergoes the PET scan, and the two
- 8 examples that we're going to be using are a
- 9 prevalence of 30 percent and a prevalence of 50

- 10 percent.
- 11 From the perspective of a patient who
- 12 has a negative PET scan, the question is, based on
- 13 the probability of PET missing a positive axillary
- 14 lymph node, would you still be willing to skip
- 15 axillary lymph node dissection if you had a
- 16 negative PET scan? The probabilities of true
- 17 negative and false negative differ from the
- 18 population perspective because the denominators
- 19 differ, and we know the probabilities of the PET
- 20 scan before we actually undergo the procedure.
- 21 And again, the two examples are prevalence of 30
- 22 percent and 50 percent.
- The two-by-two table is similar to the
- 24 first ones I presented on detection of breast
- 25 cancer, with the exception that the columns

- 1 represent whether axillary lymph node dissection
- 2 as the reference standard, comparing positive
- 3 lymph nodes versus negative lymph nodes and for
- 4 this case, these are again using 100 or 1,000
- 5 patients as the example, at 30 percent prevalence,
- 6 these are what the cell counts would be. The
- 7 sensitivity, again, would be 81 percent and
- 8 specificity would be 95 percent. The probability
- 9 of a true positive result would be 24.2 percent,
- 10 false negative result would be 5.7 percent, true
- 11 negative result would be 66.5 percent, and a false
- 12 positive would be 3.5 percent.
- Now, as you go from the population
- 14 perspective to the perspective of a patient with a
- 15 negative PET scan, the probabilities of false
- 16 negatives and true negatives change because the
- 17 denominators change. So, at the population
- 18 perspective, the denominator is 1,000; at the PET
- 19 negative perspective, this is the denominator.
- 20 And so, the false negative risk goes from 5.7
- 21 percent to 7.9 percent.
- 22 And here, I present the evidence in the
- 23 same form that's shown in tables 9 and 10 of these
- 24 documents. At a prevalence of 30 percent, these
- 25 are what the probabilities are. Here are the

- 1 outcomes. If PET is true positive or if the
- 2 patient chooses to go straight to axillary lymph
- 3 node dissection and that's positive, the outcomes
- 4 would be associated with choosing adjuvant
- 5 therapy, and since the prevalence is 30 percent,
- 6 the probability would be 30 percent of that
- 7 outcome. For false positives on PET or having a
- 8 negative axillary lymph node dissection, the
- 9 outcomes would have to do with the morbidity of
- 10 axillary node dissection and the probability would
- 11 be 70 percent.
- 12 The key outcomes to look at are in the
- 13 center here. If PET is falsely negative, the
- 14 outcome would be the loss of the benefit of
- 15 adjuvant therapy so it would be undertreatment.
- 16 If PET is truly negatively, the patient would
- 17 safely be able to avoid axillary lymph node
- 18 dissection and its morbidity.
- So, we're trying to decide whether the
- 20 benefit outweighs the harm. The risk of
- 21 undertreatment is 5.7 percent from the population
- 22 perspective, compared to a benefit of 66.5 percent
- 23 of avoiding the morbidity of axillary lymph node
- 24 dissection, but when you go to the individual
- 25 perspective, the risk of fall negative rises to

- 1 7.9 percent, and in this case and in the next
- 2 case, we conclude that that trade-off is not going
- 3 to be judged as acceptable to patients.
- 4 Here is the second example where the
- 5 prevalence is 50 percent, again, sensitivity is 81
- 6 percent, specificity is 95 percent. These are the
- 7 calculations for the probabilities of the
- 8 different outcomes from the population perspective
- 9 and the perspective of an individual with a
- 10 negative PET scan.
- 11 And here again, we present the
- 12 information as it is in tables 9 and 10, and the
- 13 key thing to look at is whether the trade-off
- 14 between the benefit of avoiding axillary lymph

- 15 node dissection morbidity and undertreating is an
- 16 acceptable one. And a risk at the population
- 17 perspective of 9.5 percent is pretty high and
- 18 would probably be unacceptable to patients. But
- 19 when you go to the perspective of an individual
- 20 with a negative PET scan, the false negative risk
- 21 is 16.7 percent, which is quite high.
- 22 All right. The conclusions that we
- 23 reached here, first of all, the diagnostic
- 24 performance data applicable to the nonpalpable
- 25 population is sparse. There were four studies and

- 1 269 patients. Sensitivity was 81 percent,
- 2 specificity was 95 percent. Even if you could
- 3 have greater confidence in the diagnostic
- 4 performance data, in the intermediate prevalence
- 5 spectrum the risk-benefit trade-offs do not appear
- 6 to be acceptable.
- 7 All right. Let's move on to the third
- 8 indication, and this is detection of locoregional
- 9 recurrent or distant metastasis recurrence. I
- 10 will look at the background issues, the problem
- 11 formulation and the evidence review. The clinical
- 12 issues here have to do with whether the patient is
- 13 undergoing local versus systematic therapy, PET
- 14 might influence the choice of that. There might
- 15 be more accurate information from PET which could
- 16 lead to early detection of recurrent metastasis.
- 17 There might be improved timing or improved choice
- 18 of treatment.
- The kinds of studies that we're looking
- 20 at that we want to see are comparative studies, so
- 21 these are studies in which PET and some other kind
- 22 of imaging test is performed on the same group of
- 23 patients, and both of those tests are compared
- 24 against a reference standard. We want to have
- 25 information on the discordance and concordance

- 1 between PET and alternative tests. We want to
- 2 know the frequency with which each test is
- 3 correct, when discordant, and the frequency with

which one test or the correctly upstages or downstages the disease when it's added to other tests. The key thing here is that it is crucial to have comparative studies.

The reference standard in studies in 8 which you're looking for metastasis or recurrence 9 is not as clear-cut as it is when you're doing an 10 11 initial workup. So when you're doing an initial staging of lymph nodes or your initial detection 12 13 of the primary tumor, you almost always can get a histologic reference standard. However, when 14 15 you're doing imaging for recurrence or distant metastasis, it's usually not feasible to biopsy 16 17 widely, so in many cases, you would have instead of a pathologic reference, you would have some 18 kind of follow-up study, and the key thing here is 19 20 to have an adequate duration of follow-up. 21 The bottom line is that there should be 22 a more flexible approach to what you would accept as a valid reference standard for studies in which 23

00051

2425

- 1 patients are patients who either have
- 2 locoregional, might have locoregional recurrence,

you are looking at recurrence or metastasis.

Here is the problem formulation.

The

- 3 and these might be symptoms referable to the
- 4 brachial plexus, or patients who are suspected to
- 5 have distant metastasis, and this could be either
- 6 in the setting of initial staging or after
- 7 treatment. The comparison is between PET and
- 8 routine tests, including physical examination,
- 9 chest x-rays, CT, MRI, radionuclide bone scanning,
- 10 and we would be making comparisons by anatomic
- 11 site.
- 12 There are two comparisons that could be
- 13 performed. First, PET as an adjunct to other
- 14 tests so you're adding PET to other tests, or PET
- 15 done as a replacement for other tests. The health
- 16 outcomes that we're interested in, if PET is
- 17 correct, the patient could receive initial
- 18 follow-up treatment appropriate for that stage,
- 19 they might receive earlier initiation of treatment

- 20 and avoid the morbidity of unneeded treatment. If
- 21 PET is incorrect, patients may undergo unneeded
- 22 biopsy and potential harmful and unnecessary
- 23 treatment and may forego the potential benefits of
- 24 timely initiation of treatment.
- 25 Here's the specific question. Is there

- 1 adequate evidence that PET improves health
- 2 outcomes as either an adjunct or a replacement to
- 3 the standard tests in detecting either
- 4 locoregional occurrence or distant metastasis
- 5 recurrence. We want to know the conclusions about
- 6 the diagnostic performance and also whether use of
- 7 PET in altering patient management improves health
- 8 outcomes.
- 9 Here's the evidence. With respect to
- 10 locoregional occurrence we have two studies, and
- 11 the evidence is really quite meager. There is ten
- 12 patients from Hathaway; these are patients who
- 13 were referred because of signs or symptoms
- 14 occurring in the axilla or nearby. The
- 15 sensitivity for PET was 100 percent, for MRI it
- 16 was 56 percent, but with a study this small you
- 17 can't put a lot of confidence in these numbers.
- The Bender study included 75 patients
- 19 and they selected patients based on having
- 20 suspected recurrence or systemic disease in
- 21 patients who are equivocal on other imaging tests.
- 22 Now while this was a larger study, I think the key
- 23 thing here is that there was a major concern about
- 24 the reference standard that they used. The
- 25 authors claimed that they did a histologic

- 1 reference standard in I think 90 percent of
- 2 patients, but they presented data for not only
- 3 locoregional sites but a number of other sites,
- 4 and it's really guite unlikely that they did
- 5 histologic sampling for large numbers of patients
- 6 who had no recurrence or metastasis.
- 7 So, I don't think you can put any faith
- 8 in these estimates of sensitivity and specificity.

- 9 However, at local site and at lymph nodes, PET had
- 10 lower sensitivity at the local sites compared to
- 11 CT or MR, and comparable specificity. When you
- 12 looked at lymph nodes, PET was more sensitive than
- 13 CT or MR, with comparable specificity.
- But overall, this study has had a major
- 15 problem with what the residence standard was and
- 16 it calls into question some of these findings.
- 17 Looking at distance sites, there were
- 18 five studies with a total of 196 patients. We did
- 19 a site specific analysis and the most evidence
- 20 that we had was on bone. Here are the study
- 21 quality characteristics. First, three out of the
- 22 five were prospective. None of them avoided
- 23 verification bias. Three read PET blind to the
- 24 reference standard, and none read the reference
- 25 standard blind to PET.

- 1 With respect to detecting bony
- 2 metastasis, the Lonneux study included 11
- 3 patients, really small sample. There were no
- 4 false negatives and one false positive. The
- 5 Bender study, the same one I just discussed, the
- 6 major problem with the reference standard in this
- 7 case, so I am not even going to discuss the
- 8 diagnostic performance right now.
- 9 Probably the best study is the
- 10 Schirrmeister study, 34 patients. PET had a
- 11 sensitivity of 100 percent, compared to bone scan
- 12 83 percent, and it was also more specific, 94
- 13 percent for PET and bone scan had a 69 percent
- 14 specificity.
- The Cook study included 23 patients and
- 16 only reported data on the mean number of lesions
- 17 detected. PET detected more lesions per patient
- 18 than bone scan.
- 19 The Mortimer study looked at whether
- 20 PET could detect bone metastasis earlier than
- 21 other imaging techniques, and it did so in two
- 22 patients.
- 23 So overall, this body of evidence is
- 24 insufficient to reach conclusions about diagnostic

- 1 There were three studies that gave us
- 2 data on liver metastases. The Lonneux study
- 3 discussed six cases, there were five true
- 4 positives and one false positive. The Bender
- 5 study, again, had the reference standard problem.
- 6 There were only two liver metastases in the whole
- 7 study. And in the Mortimer study, there was one
- 8 liver metastasis, so that evidence is inadequate.
- 9 On lung metastases, a similar
- 10 situation. The Lonneux study reported four true
- 11 positives and one false positive, and in the
- 12 Bender study, there were six lung metastases.
- 13 So the conclusions overall for
- 14 indication number three are that the data are
- 15 sparse, five studies all together, 196 patients,
- 16 there are no data available on results that are
- 17 either discordant or concordant, and no data on
- 18 the frequency of which test is correct, when the
- 19 results are discordant, and no data on the
- 20 frequency of correct upstaging or downstaging.
- I would throw in a caveat that we did
- 22 get a very recent study published by Huebner this
- 23 month, I didn't get it until last Friday, and I
- 24 have some information about it but I don't think
- 25 it adds anything. It does actually give a little

- 1 information about discordance and concordance but
- 2 as I said, it doesn't change the conclusions.
- 3 The final indication that we addressed
- 4 is PET for evaluating response to treatment. The
- 5 problem formulation is this. Patients are those
- 6 undergoing multicourse treatments. The comparison
- 7 is between PET and routine tests, which can vary
- 8 by treatment type but can include physical
- 9 examination, mammography, x-ray, CT, MRI, and bone
- 10 scan.
- 11 These are health outcomes. If PET is
- 12 correct, you might be able to initiate new
- 13 treatment, continue effective treatment,

- 14 discontinue ineffective treatment, and identify
- 15 disease free patients for continued monitoring.
- 16 PET might improve the timing of treatment
- 17 decisions by either allowing earlier
- 18 discontinuation of ineffective treatment or
- 19 earlier initiation of a new treatment, and if PET
- 20 is incorrect, the consequences include continued
- 21 harmful side effects that might affect the
- 22 treatment, or foregoing the benefits of additional
- 23 treatment.
- 24 This is the question we addressed. Is
- 25 there adequate evidence that PET can improve

- 1 health outcomes by providing either a more
- 2 accurate or an earlier determination of tumor
- 3 reasons to treatment compared with the use of
- 4 conventional response criteria, which may rely
- 5 upon clinical exam or other imaging tests. We
- 6 wanted to know about diagnostic performance and
- 7 outcomes.
- 8 There were four studies all together,
- 9 for a total of 103 patient, and they looked at
- 10 different treatment regimens. Mortimer used
- 11 hormonal therapy, that was tamoxifen. Schelling
- 12 and Smith both used chemotherapy but different
- 13 measurements. And the Wahl study was a
- 14 combination therapy, chemo and hormonal therapy.
- 15 Here is some study quality
- 16 characteristics. All of them were prospective
- 17 designs. None of them avoided verification bias.
- 18 One out of four read PET blind to the reference
- 19 standard, and none of them read the reference
- 20 standard bind to PET.
- 21 All right. This is a busy slide, but
- 22 I'll try to walk you through it. The Mortimer
- 23 study included 40 patients who had hormonal
- 24 therapy, tamoxifen. The PET result they were
- 25 looking at was a specific change in PET at seven

- 1 to ten days after treatment, and they got a
- 2 sensitivity and specificity of 95 and 89 percent.

3 The Schelling study selected 22 4 patients who got epirubicin and cyclophosphamide or epirubicin and paclitaxel. They looked at the 5 6 PET results correlated with the conventional response criteria either at the end of the first 7 course for 16 patients or at the end of the second 8 course for 22 patients, and the results differed 9 depending on when you did the PET scan, and also 10 for how many patients were included. So it raises 11 12 the issue of just how much faith you can put into a specificity of 100 percent when not all patients 13 14 were included.

The Smith study included 30 patients who had chemotherapy; this was cyclophosphamide, vincristine, doxorubicin, and I can't remember what the P stands for. Or they had docetaxel. The results of the PET scan were correlated with the results of pathologic findings at the time of surgery, so these are patients who were undergoing actually a neoadjuvant chemotherapy and the criteria for response differed in these two cases. In the first case we were looking at patients who

either had a pathologic partial response or a

00059

15

16

17

18

19

20 21

2223

24

25

pathologic complete response, and in the second 1 case only patients that had a pathologic complete 2 response. And the PET result they were looking 3 for was at least a 10 percent decrease in the 4 quantitative PET index in the first case or at 5 least a 20 percent decrease in the quantitative 6 7 PET index in the second case. So, depending on what your definition of the reference standard 8 9 response is and the definition of the PET response 10 is, you get different estimates of diagnostic performance. 11 The final study was by Wahl, 11 12

patients. These were patients who had
cyclophosphamide, doxorubicin, methotrexate,
fluorouracil, tamoxifen, and Premarin. This is a
nonstandard treatment regimen. The PET was looked
at after the first course of treatment, and it was
loopercent sensitivity and specificity.

- 19 Overall, this is a small body of
- 20 studies, each of them had small numbers of
- 21 patients, and it's a fairly heterogenous group of
- 22 studies, different treatment regimens, and they
- 23 evaluated the evidence in very different ways. So
- 24 the conclusions are that the studies are
- 25 heterogeneous, the data is sparse and

- 1 insufficient, and the potential for undertreatment
- 2 is substantial. So wherever there is a false
- 3 negative PET, these are patients who could
- 4 possibly be withdrawn from effective treatment.
- 5 The overall conclusions for the
- 6 technology assessment are as follows.
- 7 For indication number one, we have
- 8 diagnostic performance data applicable to the
- 9 upper segment of the biopsy population but not to
- 10 the lower segment, so we have incomplete data on a
- 11 full spectrum of patients. One study met study
- 12 quality criteria; sensitivity was 89 percent,
- 13 specificity was 80 percent. For the spectrum of
- 14 patients who had intermediate to higher
- 15 prevalence, the risk-benefit trade-offs do not
- 16 appear to be acceptable.
- 17 In indication number two, diagnostic
- 18 performance data that is applicable to nonpalpable
- 19 axillary lymph node population is sparse. Poor
- 20 studies, 269 patients. Sensitivity estimate is 81
- 21 percent with a wide 95 percent confidence
- 22 interval, specificity is 95 percent. Even if we
- 23 had greater confidence in the diagnostic
- 24 performance data, in this intermediate spectrum of
- 25 prevalence for positive lymph nodes, the

- 1 risk-benefit trade-offs do not appear to be
- 2 acceptable.
- For indication number three, the data
- 4 are sparse and insufficient, five studies, 196
- 5 patients. No data until just recently about
- 6 concordance or discordance or the frequency with
- 7 which PET is correct, when it's discordant with

- 8 other types, and the frequency with which PET can 9 correctly up or downstage patients.
- 10 Patient indication number four is
- 11 represented by heterogeneous studies, they are few
- 12 in number and have a small pool of patient sample.
- 13 And again, the potential for undertreatment is
- 14 substantial.
- 15 Thank you for your attention. At this
- 16 point, I'm done.
- DR. PAPATHEOFANIS: Thank you, David,
- 18 that was a great presentation. For the panel,
- 19 this is our opportunity to ask questions of David.
- 20 Will you be here all day or what is your plan?
- 21 MR. SAMSON: I'm here all day.
- DR. PAPATHEOFANIS: So if you don't get
- 23 your chance now, we will bring him up again later.
- DR. KRUBSACK: I have a couple
- 25 questions. I'm trying to put the assessment in

- 1 context, and let me ask a couple questions in that
- 2 regard. What is the harm of a negative biopsy on
- 3 the ability of future mammograms to detect and
- 4 exclude disease, that is to say how much disease
- 5 will be missed in the future or how many more
- 6 unnecessary biopsies are going to result from scar
- 7 tissue resulting from previous biopsy?
- 8 MR. SAMSON: I don't have a good answer
- 9 to that. I think that would be extremely
- 10 difficult to quantify. I know that that has been
- 11 raised as an issue, that performing a biopsy
- 12 changes the architecture of the tissue and can
- 13 make it difficult to find new disease, but to
- 14 quantify the risk associated with that would be
- 15 extremely difficult.
- DR. KRUBSACK: Second question. This
- 17 is in regard to PET false positives. Could the
- 18 PET false positive actually be a true positive?
- 19 That is to say, what studies exist on biopsy to
- 20 show that a negative biopsy might actually be a
- 21 false negative biopsy, or said in a different way,
- 22 what studies demonstrate that breast biopsy is 100
- 23 percent reliable, or said in a different way, what

- 24 studies do we have that a biopsy always acquires
- 25 the tissue in question?

- 1 MR. SAMSON: I don't think that there
- 2 is any perfect reference standard when you're
- 3 evaluating diagnostic tests. I know that there
- 4 are problems with the sensitivity of the reference
- 5 standard itself. But when you're comparing PET
- 6 with other tests, you have to choose a single
- 7 reference standard to judge all tests by. And
- 8 in the case of indication number one where we're
- 9 looking at using PET versus performing biopsy,
- 10 what would the alternative be to doing biopsy?
- 11 Would it be mastectomy? I don't think we have a
- 12 good answer to that question.
- DR. KRUBSACK: Yeah, but my question
- 14 really is, how gold is the gold standard?
- MR. SAMSON: It's as gold as it can be.
- 16 I don't think there is any alternative to biopsy
- 17 other than mastectomy, and that's not realistic.
- DR. KRUBSACK: Okay. The last question
- 19 is in regard to the technology of the PET. You
- 20 know, PET is an emerging technology and the
- 21 expectation might be that the studies that we're
- 22 looking at could vary in the state of technology
- 23 that is used. And this would significantly impact
- 24 the sensitivity and specificity. So when you did
- 25 the assessment, did you make any effort to

- 1 evaluate the studies on this basis, and then weigh
- 2 these results appropriately?
- 3 MR. SAMSON: The one variable that we
- 4 did look at was whether the studies used an
- 5 attenuation correction. We didn't look at
- 6 anything more specifically than that. We didn't
- 7 do a formal sensitivity analysis by attenuation
- 8 correction, but the -- and I don't have the number
- 9 at the top of my head on how many of the studies
- 10 did attenuation correction, but it was the vast
- 11 majority, so it's unlikely that it would have been
- 12 informative to do a sensitivity analysis by

- 13 whether attenuation correction was done. I think
- 14 when we eye balled it, the results didn't really
- 15 show any pattern of better or worse results.
- DR. PAPATHEOFANIS: Michael?
- DR. MANYAK: I actually had the same
- 18 thought about the gold standard issue that was
- 19 brought up by my colleague over here, and I would
- 20 think that would also carry out certain lymph node
- 21 dissections where you are using sentinel node
- 22 biopsy. In other areas of cancer, we know that
- 23 there are skipped lesions, and I don't know the
- 24 incidence with breast cancer but that is something
- 25 inherent to this kind of comparison, there is a

- 1 problem with that as a gold standard, so again, we
- 2 may not have the data. But to hold, to use that
- 3 as the absolute comparator is something I don't
- 4 know how to get a handle around. You have already
- 5 answered the question, so I am just raising this
- 6 again.
- 7 I had one other question also, and that
- 8 is maybe for medical oncology colleagues on the
- 9 panel. What is truly the effectiveness of
- 10 adjuvant therapy for breast cancer for positive
- 11 axillary lymph nodes? And the reason I ask it is
- 12 because, what is the consequence of the false
- 13 negative test in reality for the patient? And I
- 14 need some guidance on that because I am not a
- 15 medical oncologist, breast cancer is not my
- 16 particular field. So maybe someone could shed
- 17 some light on that.
- DR. ABRAMS: I will take that one. I
- 19 think the statistics quoted that are largely based
- 20 on the meta-analysis that came out of the groups
- 21 that Oxford has performed, a meta-analysis on all
- 22 the adjuvant trials done worldwide in breast
- 23 cancer, would show that for node-positive disease
- $24\,$ at about 10 years, and the results now go out to
- 25 even 15 years, there is about an 8 percent or so

```
want to, if you want to take the medians of the curves of the survival curves, you can show that to be on average maybe a two-year difference in median survival in patients who take adjuvant therapy with positive nodes versus those who do not receive it. So I think that's where those
```

numbers came from, and I think that's probably the

best data that exists right now on that question.

DR. MANYAK: And the mortality rate

11 from the chemotherapy regimens this day is?

12 DR. ABRAMS: It's under 1 percent.

13 There is some mortality, especially -- it goes up

14 a little bit with adriamycin containing regimens

15 because there is some slight degree of heart

16 failure and there are some low instances of

17 leukemias induced by chemotherapy. Those would be

18 the major treatment induced causes of late term

19 mortality.

There can be infections short term and

21 also rare, way under 1 percent, so there is some

22 trade-off, but it's, you know, versus the 8

23 percent gain and under 1 percent mortality, still

24 comes out on the benefit side, and actually, that

25 8 percent, you can calculate it off the negative

00067

8

9

- 1 effects, so it was taking that into account.
- DR. MANYAK: Thank you.
- 3 DR. PAPATHEOFANIS: Barbara?

4 DR. MCNEIL: Can I ask Jeff a question,

5 going back to the false negative issue regarding

6 axillary nodes and the gold standard. It would

7 strike me that the false negative issue for the

8 reference standard or the lack of 100 sensitivity

9 in the reference standard really doesn't apply

10 very much in that particular situation, because as

11 I would understand it, if the patient were having

12 axillary node dissection or a set of sampling,

13 there would be several samples, so the chance that

14 all of them would be falsely negative, you just

15 keep multiplying out and the probability gets to

16 be vanishingly small. So I think when we think

17 about tarnished gold standards, which we perhaps

- 18 want to do, it probably does not apply to the
- 19 axillary node area because we are just multiplying
- 20 out, we're increasing the chance every time we do
- 21 another section within a node, or we section more
- 22 nodes, that we're going to get a hit. Is that
- 23 true?
- DR. ABRAMS: I think it's true what you
- 25 say that when you have 20 nodes to look at and the

- 1 pathologist takes one section of each, they
- 2 increase their chances of finding it. But what
- 3 the sentinel node procedure has taught us is that
- 4 if you study one node very closely and do 20
- 5 slides through that note node and then use special
- 6 techniques, you can sometimes find things that you
- 7 didn't find in the 20. So it cuts both ways
- 8 notice sense that you know, how -- you have to
- 9 realize there is a sampling error in pathology and
- 10 even with small biopsy samples, they take a few
- 11 slides and they feel statistically they have a
- 12 pretty good chance of finding something if it's
- 13 there, but you'd have to take many many more
- 14 slices if you wanted to get that risk down, if you
- 15 wanted to make that gold standard as pure as it
- 16 could be, and that is weighed against the ability
- 17 to get all the work done that we have to do.
- So, there have been studies that have
- 19 looked at ding 20 slices in every node, and they
- 20 do find a little bit more, so there is a false
- 21 negative rating in pathology.
- DR. MCNEIL: Could I just follow up on
- 23 the gold standard because in some ways I'd like to
- 24 get it off the table. It seems to me we have to
- 25 live with what is our tradition of medicine and we

- 1 have to go by a gold standard, and maybe it needs
- 2 a little polish, but it's probably as good as we
- 3 can do.
- 4 Are there any examples, I guess Jeff,
- 5 you're the oncologist to answer this, in medicine
- 6 where patients would be treated definitively for

```
cancer on the basis of a positive say screening
  7
   8
      test, which is what we're talking about here, and
   9
      a negative biopsy? Does that ever happen?
                 DR. ABRAMS:
  10
                              I hate to say never, but I
  11
      can't think, especially when you use the word
  12
      screening, as opposed to the more metastatic
  13
      disease and all that, in screening I would have to
  14
      say no, I think people there, the standard is to
  15
     have a positive biopsy at this point.
  16
                 DR. MCNEIL: So would it be reasonable
      then to get the gold standard issue off the table
  17
  18
      for discussion of these issues?
                 DR. PAPATHEOFANIS: I think we're done
  19
  20
      with the gold standard. Go ahead, Jeff.
  21
                 DR. LERNER: Just one thought on that.
     Anything we want to say about needle biopsies?
  22
  23
                 DR. PAPATHEOFANIS: You mean likelihood
  24
      of sampling error in different types of biopsies?
  25
                 DR. LERNER:
                              Exactly.
00070
                              In the studies that I
   1
                 MR. SAMSON:
   2
     reviewed, the needle biopsy was not performed as a
   3
      reference standard in these studies.
   4
                 DR. PAPATHEOFANIS:
                                     Sean?
                 DR. TUNIS: Just a few questions about
   5
      the tech assessment. One is, you mentioned at the
   6
   7
      beginning that you had excluded abstracts from
   8
      review, which I know is a common thing to do. But
      can you say anything about the number of recent
   9
      abstracts and the size of those studies and
  10
      whether there is sort of a body of data about to
  11
      emerge that's in abstract form now, or give us any
  12
  13
      feel for that body of literature?
  14
                 MR. SAMSON: I did look through that
     body of literature, and I would say there is about
  15
  16
      eight or nine abstracts that haven't made it into
     print yet, and they cover a variety of uses. One
  17
  18
      is even on screening, which is slightly different
      from the indications on detection of breast cancer
  19
  20
      that we have looked at here in this assessment,
  21
      but they don't add anything substantial to the
```

assessment, they wouldn't change the conclusions.

- 23 And the primary reason for excluding them is that
- 24 we just don't have enough information from them to
- 25 be able to evaluate their methods and the quality

- 1 of the study. But in terms of quantity of
- 2 evidence, it's not a large body.
- 3 DR. TUNIS: Another question I had is,
- 4 it seemed that the two key quality features of
- 5 studies that were, essentially no studies that met
- 6 these, were the verification bias issue and the
- 7 blinding of, I forget which one it was.
- 8 MR. SAMSON: Of the reference standard
- 9 to the PET result.
- DR. TUNIS: Right. I'm just wondering,
- 11 just for the nonmethodologists and the
- 12 pseudomethodologists here, if you could just
- 13 explain, you know, what is verification bias, how
- 14 important is it, and the other as well?
- MR. SAMSON: Well, in terms of weighing
- 16 how important verification bias, that's a
- 17 difficult thing to do. Methodologists are trying
- 18 to come up with rating scales, but it's difficult
- 19 to weight one form of bias against another, but it
- 20 is agreed that it is an important source of bias.
- 21 It happens when patients who undergo the PET scan
- 22 have those results, those results influence the
- 23 decision whether to undergo the reference
- 24 standard. Now ideally you want all patients who
- 25 get the index test to undergo the reference

- 1 standard, so you don't want the results of the
- 2 test to determine whether patients get the gold
- 3 standard tests. It can bias the diagnostic
- 4 performance data.
- 5 And the other question about whether
- 6 the reference standard was blinded with respect to
- 7 the PET imaging, we just couldn't find any studies
- 8 in which there was a clear statement in the
- 9 methods of the paper that that was done, and I
- 10 can't explain why. I have seen it other
- 11 literatures and diagnostic tests, but it just

- 12 didn't occur in this one.
- DR. TUNIS: So the expected impact of
- 14 that would be so the readers would, you're saying,
- 15 may have known what the PET result was when they
- 16 were reading the conventional imaging?
- MR. SAMSON: We don't know, they could
- 18 have, but we don't know.
- DR. TUNIS: You just don't know.
- 20 MR. SAMSON: Yeah. The important point
- 21 I want to make is that when I give those counts on
- 22 the study quality characteristics, when I say zero
- 23 studies had the reference standard interpreted
- 24 blindly to the respective PET, the rest of them
- 25 actually were just uncertain, we didn't have

- 1 enough information to make a determination, but we
- 2 couldn't say that any of them definitely used a
- 3 blinded interpretation of the reference standard.
- 4 DR. GUYTON: David, the reference
- 5 standard that you're talking about here is the
- 6 pathology result?
- 7 MR. SAMSON: Well, it varied from
- 8 indication to indication. For the first two
- 9 indications, it was pathology, right.
- DR. GUYTON: So, I don't see how
- 11 knowing what the PET result, how that would affect
- 12 the reading of the histology.
- MR. SAMSON: You could make that
- 14 argument, but it has also been argued in the
- 15 literature that blinding of both reference
- 16 standard and the test itself can have an impact on
- 17 the diagnostic performance, and it has been
- 18 studied to see if there is an impact and an impact
- 19 has been found.
- DR. MCNEIL: There is at least one
- 21 article to look at the importance of verification
- 22 bias, and it was by Colin Bage a number of years
- 23 ago from Memorial Sloan-Kettering, and that looked
- 24 at, I have forgotten, CT, and help me, and liver
- 25 scans and liver metastasis, and the difference --

- 1 it was an old study but it was actually quite a
- 2 well-done study, and the difference in sensitivity
- 3 among those who actually had the biopsy versus
- 4 those whom they modeled would have had a result
- 5 had they had the biopsy but didn't, which is a
- 6 little bit tricky to do, but nonetheless, they did
- 7 the best they could. It was quite substantial, I
- 8 think it was about 20 percentage points in
- 9 sensitivity, so that was a big hit on the
- 10 verification policy. It increased it, the bias
- 11 increased it.
- DR. PAPATHEOFANIS: I had a couple
- 13 questions for you, David. I notice that in your
- 14 selection of evidence, you focused on papers that
- 15 dealt exclusively with breast cancer. As this is
- 16 an emerging technology, a lot of the literature
- 17 includes compilations of different kinds of cancer
- 18 in the same manuscript, where say a paper has 75
- 19 cases on breast cancer and maybe five on lung, I'm
- 20 just curious, is there a significant number of
- 21 papers that were excluded because of the purity of
- 22 that criteria?
- MR. SAMSON: I didn't keep a close
- 24 count on that, but just my memory is no, there
- 25 wasn't a lot of evidence that was excluded based

- 1 on that. If we had included it, you would be
- 2 mixing diagnostic performance data for PET in
- 3 other malignancies and there could very well be
- 4 different levels of diagnostic performance across
- 5 different malignancies.
- DR. PAPATHEOFANIS: Sure. My other
- 7 question is, I guess also coming from a
- 8 pseudomethodologist perspective. The confidence
- 9 profile method for doing meta-analysis, David Eddy
- 10 is a champion of that, and especially its use in
- 11 diagnostics. Did you consider that? I know you
- 12 used the random effects because of the Annals and
- 13 the Cochrane approach, but did you consider using
- 14 that?
- MR. SAMSON: We didn't. We haven't
- 16 accumulated much experience in using the

- 17 confidence profile method for diagnostic tests,
- 18 and we decided to use an approach that has I think
- 19 a better track record, at least it has been
- 20 published on the summary ROC curve method, I think
- 21 there is more literature on that and more people
- 22 are familiar with it.
- DR. PAPATHEOFANIS: Great. Any more
- 24 questions? Michael.
- MR. KLEIN: Yes. Did you consider in

- 1 your recommendations or in your findings the
- 2 comparison of PET to that of traditional film or
- 3 analog based mammography where detection rates
- 4 have been confirmed by a number of studies and
- 5 average anywhere from 77 percent to 82 percent,
- 6 that the false negative rate is in the high 15 to
- 7 20 percent rate and you have false positive rates
- 8 also in the range of anywhere from 7 to 10
- 9 percent.
- 10 MR. SAMSON: Are you asking me this
- 11 with regard to the screening use of PET?
- 12 MR. KLEIN: Correct.
- 13 MR. SAMSON: That was an indication
- 14 that we were evaluating for this technology
- 15 assessment. And basically, there are no data for
- 16 using PET in the screening population. We just,
- 17 that kind of information is not available so we
- 18 didn't consider it.
- MR. KLEIN: And then the other question
- 20 I had, was the two to four centimeter range size
- 21 selected, which would be indicative of a mid to
- 22 early late stage cancer, was there a particular
- 23 reason for that population chosen?
- MR. SAMSON: That's just how the
- 25 investigators selected their patients. The only

- 1 guess I could make is that you know, whenever a
- 2 diagnostic technology is being introduced, the
- 3 investigators tend to test it out first on
- 4 patients who have more easily detected disease. 1
- 5 can't think of any other reason why there is not

- 6 more data on patients who may have a lower
- 7 prevalence of disease, maybe indeterminate
- 8 mammograms and smaller tumors. I think it would
- 9 be terrific if we could get that kind of data, but
- 10 it's not available yet.
- DR. PAPATHEOFANIS: Great. Any more
- 12 questions? Well, we're three minutes behind
- 13 schedule. Let me remind you that David will be
- 14 around as he said all day, so we can return to
- 15 him. I think you've done a fine job in bringing
- 16 this data together for us and it has been very
- 17 useful for us to have your document as we will go
- 18 through the day. So let's take a break and return
- 19 in 15 minutes.
- 20 (Recess.)
- DR. PAPATHEOFANIS: We would like to
- 22 get started again.
- MS. ANDERSON: We are now going to move
- 24 into the time for scheduled public comments.
- 25 Public attendees who have contacted the executive

- 1 secretary, that would be me, prior to the meeting
- 2 will address the panel and present information
- 3 relevant to the agenda. Speakers are asked to
- 4 state whether or not they have any financial
- 5 involvement with manufacturers of any products
- 6 being discussed or with their competitors.
- We are going to begin with Dr. Sam
- 8 Gambhir, to be followed by Dr. F. David Rollo, Mr.
- 9 Bob Britain, and Dr. Steven Larson to finish. Dr.
- 10 Gambhir.
- DR. GAMBHIR: Great. I'm actually over
- 12 here, gentlemen, ladies. Since you're going to be
- 13 looking at the screen, I figured I might as well
- 14 stand over here, and she will operate the slides.
- So in the 20 minutes that I have been
- 16 allocated, I am going to use some strategies to
- 17 try to convince you that what we're looking at is
- 18 actually a different scenario than what's been
- 19 presented during the last hour, hour and a half.
- 20 I base this on going through the report that has
- 21 been done and you heard presented, I base it on my

- 22 experience in actually reading PET scans over the
- 23 last nine to ten years, and based on talking to
- 24 the members of the oncology PET community. And I
- 25 also add to this that because I build decision

- 1 models myself, look at health care outcomes myself
- 2 as part of a decision modeling laboratory, that I
- 3 think put together a clinical picture with the
- 4 health models in an appropriate way. Next slide.
- 5 So I will do this by first arguing that
- 6 when we look at the breast FDG we cannot look at
- 7 just FDG applications in the breast. I have
- 8 argued this six months previously to the executive
- 9 panel that we need to look at not just breast
- 10 literature because in fact my belief is that, as
- 11 other believe, that with PET, we are actually
- 12 monitoring things that are -- can you guys hear
- 13 me?
- I will just speak up. I am going to
- 15 argue for that briefly. Then I will take you
- 16 through some literature reviews including some
- 17 abstracts and tell you the importance of that.
- 18 And I am going to argue for three areas for the
- 19 use of FDG-PET. And the common theme in these
- 20 three areas is that we need to look at the data we
- 21 have available now, as limited as one might
- 22 believe that data is, and look to see which women
- 23 are the most underserved that can currently be
- 24 helped given the understanding that we have of the
- 25 literature. I will do this by simply looking at

- 1 women with dense breasts, and then looking at
- 2 women with recurrence, and finally going on to
- 3 monitoring treatment and looking at FDG-PET in
- 4 monitoring treatment, and finally I will conclude.
- 5 Next slide.
- 6 Glucose metabolism and FDG are based on
- 7 many many years, many decades of underlying
- 8 biochemistry, well documented in the basic science
- 9 literature. This has been stressed over and over
- 10 again, but we need to remember that glucose

- 11 metabolism is critical to proper cell function,
- 12 it's critical to cerebral function because of ATP
- 13 derivation in the neurons, it's critical in
- 14 ischemic tissue because it's protected, and in
- 15 cancer specifically, it's increased 19 to 25 fold.
- 16 I think when we look back 20 to 30 years from now,
- 17 we will not look at cancers based on their site of
- 18 origin, we will look at cancers based on their
- 19 molecular errors, based on which alpha genes are
- 20 amplified, which receptors are overexpressed or
- 21 underexpressed.
- 22 And really that alludes to the fact
- 23 that the literature you look at for FDG needs to
- 24 look at all cancers, not just breast cancer. What
- 25 causes a false positive in breast cancer in many

- 1 ways is similar to the locations in which that
- 2 lesion is found, and that can be similar to lung
- 3 cancer, similar to a head and neck cancer that's
- 4 metastasized. It's not just the origin of the
- 5 tissue, it's the common need for glucose. Next
- 6 slide.
- 7 This of course goes back to the
- 8 biochemistry of these cells needing to produce ATP
- 9 through their high proliferate rates. In fact
- 10 using anaerobic glycolysis, less ATP per glucose
- 11 is produced so more glucose is needed. Up
- 12 regulation of glucose transporters and hexocynase
- 13 then drives the various pathways for both energy
- 14 derivation and DNA and RNA synthesis. These up
- 15 regulations are common to breast cancer cells as
- 16 well as lung cancer cells, as well as a whole host
- 17 of other cancers, and breast cancer cells for the
- 18 most part are on the high end of the spectrum,
- 19 they are not on the low end of the spectrum in
- 20 terms of up regulation of fundamental molecular
- 21 pathways. They tend to take up a lot of glucose
- 22 and therefore, a lot of FDG. Next slide.
- Now we have heard extensively the
- 24 literature review, which I've also reviewed
- 25 independently, and I have no disagreements with

- 1 it. The studies are limited, there are needs for
- 2 improving those studies, there's reasons to
- 3 improve them, I think that will happen in due
- 4 time. Next slide.
- 5 But what I have done is just illustrate
- 6 a few, and when you cut through all those studies
- 7 that were presented, whether they be research
- 8 articles or more recently abstracts, what we're
- 9 dealing with is, yes, a handful of articles. But
- 10 they are being published not just in imaging
- 11 journals but in cancer journals like the Journal
- 12 of National Cancer Institute, Journal of Clinical
- 13 Oncology, surgical journals as well. They are not
- 14 a series of limited articles in limited journals.
- 15 And yes, each of these do have limitations, they
- 16 could have larger numbers, but this is again that
- 17 catch 22 that without reimbursement it's very
- 18 difficult to do the larger kind of studies that
- 19 need to be done because these are of course not
- 20 being backed by any sort of clinical trials from
- 21 drug companies or manufacturing companies. Next
- 22 slide.
- These studies do date back all the way
- 24 to even 1989. They have slowly built up to the
- 25 most current year where I think we will see a

- 1 whole host of other studies. I reviewed myself
- 2 five papers that are currently in press that are
- 3 not available to anyone, three of which will
- 4 appear in the Journal of Clinical Oncology. All
- 5 of them continue to point to building evidence
- 6 based on the kinds of preliminary data that these
- 7 earlier studies generated. Next slide.
- 8 When you break down based on each of
- 9 the categories, diagnosis, staging, recurrence, as
- 10 well as monitoring treatment, and you look at
- 11 articles and abstracts as well as articles only,
- 12 for the most part, including the abstracts
- 13 strengthens the end, it increases the total number
- 14 of patient studies, and it tends to actually
- 15 decrease slightly the sensitivity and specificity.

- 16 That is, the abstracts I think are showing us that
- 17 the actual accuracies are dropping slightly
- 18 compared to what we saw in the research articles
- 19 alone, but in fact gives us more weight that these
- 20 actual sensitivities and specificities are
- 21 reasonable.
- For example, in the area of diagnosis,
- 23 what we're looking at are sensitivities of 90
- 24 percent and specificities of 92 percent, with an
- 25 overall accuracy of 88 percent, when you look at

- 1 lesions. And when you come to the research
- 2 articles, those are the only ones that also looked
- 3 at lesions so it's the same numbers, 90, 92 and
- 4 88. But when you look at patient studies, if you
- 5 just look at research articles, we've gone from
- 6 about a hundred to 200, double the number of
- 7 patients. The accuracy when you look at research
- 8 articles alone are 93 percent, 93 percent, overall
- 9 accuracy of 94, where here we're looking at 91,
- 10 93, 95. Not much of a change, even though we've
- 11 doubled the number of patient.
- Now these admittedly are based on these
- 13 weighted averages, these are not ROC analyses, but
- 14 as I will argue, I don't think the real issue is
- 15 what is the exact sensitivity or specificity.
- 16 It's a range of sensitivity and specificity that
- 17 continues to be reinforced based on the outcoming
- 18 data. Next slide.
- In staging, what we're seeing again
- 20 when we include just articles are sensitivity of
- 21 92, specificity of 90. When you include articles
- 22 and abstracts, again, a significant jump, about
- 23 500 more patients. What you see are accuracies of
- 24 91, 88, and overall accuracy of 90. So the values
- 25 are not changing that much, although we're gaining

- 1 more confidence that these results are real based
- 2 on the large number of patients. Next slide.
- When you look at diagnosis and staging
- 4 combined, these are just a very limited subset of

- 5 data, and again, there is no significant addition 6 through the abstracts. Next slide.
- When you look at recurrence, again,
- 8 doubling the number of studies from about 200 to
- 9 400, you see sensitivities of 90, 90, 93, and 80,
- 10 85, 82, so slight decreases in the sensitivity and
- 11 specificity and accuracy based on adding the
- 12 additional number of studies. Next slide.
- In monitoring response, again, what we
- 14 see happening is going from 150 to about 200
- 15 studies. Sensitivity of 81, specificity of 97,
- 16 accuracy of 92, and now we're going to 81, 96, 92,
- 17 so again, a very similar pattern. Next slide.
- 18 So what these data are telling me
- 19 really is that if you just look at research
- 20 articles alone, after you dissect apart all the
- 21 different areas of applications, in over about a
- 22 thousand patients right now, across just the
- 23 research articles, what we're looking at are
- 24 ranges of sensitivity of 75 to 91, and specificity
- 25 of 74 to 93. When you add in the abstracts, these

- 1 same kinds of ranges still persist; as a matter of
- 2 fact, the ranges don't change, the abstracts all
- 3 fall in between these ranges, it's just that the
- 4 number of articles and abstracts now increase, and
- 5 the number of patients comes up into the 2,000
- 6 range. I don't think the real issue, even if we
- 7 were to revisit this problem two or three years
- 8 from now, will be what is the exact sensitivity
- 9 and specificity of PET in breast cancer detection,
- 10 diagnosis, management, recurrence. We can
- 11 continue to gather the studies and my best
- 12 guesstimate at the current time is they will
- 13 continue to fall in these ranges.
- I think the bigger problem is, what is
- 15 the clinical applications in which women that are
- 16 currently underserved would benefit from a
- 17 sensitivity and specificity in the current range
- 18 as compared to what other studies offer us, and
- 19 that's how I have modeled the next set of
- 20 arguments. It's not going to be about trying to

- 21 find out for sure what the exact sensitivity and
- 22 specificity are. What we're really going to have
- 23 to ask is what studies are patients going to
- 24 benefit from the most and in what clinical
- 25 management outlooks. Next slide.

- 1 So, that's what we want to focus on,
 - 2 what's the clinical setting based on what we know
- 3 about accuracy today so we can ration the
- 4 technology for a good use. Next slide.
- 5 I think one such application that we
- 6 have not addressed properly in the literature but
- 7 there is inference based evidence for to apply PET
- 8 to is that of women with dense breasts. Next
- 9 slide.
- This is an example of a female in her
- 11 young 40s who actually has a high risk of breast
- 12 cancer based on family history, who kept getting
- 13 mammography even after the age of 35, kept having
- 14 negative mammograms, had dense breasts, Wolf scale
- 15 DY based on mammographic density, and then finally
- 16 because she had access to it, decided to have a
- 17 PET scan based on being able to pay for it
- 18 herself. And there's clearly as it turns out, to
- 19 be a one centimeter focus. This is ductal,
- 20 infiltrating ductal carcinoma. This is an example
- 21 of the kind of signal you can get from a dense
- 22 breast. This signal is compromised in low energy
- 23 x-rays of mammography. PET has no real concern
- 24 that this is coming from a dense breast. The
- 25 physics are such that that signal is properly

- 1 relayed from a dense breast.
- In fact, you have to focus not just on
- 3 that, but actually in other views where her arms
- 4 are up, lack of axillary findings and lack of
- 5 involvement in her entire body. What would happen
- 6 to her had she not have had this PET scan? Well,
- 7 no one can say for sure, but my guess is this
- 8 lesion would have continued to have gotten bigger,
- 9 bigger than the one centimeter it is, eventually

- 10 it would have been palpated, would have been
- 11 found, and she would have had a chance for staged
- 12 progression. Next slide.
- In fact, four years ago, I started
- 14 looking at, with Matt Allen in my laboratory,
- 15 decision models for just this area of application,
- 16 not specifically for PET, let's try and
- 17 understand, what can we do for women with dense
- 18 breasts that have a mammogram, are actually
- 19 falsely negative, and then just come back and have
- 20 a screening mammogram a year later and a year
- 21 later and always miss, what can we do for these
- 22 women now given that they are not being well
- 23 served by the existing modalities. Next slide.
- Well, one possibility is in fact
- 25 concern for these women that have a negative

- 1 mammogram, a PET study. We don't have data
- 2 specifically looking at the use of PET in this
- 3 exact population, but we know based on the physics
- 4 of the technology, based on applying it to women
- 5 with dense and nondense breasts together, that PET
- 6 has a sensitivity in the range that I'll show you
- 7 an a specificity in the range that I'll show you.
- 8 And these women have no other way of knowing
- 9 really whether they have a focus. Next slide.
- 10 Does it matter that you catch the dense
- 11 breast lesion early? Yes. I think at this date
- 12 in research and treatment, that there is evidence
- 13 to believe that for a six-month delay or more,
- 14 women would have a .047 chance of distant disease
- 15 on initial presentation. That's with a six-month
- 16 delay in diagnosis, but when there is no delay,
- 17 that is when they're caught right away, and of
- 18 course we catch more at the local stage, .875,
- 19 less at the regional, and none at the distant. So
- 20 this six-month delay is costing a progression of
- 21 disease in these women that have in this case
- 22 dense breasts. These women then will benefit
- 23 potentially if we can insert a test to catch them
- 24 prior to stage progression. Next slide.
- In fact, mammography does terribly in

- 1 this area. Just like that woman who I showed you,
- 2 the example where the sensitivity was unknown but
- 3 the mammogram missed the lesion for three years,
- 4 66 percent is the estimated sensitivity for
- 5 mammography in dense breasts based on the
- 6 literature. We have done sensitivity analysis to
- 7 cover the entire range. PET, even if you go to
- 8 the lower end of the range, you would be looking
- 9 at 75 to 80, maybe 70 percent in this range. For
- 10 these models I've actually plugged in 70, but I'm
- 11 showing you that realistically I believe it is
- 12 higher, that it's around 80 percent sensitivity.
- The specificities of mammography and
- 14 PET are comparable in this application. The
- 15 biopsy approaches are not accurate, at least for a
- 16 needle biopsy. Incisional biopsy essentially is
- 17 100 percent. We've modeled all these. The
- 18 details are in that article in Breast Cancer
- 19 Research and Treatment by Allen, et al. Next
- 20 slide.
- 21 What we've shown is that you if you
- 22 screen, for example, 3 million women with DY
- 23 breast density with mammography and a second test,
- 24 and in this case I have inserted an FDG-PET, that
- 25 will lead to 1,638 fewer false negatives than

- 1 using mammography alone. That will translate to a
- 2 prevention of 267 women progressing from local to
- 3 regional, and 78 women from regional to distant
- 4 disease. These numbers don't sound big when you
- 5 compare it to the number of women being put into
- 6 the algorithm, but remember, the incidence of
- 7 breast cancer is pretty low.
- If you want to be even more selective
- 9 about who you choose, rather than just DY breast
- 10 density on the Wolf scale, of course you can
- 11 reduce the number of women coming in. But these
- 12 women are underserved, they are going to have
- 13 their lesions missed on mammography, they are
- 14 going to progress in stage, and these studies as

- 15 the best I can do based on the available
- 16 literature, reasoning from what we've got, show
- 17 that we're going to actually help to prevent
- 18 progression of the disease, so I would like for
- 19 you to consider that as one potential area of
- 20 application, with an actual health outcome
- 21 difference, not just a simple, you know, here's
- 22 how many scans PET avoided or here's how many
- 23 costs it saved, it's actually a health outcome
- 24 difference. Since we're looking at health
- 25 outcome, let's go after women that are

- 1 underserved. Next slide.
- 2 Then how about assessment of extent of
- 3 disease after recurrence? Next slide.
- 4 Here is an example of a woman who had
- 5 breast cancer actually in the right breast, which
- 6 is on our left, had lumpectomy, had adjuvant
- 7 chemotherapy, three or four years later presents
- 8 back with rising tumor markers, in this case a
- 9 mucin marker, and is now subjected to a whole body
- 10 PET scan. Again, the power of PET here as an
- 11 initial tool is that the entire body is surveyed,
- 12 we can immediately get a sense for where the
- 13 disease may be localized. In this case, it's
- 14 actual in lymph nodes, in the mammary lymph node
- 15 and sternal bony involvement. More importantly,
- 16 there is not any involvement in the axilla, the
- 17 breast mass, as well as distant metastases in the
- 18 abdomen or pelvis. This directly influences
- 19 management because now she can undergo
- 20 locoregional treatment as opposed to more systemic
- 21 treatment, although some would argue that her bony
- 22 metastasis of the sternum would dictate more
- 23 aggressive treatment.
- Does it make a difference in terms of
- 25 health outcome? Not clear, and I can't argue

- 1 these issues in terms of health outcome, but I can
- 2 argue them in terms of at day-to-day practice.
- 3 Physicians will routinely after, whether a tumor

- 4 marker triggers recurrence, a new palpable mass, a
- 5 new palpable node, start by doing a series of CT
- 6 studies, start by doing a series of bone scans,
- 7 try to see where the tumor has recurred, has it
- 8 recurred regionally, has it recurred regionally
- 9 plus the axilla or distant? And the key is, PET
- 10 is giving you all that information in one scan,
- 11 yes, with not a perfect specificity or perfect
- 12 sensitivity, but with, as you heard, close to a
- 13 specificity and sensitivity with what we see in
- 14 the other modalities.
- 15 And for this you can look beyond the
- 16 breast literature. What causes the false
- 17 positives and false negatives in the abdomen and
- 18 pelvis for breast cancer are the same as what is
- 19 the case in lung cancer, it's the same underlying
- 20 biochemistry for these lesions, it's not new just
- 21 because it originated in breasts. Next slide.
- Here's another example where pleural
- 23 metastases now have occurred, dictating a much
- 24 poorer prognosis. This is someone who actually
- 25 had left-sided breast cancer, on our right, and

- 1 again, three or four years later started to
- 2 present with in this case rising tumor markers,
- 3 CEA actually, and was found to have excessive
- 4 pleural involvement. Next slide.
- 5 So, in 35 research articles, the mean
- 6 sensitivity and specificity are around 90 percent,
- 7 90 percent sensitivity, 90 percent specificity,
- 8 and including the abstracts, there's about a 40
- 9 percent change in management occurring on a
- 10 day-to-day clinical practice. Use of FDG-PET in
- 11 this setting would help to establish the
- 12 aggressiveness and the nature of treatment. Yes,
- 13 I don't know if it will make a difference in
- 14 health outcome for these women, but it will in
- 15 fact establish the nature of the chemotherapy or
- 16 local aggressiveness if you wanted a local
- 17 surgery, based on the FDG-PET study.
- So again, these are women that would be
- 19 better managed if the extent of disease throughout

- 20 the body could be better identified and more
- 21 appropriate management undertaken. Next slide.
- 22 Monitoring response to therapy. Next
- 23 slide.
- Here's an example of the kind of
- 25 typical studies we see. I think one injustice in

- 1 looking at these articles and the numbers is that
- 2 you don't get to see the visual results. This is
- 3 a woman that has in fact recurred, there is -- I'm
- 4 sorry, was initially diagnosed with Stage Iv with
- 5 extensive nodal involvement and metastases. You
- 6 can see FDG uptake in lymph nodes and in bone
- 7 throughout the thorax. Within two cycles of chemo
- 8 for her you can see resolution of those same foci,
- 9 well in advance of the CT which still shows no
- 10 potential size reduction. These women can be
- 11 better managed because in fact now in her case, we
- 12 know the chemo is working.
- 13 Similarly, there are example where the
- 14 chemo is not working and we can change the
- 15 therapeutic options for that patient. Next slide.
- So yes, we only have a few research
- 17 articles, we have five research articles. The
- 18 mean sensitivity and specificity are 90 and 74
- 19 patient, and it's only 174 patients so far. But
- 20 again, I think as more data will be generated,
- 21 these sensitivities and specificities will
- 22 continue to fall in these ranges and really the
- 23 case will be what is the management change based
- 24 on these studies that are occurring. And again,
- 25 the data continues to show in clinical practice

- 1 that FDG-PET in this setting would help to
- 2 establish response or lack thereof for a given
- 3 treatment regimen, allowing you to change the
- 4 chemotherapeutic regimen and this should lead to
- 5 better management, I don't know if it will lead to
- 6 better health outcomes, but on a day-to-day
- 7 practice that will lead to better management and
- 8 hopefully earlier response can be gauged and

- 9 hopefully better outcomes will result. Next
- 10 slide.
- 11 Some conclusions. FDG-PET has a
- 12 biochemical basis that will continue to reinforce
- 13 the accuracy of this test in various clinical
- 14 settings. Please do not look at just the breast
- 15 cancer literature alone, that's not the right way
- 16 to think about malignancies. The mean sensitivity
- 17 and specificity values for FDG-PET in various
- 18 applications for breast cancer are not likely to
- 19 change much with the additional studies. Yes,
- 20 they may fluctuate around these different means
- 21 and variances, but they are not going to change
- 22 the overall value significantly.
- It's underserved women, screening women
- 24 with dense breasts, restaging women with
- 25 recurrence so we get a whole body survey,

- 1 monitoring response to therapy so we can change
- 2 chemotherapy are all applications that can be
- 3 currently justified if you look at management
- 4 changes, some minor decision modeling with the
- 5 evidence we have so far. Next slide.
- 6 We need to focus FDG-PET on women that
- 7 are most underserved. This will allow and justify
- 8 the rationing of the technology. We don't want to
- 9 use it unnecessarily across every indication but
- 10 the indications I'm showing you I truly believe
- 11 have practical implications, have enough data to
- 12 give us some jump in trying to study these women
- 13 now, and we shouldn't wait to help women in the
- 14 future when we have ongoing validation, rapidly
- 15 emerging abstracts that enforce the data, when we
- 16 can help these women now. Thank you.
- DR. PAPATHEOFANIS: Great, thank you,
- 18 Dr. Gambhir. I wanted to take just a couple
- 19 minutes to see if there are any questions for
- 20 Dr. Gambhir by panel members before we go on to
- 21 the next speaker. No? Okay. Let's go on to the
- 22 next speaker. Dr. Rollo.
- DR. ROLLO: Good morning, I am
- 24 Dr. David Rollo. I am currently the chief medical

25 officer of ADAC Labs, a company that was recently

00098

- 1 acquired by Philips Medical Systems. ADAC
- 2 Laboratories is a manufacturer of PET imaging
- 3 systems. I joined ADAC in October of 1999. In
- 4 this position I am responsible for the clinical
- 5 research programs, luminary and professional
- 6 relations in the management of the medical
- 7 advisory board. I am also the medical director
- 8 for all regulatory compliance matters and serve on
- 9 the strategic planning committee of the
- 10 corporation.
- 11 Previously I was chief medical officer
- 12 of Humana when Humana was a hospital company and
- 13 also at that time was also the owner of its own
- 14 medical insurance plan. At Humana I held the
- 15 position of senior vice president of medical
- 16 affairs as well as the founding medical director
- 17 of the Humana Health Plans.
- In addition, I am on the board of
- 19 directors of the diagnostic imaging and therapy
- 20 systems of the National Electrical Manufacturing
- 21 Association, known as NEMA. I am here this
- 22 morning representing the views of NEMA.
- NEMA is the nation's largest trade
- 24 association representing the United States'
- 25 electrical industry. NEMA's diagnostic imaging

- 1 and therapeutic systems division represents more
- 2 than 95 percent of manufacturers in a \$5 billion
- 3 market for high tech x-ray imaging, computer
- 4 tomography, diagnostic ultrasound, radiation
- 5 therapy, magnetic resonance imaging, and nuclear
- 6 imaging equipment. In addition, the division
- 7 represents the manufacturers of picture archiving
- 8 and communications systems.
- 9 I am accompanied this morning by
- 10 Mr. Robert Britain. Mr. Britain is vice
- 11 president, medical products, of NEMA. Prior to
- 12 joining NEMA in 1985, Mr. Britain spent 23 years
- 13 in the United States Public Health Service Food

- 14 and Drug Administration, during which he held
- 15 positions as Director, Office of Compliance,
- 16 Bureau of Radiological Health, Deputy Director of
- 17 Bureau of Medical Devices, and Director, Office of
- 18 Device Evaluation in the Bureau of Medical Devices
- 19 and the Center for Devices and Radiologic Health.
- 20 Mr. Britain is here to assist in the event that
- 21 any policy issues relating to the medical imaging
- 22 industry be raised.
- On behalf of NEMA and its member
- 24 companies, we appreciate the opportunity to
- 25 address the Medicare Coverage Advisory Committee

- 1 for Diagnostic Imaging Panel on this important
- 2 topic of Medicare coverage of breast cancer.
- 3 There are a number of issues, concerns and
- 4 considerations that we would like to urge the
- 5 panel to bear in mind as you deliberate on this
- 6 coverage issue.
- 7 First, we'd like to urge the panel to
- 8 consider a wide body of evidence, move forward to
- 9 consider PET coverage for breast cancer. No one
- 10 on this panel needs to be told of the devastating
- 11 effect that breast cancer is having on women and
- 12 their families across this country. The stakes
- 13 are huge. According to the American Cancer
- 14 Society, every woman is at risk for breast cancer,
- 15 and as a woman ages the risk of breast cancer
- 16 increases. This year 182,800 women in the United
- 17 States will be diagnosed with breast cancer and
- 18 over 40,000 of these individuals will die.
- 19 Excluding skin cancer, breast cancer is the most
- 20 common form of cancer in women in the United
- 21 States and is the third leading cause of cancer
- 22 related deaths. We believe the breadth and scope
- 23 of this deadly disease requires a flexible and
- 24 forward looking approach to providing not only new
- 25 tools in the diagnosis and treatment of the

- 1 disease, but also to encourage an environment that
- 2 is conducive to the development of new

3 technologies to address and eradicate this
4 disease.

5 The key to successful breast cancer treatment is early detection, finding, accurately 6 staging and treating the cancer before it has had 7 a chance to spread. The five-year survival rate 8 for localized tumors, that is tumors that have not 9 spread out of the breast tissue, is nearly 97 10 percent. For those that have spread to adjacent 11 lymph nodes, it is around 75 percent, and for 12 cancers that have spread to other parts of the 13 14 body, it's only 20 percent. 15

Clearly technology advances will be able to better whether suspicious structures are 16 in fact malignant and whether or not any of the 17 malignant cells have metastasized to adjacent or 18 19 distant parts of the body. Clearly one of the 20 real remaining challenges of diagnosis and 21 treatment for good breast cancer is good staging. As everyone here knows, PET is a noninvasive 22 diagnostic procedure that assesses the level of 23

25 systems of the human body. In PET, the positron

camera is used to produce cross-sectional

metabolic active and perfusion in various organ

00102

1

1718

neck cancers.

24

tomographic images which are obtained by imaging a 2 positron emitting radioactive tracer such as FDG 3 or fluorodeoxyglucose. This is usually 4 administered intravenously to the patient. 5 6 This technology has proven valuable in providing metabolic information on tumor activity 7 and other indications. Currently, HCFA is 8 covering PET for diagnosis, initial staging and 9 10 restaging of non-small cell lung cancer. For colorectal cancer, it has been a standard to 11 12 include diagnosis, staging and restaging. also the initial staging and restaging of both 13 14 Hodgkin's and non-Hodgkin's diseases, the diagnosis, initial staging and restaging of 15 melanoma, the diagnosis, initial staging and 16

restaging of esophageal cancer, and the head and

- And importantly, as we just pointed
- 20 out, in all cases we are looking at increased
- 21 metabolic activity having nothing to do with the
- 22 source of the cancer, but simply the process of
- 23 what happens when cancer cells spread to other
- 24 parts of the body.
- 25 Congress and administrations past and

- 1 present have recognized the importance of moving
- 2 forward on the diagnosis and treatment of breast
- 3 cancer. Federal spending for breast cancer
- 4 research at the Department of Defense, the
- 5 National Cancer Institute and other federal
- 6 agencies has grown over the years, and it has
- 7 become quite significant. This reflects the
- 8 intense interest in the mind of the public in
- 9 bringing the resources of the federal government
- 10 to bear on saving the lives of the women who are
- 11 diagnosed with this deadly disease.
- 12 We believe that this context should
- 13 drive this panel, and subsequently the full MCAC
- 14 Executive Committee, to take into consideration
- 15 the full array of clinical information about PET's
- 16 effectiveness, such as experience of practicing
- 17 physicians, medical specialty societies and
- 18 patients. We do not believe that the analysis of
- 19 evidence about a technology's effectiveness,
- 20 especially in dealing with a deadly disease such
- 21 as bread cancer, should be confined to peer
- 22 reviewed articles, which are the sole source of
- 23 information for the Blue Cross/Blue Shield
- 24 Technology Evaluation Center report. We believe
- 25 it is appropriate for HCFA and for you in your

- 1 advice to this Agency to take into account broader
- 2 public policy considerations and coverage
- 3 decisions.
- 4 For this reason, we believe it is both
- 5 warranted and appropriate for this panel to take
- 6 into consideration in addition to peer reviewed
- 7 studies, the expert judgment of the leading

- 8 developers and innovators of PET technology, input
- 9 from the appropriate medical societies, from
- 10 patients, and the fact that the United States
- 11 Government has made the improved diagnosis and
- 12 treatment of breast cancer a national priority.
- 13 For this reason, we also believe that the fact
- 14 that PET has been determined by HCFA to be worthy
- 15 of coverage for six other cancer indications to be
- 16 suggestive of its potential effectiveness in other
- 17 indications should be recognized for breast as
- 18 well.
- 19 Second, we are concerned that the panel
- 20 consider the fact that in many cases, medical
- 21 practice and technology evolve more rapidly than
- 22 the publication of studies which document their
- 23 benefit to patients, as we just noted. Technology
- 24 assessments relying on peer reviewed published
- 25 literature which meets preestablished rigorous

- 1 inclusion type criteria such as the TEC assessment
- 2 do not adequately and fully reflect the current
- 3 practice of medicine, or available technology
- 4 advances for patients in existence today.
- 5 Timeliness in coverage decision making is
- 6 essential to providing access to patients to the
- 7 latest innovations of medical technology.
- 8 Third, we believe HCFA and the MCAC
- 9 should explore ways to insure that Medicare
- 10 beneficiaries have access to emerging medical
- 11 technologies, not just existing an mature
- 12 technologies, while at the same time providing for
- 13 development of information to support decision
- 14 making in the long term. Clinical experience and
- 15 actual patient studies should be considered, along
- 16 with patient registries, real-time data
- 17 collection, or collaborative agreements with other
- 18 bodies as possible alternatives.
- 19 For these reasons and for this
- 20 indication, we believe that the panel should have
- 21 and should exercise reasonable flexibility, again,
- 22 the word flexibility, in its coverage
- 23 recommendation. This flexibility should extend

- 24 not only from the nature of the evidence
- 25 considered for the effective of PET for breast

- 1 indications, but also to a forward looking
- 2 coverage policy for a national priority disease
- 3 that lays the foundation for subsequent studies
- 4 and data collection that would support longer term
- 5 coverage decisions.
- 6 Fourth, we believe there are important
- 7 indications that PET has a unique capability in
- 8 terms of its value in staging breast cancer and
- 9 detection of metastatic disease. In comparison
- 10 with other diagnostic modalities, PET possesses a
- 11 greater degree of sensitivity and specificity that
- 12 enables it to detect metastasis far earlier in the
- 13 disease process that permits appropriate and
- 14 timely treatment of metastatic as well as
- 15 localized disease.
- 16 The clinical information obtained from
- 17 PET imaging can be used to avoid or sharply reduce
- 18 the cost and risks associated with surgery on
- 19 patients with inoperable cancer, which is also a
- 20 consideration for the other indications that have
- 21 already been approved.
- 22 My personal experience is at Cedar
- 23 Sinai Medical Center in Los Angeles, where we are
- 24 conducting a clinical trial on breast cancer. As
- 25 an example, I recently participated in a study on

- 1 a 35 year old woman with evidence from a mammogram
- 2 and biopsy that she had a solitary cancer in her
- 3 left breast. She requested a PET scan, with the
- 4 understanding that she would have to pay for this
- 5 at her own expense, because she wanted to be sure
- 6 of the diagnosis, that is, she had no evidence of
- 7 disease other than the solitary mass that had been
- 8 identified. The PET study showed four lesions in
- 9 her breast rather than one, as had been indicated
- 10 on the palpable mass, the mammogram and on the
- 11 biopsy, as well as lymph node involvement that was
- 12 not evident on the examination by her referring

- 13 physician. The whole body study showed no
- 14 evidence of additional metastasis.
- 15 Her treatment was changed from a
- 16 lumpectomy, which had been the original decision
- 17 by the surgeon and her referring physician, to a
- 18 radical mastectomy and lymph node dissection. The
- 19 staging clearly saved this woman's life and the
- 20 agony of disease when it was detected months later
- 21 from the residual if it had not in fact been
- 22 removed. The cost implications would have been
- 23 roughly \$10,000 for the lumpectomy treatment,
- 24 followed by a 60 to \$80,000 dollar chase of the
- 25 cancer that was left in her body, with a life

- 1 expectancy over two years of no more than 10 to 20
- 2 percent. The staging using PET resulted in a 15
- 3 to \$20,000 treatment for the radical mastectomy,
- 4 lymph node dissection and associated chemotherapy.
- 5 The treatment provided this 35 year old woman with
- 6 an 85 percent survival rate at five years.
- 7 More generally as this experience
- 8 confirms, we believe that metastatic staging using
- 9 PET has the potential to detect distant metastasis
- 10 in the liver, the skeleton and distant nodes.
- 11 Importantly, the presence of distant metastasis
- 12 radically changes the treatment from aggressive to
- 13 palliative. Likewise, a patient's prognosis
- 14 changes from hopeful to very poor. The PET survey
- 15 potentially can replace the need to perform a
- 16 conventional metastatic survey, including CT,
- 17 ultrasound, and conventional bone scan. This
- 18 approach could be especially valuable for patients
- 19 with Stage III breast cancer at the time of
- 20 initial diagnosis or in patients with suspected
- 21 recurrence.
- NEMA is aware that the use of PET for
- 23 primary diagnosis of all breast lesions and
- 24 staging for nodal involvement, while reported in
- 25 the literature, is not reported for a

00109

1 statistically significant patient population.

2 However, we do understand that the reports that 3 are in the literature, whether they be abstracts 4 or full-blown articles, are extremely positive on 5 the clinical value and the promise.

Sensitivities of 90 percent and 6 specificities of 95 percent are reported by the 7 Academy of Molecular Imaging as the values that 8 could have a negative predictive value of 97 9 percent, and spare 33 patients the morbidity 10 11 associated with the axillary lymph node dissection at a cost of missing one patient with lymph node 12 13 involvement.

Such high sensitivities and specificities have been reported with attenuation corrected studies, which were not reported this more or at least were not segmented out from the literature. The lit number, however, indicate clearly numbers in the 75 to 90 percent range for both sensitivity and specificity for mainly nonattenuation corrected images.

Finally, continued availability of technological advances for patients depends on the ability of medical device companies to devote research funding for their development. An

00110

1415

16

17

18

19 20

21

environment that is conducive to the steady flow 1 of new medical technologies to address the health 2 needs of the American public should be a concern 3 of the federal government. Coverage and reimbursement decisions made by HCFA have a 5 critical and direct impact on the ability of 6 companies to dedicate funding for research and 7 8 development, and adverse decisions could have a 9 negative impact on the development of new 10 technologies.

11 For the past two years, the trade 12 association I am here representing today, NEMA, 13 has partnered with the National Cancer Institute 14 to hold an annual symposium in Washington D.C. 15 designated to facilitate communications between 16 industry, academia and the federal government in 17 order to stimulate further research and

- 18 breakthroughs in medical imaging technology.
- 19 There is little doubt through these symposia that
- 20 the real excitement and hope for breakthroughs in
- 21 the imaging field are on the area of molecular,
- 22 gene, and other biomolecular imaging modalities,
- 23 of which PET is considered the vanguard.
- The hope is for technologies that not
- 25 only improve diagnosis and sharpen our range of

- 1 therapies, but ultimately for technologies that
- 2 will enable us to image therapeutic interventions
- 3 in real time at the molecular and gene level in
- 4 order to evaluate the effectiveness of a given
- 5 treatment regimen.
- If this sounds exciting, it is, but the
- 7 development of these technologies is not a
- 8 foregone conclusion. One of the things that
- 9 industry has learned from these symposia is that
- 10 we need to do a better job of education of our
- 11 friends in academia as well as government in how
- 12 companies make their investment decisions.
- 13 Research and development funding in most companies
- 14 must vie against many competing interests inside
- 15 the company, marketing, operations, expansion,
- 16 capital equipment, acquisitions, current product
- 17 enhancement. In most medical technology oriented
- 18 companies there are many research ideas, far more
- 19 than can be funded by the dollars that are
- 20 available.
- Vice presidents and directors of
- 22 research and development at medical technologies
- 23 across this country are forced to make difficult
- 24 decisions on what projects they will fund each
- 25 year. Many factors go into the decision between

- 1 the winners and losers in this process. Some of
- 2 these factors include clinical need, the
- 3 reimbursement climate, the risk of the project,
- 4 the overall cost of the project, time to market
- 5 for any new products, as well as potential size of
- 6 the market.

- 7 The strength of your intellectual 8 capital is another major factor in determining 9 where the funding will in fact be administered. 10 Just because the technology is exciting or
- 11 potentially revolutionary does not mean that most
- 12 medical technologies are going to invest the time
- 13 and the money to develop it, especially if the
- 14 market is small or difficult to enter; companies
- 15 will either keep their investments modest or make
- 16 no investment at all. In the field of medical
- 17 technology, one of the key considerations in
- 18 determining the size and difficulty in entering a
- 19 market are medical insurance coverage and
- 20 reimbursement decisions. If it is expected or
- 21 proved difficult to gain coverage for new
- 22 technology, or if reimbursement levels are such
- 23 that there are few incentives for providers to
- 24 purchase the equipment, there will be no strong
- 25 pressure for companies to place their R&D dollars

- 1 in this technology, no matter how exciting the 2 promise of the new technology.
- With PET set on what appears to be an
- 4 indication by indication coverage path, there is
- 5 no doubt that this is a difficult market to enter
- 6 and the prospects for recouping R&D dollars may be
- 7 long and arduous. In this context, while we
- 8 recognize that this panel's responsibility is to
- 9 make recommendations with regard to coverage for a
- 10 given technology, for a technology so widely
- 11 thought to be promising and especially for an
- 12 indication whose diagnosis and treatment are a
- 13 national priority, we believe it is appropriate
- 14 and justified for you to exercise flexibility in
- 15 considering this decision coverage, and to factor
- 16 into your considerations the potential impact a
- 17 negative recommendation could have on future
- 18 company based R&D in this promising technology
- 19 field.
- We appreciate the opportunity to raise
- 21 these issues before you today and would be pleased
- 22 to answer any questions you might have. Thank

- 23 you.
- DR. PAPATHEOFANIS: Thank you,
- 25 Dr. Rollo. Any questions from the committee at

- 1 this point?
 - DR. TUNIS: Maybe just one question.
 - 3 guess, just so I understand a major aspect of your
 - 4 discussion is obviously the priority and
 - 5 importance of breast cancer as a disease entity in
 - 6 terms of morbidity and mortality, et cetera, which
 - 7 I think no one disagrees with. And your asking
- 8 for a flexible approach presumably reflects what's
- 9 in the TEC assessment and what Dr. Gambhir
- 10 mentioned, which is that the quality of the
- 11 evidence is sort of acknowledged for most of the
- 12 proposed clinical applications to be weak, I
- 13 presume that's what a flexible approach leans
- 14 toward.
- I guess the question I would pose to
- 16 you is, why wouldn't that approach lead to this
- 17 panel recommending coverage for primary screening
- 18 for breast cancer using PET as opposed to
- 19 conventional mammography? In other words, what is
- 20 this panel supposed to look at to answer that
- 21 question in the negative and these other questions
- 22 in the affirmative, or how would you parse that so
- 23 that the panel could sort of think through where
- 24 they might draw the line in terms of applications
- 25 of PET?

- DR. ROLLO: Okay. The answer to that,
- 2 in terms of flexibility, we're looking at breast
- 3 imaging in much the same way that HCFA approved
- 4 the indications for other cancers. It literally
- 5 was on a trial basis, the promise that in fact the
- 6 staging of patients with other cancers could in
- 7 fact lead to more appropriate treatment and
- 8 management, and by that we mean the elimination of
- 9 surgery that may not in fact be beneficial to the
- 10 patient, the elimination of many heroic procedures
- 11 that physicians could administer to patients as

- 12 opposed to palliative treatment, knowing full well
- 13 that the extent of the disease based on statistics
- 14 would indicate that that patient had less than
- 15 some particular time to live.
- 16 And rather than having them go through
- 17 that agony of that type of treatment -- let me
- 18 give you an example of what I'm saying even more
- 19 specifically. When I was at Humana, one of the
- 20 things I developed is 90 dedicated breast clinics.
- 21 These were clinics that were dedicated to women,
- 22 they were separate units within hospitals,
- 23 separate from the diagnostic radiation department.
- 24 The focus was on education, it was on self
- 25 examination and it was on the evaluation and

- 1 encouraging patients to have screening
- 2 examinations. What we found is that we found a
- 3 lot of cancer that probably would not have been
- 4 detected otherwise in part because we also
- 5 encouraged payers, for the individual employees
- 6 who had a plan, to offer free screening to their
- 7 employees.
- 8 What we found, though, is that when
- 9 they made the diagnosis, invariably the physicians
- 10 were looking at lumpectomies as the alternative.
- 11 If it was a solitary mass, they would do a
- 12 lumpectomy. We had literally hundreds of cases
- 13 where the lumpectomy in fact failed to reveal the
- 14 fact that the patient had either axillary nodal
- 15 involvement or additional lesions within the
- 16 breast, and we ended up with huge expenses. The
- 17 numbers I gave earlier are Humana numbers on what
- 18 it cost us to chase the disease.
- 19 By doing the staging and doing the
- 20 procedure that we're talking about with PET, using
- 21 a flexible approach, it doesn't say we're going to
- 22 do everything, all the four indications that were
- 23 suggested before, but looking at this as a better
- 24 way of making a diagnosis. And part of it would
- 25 be, the mammography still ought to be the

- 1 screening procedure. The biopsy still should be
- 2 the standard in defining whether or not that
- 3 lesion happens to be a cancer. But the next step
- 4 would be to use the PET imaging to determine
- 5 whether or not there are additional lesions within
- 6 that breast, nodal involvement, and also
- 7 metastatic disease throughout the body.
- 8 So it would be kind of a staged
- 9 approach with many of the types of data that
- 10 Dr. Gambhir had suggested, and we know that the
- 11 literature is beginning to prove that this in fact
- 12 would be an approach that would be worthwhile. So
- 13 we're looking at flexibility, not giving it all,
- 14 but really looking at it as something that would
- 15 be developing.
- DR. MCNEIL: David, just following up a
- 17 little bit on Sean's question. I'm a little
- 18 confused about where we draw lines in terms of
- 19 what's within our decision portfolio today. And
- 20 as a follow-up question, I would like to ask you
- 21 the following: Would you make the same argument
- 22 that you're making now about, we're talking about
- 23 screening for example, let's just take Sean's
- 24 question about screening women, or even looking at
- 25 axillary nodes for women, just taking the distant

- 1 disease out of it for the moment. Would you make
- 2 your same argument about MRI and would you think
- 3 therefore, that this analysis should focus on a
- 4 comparison between PET and MRI, for either the
- 5 main indication number one, or the subset of that
- 6 that Sam mentioned in terms of dense breasts?
- 7 What I'm trying to do is really parse what we're
- 8 deciding because this is really an overwhelming
- 9 field, and it's a little bit hard for me to figure
- 10 out where we make decisions and with what database
- 11 we use them. I'm a little concerned about using
- 12 just anecdotes, so can you help me through that
- 13 process?
- DR. ROLLO: I think as we all know,
- 15 there is a dramatic information in terms of the
- 16 information gained from MRI as opposed to PET

- 17 imaging for looking at early detection of
- 18 metastatic disease.
- DR. MCNEIL: No, I'm talking about not
- 20 metastatic, local.
- DR. ROLLO: Okay. To me, I thought the
- 22 question had to do with, would we make the same
- 23 argument for MRI as a screening.
- DR. MCNEIL: For screening, right, for
- 25 indication number one.

- DR. ROLLO: And I'm not thinking of
- 2 this as screening as much as I am for staging of
- 3 the cancer. Once the cancer diagnosis has been
- 4 made, just as we did in lung cancer and other
- 5 indications that have already been approved
- 6 initially, it was not for diagnosis, it was not
- 7 for screening, but rather for evaluation of the
- 8 presence of distant disease for purposes of
- 9 determining the most appropriate treatment and
- 10 management for that particular patient.
- 11 So I'm not thinking of this in the
- 12 sense that people were suggesting that if we have
- 13 a palpable mass we immediately go to PET as a
- 14 screening procedure to look at or eliminate the
- 15 need for biopsy. I'm looking at it strictly as a
- 16 staging, just as we did in the original
- 17 indications for PET imaging, once we've got the
- 18 diagnosis to determine the extent of the disease.
- DR. MCNEIL: So you would not support
- 20 its use in indication number one, is that what I
- 21 infer?
- DR. ROLLO: That's correct, right.
- DR. PAPATHEOFANIS: Thank you,
- 24 Dr. Rollo. Also, thank you to Mr. Britain for
- 25 attending this meeting.

- 1 I would like to call Dr. Larson up for
- 2 his comments at this point. Welcome.
- 3 DR. LARSON: Thank you. I am
- 4 Dr. Steven Larson. I am the chief of nuclear
- 5 medicine at Memorial Sloan-Kettering Cancer

- 6 Center, and I have worked with PET now for over 20
- 7 years. My laboratory was one of the first to
- 8 recognize that the altered metabolism of
- 9 malignancy could be used as a basis for PET in the
- 10 late '70s, and I started with PET development in
- 11 the early '80s at the University of Washington,
- 12 became the head of nuclear medicine at NIH in
- 13 1983, and then subsequently in 1988 -- in 1983 we
- 14 developed a major PET program of which cancer was
- 15 a fledgling development, but began to develop
- 16 them, and then in 1988 went to the Memorial
- 17 Sloan-Kettering Cancer Center, and since that time
- 18 we have been actively developing PET in
- 19 collaboration with our clinical colleagues.
- Now, today I am representing as a
- 21 member, the American Society of Clinical Oncology
- 22 at the request of Dr. Larry Norton, who is the
- 23 current president. And so, I would like to read
- 24 you a statement from the American Society of
- 25 Clinical Oncology.

- 1 The American Society of Clinical
- 2 Oncology -- this is regarding FDG Positron
- 3 Emission Tomography imaging for breast cancer
- 4 diagnosis and staging. The American Society of
- 5 Clinical Oncology (ASCO) is pleased to have the
- 6 opportunity to comment on FDG Positron Emission
- 7 Tomography imaging for breast cancer diagnosis and
- 8 staging. ASCO represents more than 16,000
- 9 physicians and health care professionals from 95
- 10 countries involved in cancer research and
- 11 treatment.
- Based on a review of the literature and
- 13 other available evidence, we believe that the data
- 14 support the following indications for PET-FDG
- 15 scanning in breast cancer: PET-FDG should be used
- 16 for imaging of suspected recurrent breast cancer,
- 17 staging of locally advanced disease prior to
- 18 therapy, and for monitoring treatment response in
- 19 advanced breast cancer.
- We would like to present additional
- 21 data for the committee's consideration which is

- 22 based on a retrospective review of 133 -- and I'm
- 23 sorry, there is a typo in this, it should be 133
- 24 patients with breast cancer who were referred for
- 25 PET scanning at Memorial Sloan-Kettering Cancer

- 1 Center in New York. We believe the data will
- 2 support the indications for the use of PET-FDG
- 3 scanning in breast cancer for recurrent cancer,
- 4 locally advanced primary tumors.
- DR. GUYTON: Dr. Larson, are we
- 6 supposed to have this.
- 7 DR. LARSON: Yes, I believe you do have
- 8 that in the packet.
- 9 DR. GUYTON: All right, I have that,
- 10 but I don't have what you're reading, which is
- 11 different.
- DR. LARSON: I'm sorry, I thought we
- 13 did provide it to the panel. I apologize. We can
- 14 get copies of this for you. This is the letter
- 15 which is on the ASCO letterhead for the committee.
- So to continue, the data presented will
- 17 support the indications for the use of PET-FDG
- 18 scanning in breast cancer for recurrent cancer,
- 19 locally advanced primary tumors, and for
- 20 monitoring the treatment response in advanced
- 21 breast cancer.
- Thank you for the opportunity to submit
- 23 ASCO's views on PET scanning for breast cancer
- 24 diagnosis and staging. And I'm speaking on behalf
- 25 of oncologists and their patients, and

- 1 particularly on behalf of Dr. Larry Norton, and we
- 2 urged the HCFA administration to consider covering
- 3 this important procedure for those indications.
- 4 Now, if the chair, with the indulgence
- 5 of the chair, I'd like to just talk a little about
- 6 one of the abstracts that will be presented at the
- 7 Society of Nuclear Medicine this year, which deals
- 8 with FDG-PET scanning and the experience at
- 9 Memorial. I think this goes to the point of
- 10 providing many forms of evidence to the panel that

- 11 include the available evidence that we have.
- 12 What we did, I think specifically, if
- 13 you look in the statement that's prepared for the
- 14 Society of Nuclear Medicine, you see that it is
- 15 one of the abstracts that's listed in the oncology
- 16 tract, number 1236, talking about the impact of
- 17 FDG-PET scanning on the management of 133 breast
- 18 cancer patients. I think that this goes to the
- 19 issues in our questions, especially question
- 20 number four and five, which the committee has
- 21 posed, namely looking at the more advanced
- 22 disease.
- Now what essentially this is, and I
- 24 refer now to this little packet of handout
- 25 materials that Dr. Guyton referred to, basically a

- 1 review of the experience that we had at Memorial
- 2 Sloan-Kettering Cancer Center, so this is the
- 3 actual experience that we had in patients who were
- 4 referred for PET-FDG scanning over the interval
- 5 from May 1996 to July 2000. These are consecutive
- 6 patients, they are the experience that we have,
- 7 and so we looked at this to see if our experience
- 8 with PET-FDG in our own patients referred by
- 9 physicians for developing answers to clinical
- 10 management issues, where that was consistent with
- 11 published literature.
- 12 So then in 133 patients, and again, if
- 13 you turn to purpose of this study, it was to
- 14 determine whether PET scans affected disease
- 15 outcome of breast cancer patients. And I will,
- 16 it's a rather broad definition of that term.
- 17 Again, in terms of materials and methods and study
- 18 design, it's a retrospective study, so it has all
- 19 those limitations. It is, however, as we have
- 20 said, a consecutive review of all the patients
- 21 during that interval who were referred by our
- 22 clinicians for PET scans.
- It was done with the most advanced
- 24 available equipment that we have at this point,
- 25 although equipment is evolving rapidly, as

- 1 Dr. Rollo mentioned. We did this with a GE 2 advanced dedicated whole body PET scanner.
- One of the difficulties I think in this whole field is defining a gold standard, because
- 5 obviously, one biopsy which may take a milligram
- 6 of tissue in a person who is 70 kilograms, in some
- 7 cases more than 70 kilograms, such as me for
- 8 example, is really, there's all kinds of problems
- 9 inherent in that. So one type of gold standard is
- 10 just to use all the available evidence and to
- 11 follow the patient for six months, and that's what
- 12 we did.
- So the confirmation of cancer was based
- 14 on biopsy, correlative imaging which showed
- 15 progression or stability, follow-up of clinical
- 16 data and so forth. And then the clinical data was
- 17 assessed at the end of a six-month period by
- 18 informed clinicians to determine whether the
- 19 patient's condition had improved or worsened under
- 20 the treatment, and also what the impact of PET was
- 21 on choosing that treatment.
- The characteristics of the study
- 23 patient are listed in the next slide. You can see
- 24 that the majority were infiltrating ductal
- 25 carcinomas originally and they were of a variety

- 1 of stages, but the largest group was advanced
- 2 patients. So these are advanced patients.
- 3 In terms of the characteristics of the
- 4 study, the indications for the PET scan were
- 5 conventional studies were equivocal, a frequent
- 6 problem in advanced patients, especially after a
- 7 lot of treatment has altered the appearance of
- 8 more conventional techniques and when normal
- 9 tissue has also responded to those techniques,
- 10 such as radiation and surgery.
- 11 33 were referred for staging and
- 12 restaging. This clinical suspicion of recurrence
- 13 may have been an enlarging mass but which could
- 14 not readily be resolved by conventional
- 15 techniques, and elevated serum tumor markers, 15.

- 16 Now once again, we have at Memorial adopted the
- 17 rather liberal policy to imaging patients with
- 18 tumors and have considered whether -- have not
- 19 used reimbursement as a criterion for whether we
- 20 will do the patients, feeling that otherwise if we
- 21 do that, we will impose a two-tiered system of
- 22 health care on our patients.
- I'm going to skip the first page of
- 24 results, I think it's self evident what it is,
- 25 negative and positive PETs by stage, and I want to

- 1 go to the influence of PET on patient management.
- 2 Basically, the point of this chart is that PET was
- 3 used in the decision process to guide therapy in
- 4 three-fourths of the patients. PET was ignored in
- 5 22.6 percent of the patients, and PET confirmed
- 6 other studies in 3 percent.
- 7 I think this also reflects the type of
- 8 patients that were referred. Over this period,
- 9 you have to understand that at least 2,000 lung
- 10 cancer patients, probably a similar number of
- 11 colorectal patients were studied, so that the
- 12 breast cancer patients that we see here are
- 13 relatively small in number and these were the
- 14 problem patients for whom conventional techniques
- 15 were not able to resolve a particular management
- 16 question.
- Now, also, the next chart shows that
- 18 the, whether the PET was negative or positive, did
- 19 significantly influence, as you would expect, the
- 20 actual choice of treatment or just watchful
- 21 waiting.
- The mode of therapy after the PET scan
- 23 is in the next chart and I just want to spend a
- 24 couple minutes with this. And again,
- 25 Mr. Chairman, I did, there are some changes I

- 1 would like to give you in terms of for accuracy's
- 2 sake, because I notice in the first written
- 3 statement, there is a summary which, for which
- 4 some of the numbers were somehow miscopied, so I

- 5 will provide these numbers to you.
- 6 Basically, what this shows is the
- 7 six-month condition, which again was our gold
- 8 standard of whether the patient was stable or
- 9 worsening, versus a negative PET and a positive
- 10 PET. And it's possible using this information as
- 11 the gold standard to actually compute an accuracy
- 12 rate in terms of how the information influenced
- 13 appropriately or not appropriately the choice of
- 14 management. Now, we do this by considering that
- 15 the negative PET with a stable treatment was
- 16 essentially a false negative, because in that case
- 17 there was evidence at the time of the therapy that
- 18 there was disease and so the PET was disregarded,
- 19 if you will.
- 20 So we're using essentially the
- 21 clinician's judgment, putting all together the
- 22 information, as the kind of gold standard for this
- 23 particular study. So, there were 19 patients in
- 24 that category with the negative PET, stable
- 25 treatment, and we call that false negative. The

- 1 negative PET with worsening in treatment, we call
- 2 that a false negative, there was only one there.
- 3 The negative PET who was treated conservatively
- 4 but was worsening, that was a false negative,
- 5 there were 10 there. The negative PET with a
- 6 conservative but stable, a true negative, there
- 7 was 28 there. A positive PET with stable
- 8 treatment, a true positive, there were 38 there.
- 9 A positive PET with worsening treatment, a true
- 10 positive, there were 26 there. Positive treatment
- 11 with conservative management who was worsening,
- 12 was considered a true positive, and there were 4
- 13 there. The positive PET with conservative who was
- 14 stable was considered a false positive, there were
- 15 7 there.
- So this is quite a conservative way to
- 17 look at the accuracy of PET. But the bottom line
- 18 essentially from our study was that if we use the
- 19 six-month follow-up as an indication of gold
- 20 standard, the accuracy of PET for a guide to

- 21 management was 78 percent. Now I think that this
- 22 should be compared with the fact that the
- 23 conventional techniques were largely equivocal,
- 24 that we know we will miss with PET significant
- 25 microscopic disease. But I submit to you that in

- 1 this group of patient, with all its limitations of
- 2 a retrospective study, that data does support the
- 3 view that PET can be useful in the management of
- 4 patients.
- 5 So, on behalf of ASCO, I would like to
- 6 thank you for your attention.
- 7 DR. PAPATHEOFANIS: Thank you,
- 8 Dr. Larson. Any questions from the panel?
- 9 DR. BURKEN: I have a question. When
- 10 you go back to the page here on characteristics of
- 11 study patients, there were four indications listed
- 12 for the PET scan, the conventional studies were
- 13 equivocal, 63 patients for staging restaging, 33
- 14 patients, and so forth. I'm wondering, the data
- 15 that's in your table and the following results
- 16 tables are aggregate data cutting across all the
- 17 indications; is that correct?
- DR. LARSON: Right.
- DR. BURKEN: Okay. So my question is,
- 20 you know, are we doing ourselves a disservice by
- 21 having these four indications lumped together in
- 22 the results table?
- DR. LARSON: I think it does lump
- 24 together significantly diverse groups of clinical
- 25 management questions. But we did it as a summary

- 1 style and to give a flavor for the types of
- 2 indications that were used on the request for
- 3 patient imaging studies that came from the
- 4 clinicians, so that we would do these studies.
- DR. BURKEN: Thank you.
- DR. PAPATHEOFANIS: Barbara.
- 7 DR. MCNEIL: Steve, I'm having a little
- 8 bit of a hard time following this table, so where
- 9 you said the accuracy was 78 percent, whatever the

```
10 table number is, can you just tell me what the 11 associated sensitivities and specificities were
```

12 for this table titled mode of therapy after the

13 PET scan, do you have that handy?

DR. LARSON: The sensitivity that was calculated was 84 percent.

DR. MCNEIL: And the specificity?

DR. LARSON: The specificity, I'm

18 sorry, Barbara, I don't have that number

19 immediately available, but we can go over this

20 later, and again, I will provide the correct

21 numbers in the face page, because I noticed that

22 there were some errors in the tables.

DR. PAPATHEOFANIS: Great. Thank you,

24 Dr. Larson. I was looking at the agenda. We were

scheduled for lunch from 11:30 to 12:30, we are

00132

- 1 now 15 minutes behind. I know the open public
- 2 comment section is coming up at 12:30 and there
- 3 are folks who have traveled from a great distance
- 4 and I don't want to exclude any of that period of
- 5 public comment, and so in that spirit, let's plan
- 6 on a 45-minute lunch and let's meet and resume
- 7 this session at 12:30.
- 8 (Luncheon recess from 11:46 to 12:40.)
- DR. PAPATHEOFANIS: Welcome back.
- 10 Let's regroup and get started. We do have a
- 11 limited period of time together and I don't want
- 12 to waste any of that.
- Just a couple of comments about what is
- 14 coming this afternoon for the panel. If you look
- 15 at your technology assessment report book, you
- 16 will see a series of five questions for the MCAC
- 17 DI panel on FDG-PET in breast cancer, and after
- 18 you've heard some additional evidence and after we
- 19 have all had a chance to discuss the evidence,
- 20 we're going to ask you to vote here on those five
- 21 items, which will capture the four applications
- 22 that were outlined in the technology assessment,
- 23 specifically the applications being diagnosis,
- 24 staging of axillary lymph nodes, recurrent and
- 25 distant metastasis, and response to treatment.

- There will be several opportunities for 1 2 the panel members clearly to discuss here their vital concerns. Also, with most of the speakers 3 that you have already heard still here in the 4 audience, there will be an opportunity for us to 5 ask questions of those presenters who've already 6 stood up and spoken. So, just to review, our goal 7 and our charge is to provide HCFA with a set of 8 recommendations or advice, as the title of this 9 10 committee includes the word advisory, and we will frame that advice according to those five 11
- 12 questions. 13 We are not going to make a coverage 14 decision, we're not going to put policy into 15 motion, that is the role of HCFA. We are a group of experts in our specific areas of expertise or 16 specialty, and we are basically going to look at 17 the available evidence, review it, discuss it, and 18 then offer a specific recommendation. 19
- So, at this time, we're going to move on to the open public comments section.
- MS. ANDERSON: At this time we're going to open the mikes to the open public comments. I
- 24 do remind any speakers who do assemble at the
- 25 microphones to please state your name and your

- 1 financial involvement with manufacturers of any
- 2 products being discussed or with their
- 3 competitors. You will have approximately three
- 4 minutes in which to deliver information to the
- 5 panel. We can begin.
- 6 DR. CONTI: I'm going to read a
- 7 statement that you all have in front of you, or
- 8 should have in front of you, from the Society of
- 9 Nuclear Medicine, American College of Radiology.
- Good afternoon, Mr. Chairman, members
- 11 of the advisory committee, and ladies and
- 12 gentlemen of the community. My name is Peter
- 13 Conti, I am an associate professor of radiology
- 14 and clinical pharmacy and biomedical engineering

- 15 at the University of Southern California. I am
- 16 currently the director of the PET center and of
- 17 radiology research at USC and have had over 20
- 18 years of experience in PET studies on cancer
- 19 patients, spanning three institutions including
- 20 Memorial Sloan-Kettering Cancer Center, the Johns
- 21 Hopkins Medical Institutions, and for the last
- 22 decade at USC. I come before the members of this
- 23 committee representing the Society of Nuclear
- 24 Medicine and the American College of Radiology,
- 25 organizations of which I have been a member for

- 1 many years.
- 2 The Society of Nuclear Medicine
- 3 represents over 12,000 professionals dedicated to
- 4 providing high quality diagnostic and therapeutic
- 5 services. Likewise, the American College of
- 6 Radiology represents over 30,000 practicing
- 7 radiologists and nuclear medicine physicians with
- 8 the same goal. For over a decade, breast cancer
- 9 patients throughout the world have had access,
- 10 albeit limited, to whole body positron emission
- 11 tomography. Some of these patients have had the
- 12 benefit of having their imaging studies covered
- 13 under private sector health plans while others
- 14 have had to pay out of pocket for such studies.
- 15 Thousands of breast cancer patients
- 16 have been evaluated with PET, but thousands more
- 17 have been denied coverage. That has been
- 18 incorporated into the diagnostic practice in the
- 19 cancer patient population, including those
- 20 patients with breast cancer, in many facilities in
- 21 the U.S. and abroad. Patients are referred by
- 22 medical oncologists and surgeons for indications
- 23 such as primary lesion detection, axillary
- 24 staging, metastatic work-up, restaging and
- 25 assessment of therapeutic response.

- 1 As of May 2001, there were over 2,500
- 2 breast cancer patients reported in the literature
- 3 who had received PET scans for diagnosis, staging,

- 4 treatment and planning, restaging, identification
- 5 of recurrent disease, or assessment of therapeutic
- 6 response. As the scientific program chairman of
- 7 the upcoming Society of Nuclear Medicine's annual
- 8 meeting, I can report to you that the data to be
- 9 presented at that meeting increases the number of
- 10 cases published in the literature to a total of 15
- 11 percent.
- 12 New studies to be presented in Toronto
- 13 next week focus on staging and treatment planning,
- 14 assessment and prognosis, measurement of treatment
- 15 response, determination of tumor recurrence with
- 16 restaging of disease, and those abstracts are
- 17 attached to this document. These studies
- 18 corroborate much of what has already been shown in
- 19 the literature regarding the utility of PET
- 20 scanning in this patient population.
- 21 The SNM and ACR recognize that much
- 22 literature supporting the role of PET scanning in
- 23 the breast cancer population may be technically
- 24 limited as already discussed. However, no
- 25 literature is without flaws or limitations. It

- 1 would be inappropriate if not impossible to study
- 2 every possible aspect or permutation of a disease
- 3 or patient population prior to approving use of a
- 4 new drug or medical technology for use in clinical
- 5 practice. Neither patients nor their attending
- 6 physicians would tolerate such a process.
- 7 On the other hand, patients and their
- 8 physicians should expect a reasonable scrutiny and
- 9 review of such advances prior to their acceptance
- 10 into clinical practice. The challenges for
- 11 regulators and providers is to identify
- 12 appropriate indications and the threshold required
- 13 for their acceptance.
- 14 PET is a safe procedure. The radio
- 15 tracer FDG has been approved by the FDA as safe
- 16 and effective for use in imaging cancer, including
- 17 patients with breast cancer. It is shown to be
- 18 highly sensitive, specific and accurate in the
- 19 detection of many types of cancer as summarized

- 20 today. Of the breast indications noted above,
- 21 however, the published peer reviewed data to
- 22 support the use of PET in evaluating for residual
- 23 and/or metastatic disease recurrence have emerged
- 24 as the strongest clinically to date, despite what
- 25 you heard in the first presentation this morning.

- 1 We call your attention to three key
- 2 full article publications from the literature.
- 3 A study by Bender of 75 patients
- 4 looking at recurrence showed a sensitivity of 97
- 5 percent, specificity of 91 percent, and an overall
- 6 accuracy of 93 percent. Notably, the positive
- 7 predictive value of PET was 88 percent. And as an
- 8 aside, I would say that I'm not sure I read the
- 9 same article as was described this morning.
- 10 Another study by Moon et al. in 57
- 11 patients showed positive and negative predictive
- 12 values of 82 and 92 percent in identifying
- 13 recurrent or metastatic disease.
- 14 A third study by Huebner in 57
- 15 patients, showed a sensitivity of 85 percent,
- 16 specificity of 73 percent, in the detection of
- 17 recurrent or metastatic disease with PET compared
- 18 to CT, where the numbers were 71 and 54 percent
- 19 respectively, and mammography where the numbers
- 20 were 2 percent and 100 percent.
- 21 Therefore, the recommendation of the
- 22 Society of Nuclear Medicine and the American
- 23 College of radiology to this advisory committee is
- 24 to approve the use of PET at the discretion of the
- 25 referring physician in the diagnosis of known or

- 1 suspected recurrent or metastatic disease for the
- 2 purpose of restaging patients with breast cancer.
- 3 In this regard, we encourage the advisory
- 4 committee to recommend that CMS consider the use
- 5 of PET in patients who present with advanced
- 6 breast cancer, when initial staging studies are
- 7 required as part of the patient work-up.
- 8 The SNM and the ACR are grateful for

- 9 your careful attention to the needs of this
- 10 underserved patient population and encourage you
- 11 to adopt their recommendations so that more
- 12 patients can benefit from this technology. Thank
- 13 you.
- I would also add as a personal note,
- 15 the issue on the gold standard. This has been
- 16 discussed at length earlier this morning, but I
- 17 want to remind the advisory committee that the use
- 18 or clinical follow-up is pervasive in the imaging
- 19 literature as a method for assessing whether or
- 20 not there is presence or absence of metastatic
- 21 disease, and this has been extensively used in the
- 22 PET literature as well, and should be considered
- 23 as part of this evaluation. Thank you.
- DR. PAPATHEOFANIS: Thank you,
- 25 Dr. Conti.

- DR. WAHL: Hi, I'm Richard Wahl, I'm a
- 2 professor of radiology at Johns Hopkins and
- 3 director of nuclear medicine, vice chairman of
- 4 radiology there. I am conflicted in that I
- 5 received honoraria from Siemens, ADAC and GE at
- 6 different times in the past relating to lectures
- 7 on PET, and through the acquisition of PET Net
- 8 Pharmaceuticals where I was a consultant, I have
- 9 ended with some kind of class Q, some kind of
- 10 shares of CTI, and also I'm a medical advisor to
- 11 Mobile PET Services.
- 12 However, I have had an interest in PET
- 13 for some time. In 1989 I think I was involved in
- 14 the first studies imaging breast cancer with PET,
- 15 showing feasibility of imaging primary,
- 16 locoregionally, metastatic and systemic
- 17 metastases, albeit in fairly large tumors at that
- 18 time, and saw at that time that particularly in
- 19 soft tissue disease, PET appeared to be uniquely
- 20 capable of defining lesions.
- 21 I'm also principal investigator of a
- 22 study I wanted to mention to you, one that
- 23 Dr. McNeil actually helped design, sponsored by
- 24 the NCI, in which we're evaluating PET

25 prospectively for the staging of breast cancer to

00141

- 1 the axilla. I just wanted you to know, this study
- 2 is not yet completed, however, we have completed
- 3 accrual of patients and we accrued 360 patients
- 4 who have gone on to validation of PET scan results
- 5 by axillary dissection, we're in the data analysis
- 6 phase and hope to have this complete within the
- 7 next few months. So we hope that this will be the
- 8 largest prospective study of PET in breast cancer
- 9 staging, specifically for axillary disease.
- 10 We also are examining the prognostic
- 11 value of PET in this group of patients by
- 12 following them. Because of the gold standard
- 13 issue and the variability of sampling of axilla, I
- 14 think the tendency now is to sample more
- 15 extensively small axillary nodes repeatedly and do
- 16 staining which may upstage patients from a stage
- 17 they were previously, so we think the prognostic
- 18 part of this study is also very important.
- 19 Anyway, I just wanted you to know that it is
- 20 coming, but I don't have results.
- I wanted to comment that based on my
- 22 experience at the University of Michigan and now
- 23 at Hopkins, I believe PET does have a definite
- 24 role in breast cancer and indeed, I participated
- 25 in a panel last Monday in Vancouver, British

- 1 Columbia where the British Columbia Cancer Care
- 2 Agency was trying to decide how do they use the
- 3 limited resources in British Columbia and the
- 4 limited access to PET in imaging breast cancer.
- 5 Clearly they are resource constrained and are
- 6 trying to rationally apply imaging methods. And I
- 7 was asked to summarize the expert panel meeting
- 8 with a lecture entitled, in what situations should
- 9 we no longer be practicing oncology without PET.
- 10 And in the situation of breast cancer,
- 11 this conservative assessment was that in
- 12 particular, recurrent breast cancer assessment,
- 13 particularly for soft tissue metastases, was a

- 14 unique situation that should be supported by the
- 15 British Columbian government, specifically the
- 16 situation of brachial plexus recurrence versus
- 17 radiation necrosis, which is a very difficult
- 18 diagnosis to make, and also the chemotherapy
- 19 response assessment in patients with large primary
- 20 breast cancers and in follow-up known breast
- 21 cancer were viewed as indications where the
- 22 literature was sufficient to support the
- 23 implementation of PET. Other areas were felt in
- 24 need of further study.
- I did want to comment particularly

- 1 about Mr. Samson's comments. He did discuss the
- 2 study that I did in 1993, reported in 1993, about
- 3 PET in following treatment response. He indicated
- 4 that there was a question as to whether the
- 5 patients, whether the persons reading the
- 6 mammograms were blinded. Indeed, they were. The
- 7 PET scans and mammograms were not used for
- 8 management of the patients and patients were
- 9 managed by conventional methods because PET was a
- 10 new technology at this time.
- 11 So in summary, I believe there is
- 12 abundant evidence in soft tissue disease to
- 13 support the use of PET. And for recurrence, I
- 14 think one of the problems we face is that some of
- 15 these conditions are very infrequent, the brachial
- 16 plexus issue as an example, in about eight years
- 17 at Michigan, we only had 15 cases, PET
- 18 consistently performed more accurately than MR.
- 19 We have a paper in press in the JCO showing this,
- 20 and to get to a hundred patients is going to take
- 21 many more years. At the time I left Michigan, it
- 22 was impossible to get a referring oncologist to
- 23 order anything but a PET scan in this clinical
- 24 situation, so I would encourage you to look very
- 25 carefully, and support the ACR SNM position, and

- 1 possibly also very strongly consider the
- 2 chemotherapy response data, which in over a

- 3 hundred patients is very strong. Thank you very
- 4 much.
- DR. PAPATHEOFANIS: Thank you,
- 6 Dr. Wahl.
- 7 MS. PIERCE: Good afternoon, and thank
- 8 you for the opportunity to address the committee.
- 9 My name is Kim Pierce. I'm a breast cancer
- 10 survivor and a member of the National Breast
- 11 Cancer Coalition, the Coleman Foundation, and I am
- 12 here in representation of the thousands of women
- 13 who are diagnosed with this devastating disease
- 14 annually. We received over a thousand signatures
- 15 in two hours at the Race for the Cure for the
- 16 Coleman Foundation.
- 17 Like many other women, I had the normal
- 18 concerns about breast cancer, so I got my annual
- 19 mammograms and physical examinations and I
- 20 performed self exams in between, and like lots of
- 21 other women, when I discovered a lump in my
- 22 breast, I had the standard tests performed all
- 23 over again, as well as ultrasound, but when the
- 24 results came back negative and my doctor told me
- 25 that we would just wait and watch, I felt

- 1 relieved. After two years of negative mammograms
- 2 and ultrasounds, I became increasingly concerned
- 3 about the lump because it was continuing to grow.
- 4 That's when I heard about PET imaging.
- 5 Fortunately, I worked in a medical center that
- 6 had, and I had access to PET.
- 7 When the PET scan showed that the other
- 8 tests had been wrong and I did have a malignant
- 9 tumor in my left breast, I was immediately
- 10 scheduled for biopsy which confirmed the
- 11 malignancy was infiltrating lobular cancer.
- 12 Infiltrating lobular cancer is not routinely
- 13 picked up by mammography, but because PET revealed
- 14 my tumor when nothing else did, I was able to get
- 15 the treatment I needed in time.
- 16 Unfortunately, until HCFA approves PET
- 17 for special cases like mine, where mammography and
- 18 other tests are not effective, more women will

- 19 find out that they have breast cancer too late to
- 20 be cured. Most women have never heard about PET,
- 21 because it's not available to them for diagnosis
- 22 or staging of breast cancer, even though it is one
- 23 of the most accurate tests available to women with
- 24 dense fibrous breasts, women who have had medical
- 25 or cosmetic surgeries, or even biopsies performed,

- 1 or women like me with a form of breast cancer that 2 mammography cannot detect.
- 3 There are many other women, with the
- 4 numbers increasing each year who have had their
- 5 breasts scarred by various procedures. This
- 6 causes problems for mammography and palpation.
- 7 While all of these factors alter the accuracy of
- 8 mammography, CT and physical exam, they do not
- 9 interfere with PET. Its high energy radiation
- 10 easily passes through these tissues so that PET
- 11 can differentiate benign processes from malignant
- 12 ones. I believe that PET is extremely valuable in
- 13 diagnosing women in those subpopulations for whom
- 14 other screening technologies are less effective.
- 15 PET can also appropriately stage breast
- 16 cancer patients by showing axillary and mammary
- 17 nodal involvement and/or distant metastasis in
- 18 other organ systems such as bone, liver, lung and
- 19 brain, all in a single examination. This can
- 20 change the treatment of breast cancer and spell
- 21 hope to more women with their terrible disease.
- I have met hundreds of women who were
- 23 inaccurately staged at diagnosis and therefore,
- 24 did not get appropriate treatment. These women
- 25 subsequently died of breast cancer. I sincerely

- 1 hope that MCAC and HCFA will understand the
- 2 benefit of PET for women like me, so that
- 3 potentially life saving and cost effective medical
- 4 technologies are made available to the female
- 5 Medicare beneficiaries who need them. Thank you.
- DR. PAPATHEOFANIS: Thank you, Miss
- 7 Pierce.

```
DR. WEINBERG: Hi. My name is Irv
 8
 9
              I'm a radiologist and physicist. I was
    Weinberg.
10
    trained in oncology imaging at Johns Hopkins
11
    Hospital, built the first dedicated device for
   breast PET at the NIH, subsequently took the
12
    entrepreneurial route in developing dedicated
13
    instrumentation for PET breast, and I am now
14
    president of PEM Technologies.
15
16
               I would like to highlight the possible
17
    effect of your decisions and your language on
    emerging technologies. We are focusing on methods
18
19
    of diagnosing extent of breast disease.
    technology itself has been published in the
20
21
    European Journal of Nuclear Medicine, Journal of
22
    Nuclear Medicine, Medical Physics, it is very
    clear from the point of view of physics as to
23
24
    possible advantages of this emerging technology.
25
               If there is any cancer that requires
   physiologic and biochemical imaging, it's breast.
 1
 2
```

- This is an endocrine disease, it is exacerbated by
- reproductive histories that affect endocrine 3
- status of the patient. It is treated and 4
- 5 prevented by hormonal therapy, it is clearly an
- endocrine disease and requires biochemical 6
- 7 imaging.
- 8 I would just appreciate your
- 9 sensitivity to the future or emerging technologies
- that may represent the application of physiologic 10
- 11 and biochemical imaging to breast disease.
- 12 you very much.
- DR. PAPATHEOFANIS: 13 Thank you,
- 14 Dr. Weinberg.
- 15 DR. ALAVI (phonetic): I am Bahs Alavi
- 16 (phonetic), I am professor of radiology and chief
- 17 of nuclear medicine at the University of
- Pennsylvania, and I work with ADAC as a consultant 18
- 19 to them, and my group also deals with them for
- 20 instrumentation.
- The idea of FDG came about in 1973 at 21
- Penn, and in 1976 we administered the first dose 22
- of FDG to human beings. So 25 years later, we're 23

- 24 still arguing about the role of FDG, while MR was
- 25 around for no more than two or three years and was

- 1 approved for funding. So it's nice to see that
- 2 there is a discussion about applications of FDG
- 3 which of course for someone like me who has been
- 4 with it since the beginning, I am actually happy
- 5 to see the data that FDG has come along so far.
- I do of course a lot of patients every
- 7 day, 10 to 12, and a variety of disorders, and I
- 8 truly believe that the role of FDG in cancer has
- 9 been revolutionary. In particular, I would like
- 10 to just mention a study that I was funded by the
- 11 Army to do in metastatic breast cancer who were
- 12 candidates for bone marrow transplant. There was
- 13 the (inaudible) study to see whether we can
- 14 predict who is going to respond and who will not,
- 15 since only 20 percent of the patients will be
- 16 cured by bone marrow transplantation.
- 17 A side finding of the study was to
- 18 compare FDG with other imaging modalities, which
- 19 included everything that we do for cancer, namely
- 20 chest x-ray, bone scan, CT scan, as part of the
- 21 study. We enrolled 39 patients and most patients
- 22 had more than one study, so we had to analyze our
- 23 data, and our results indicate that one FDG
- 24 stand-alone could be equal to all the diagnostic
- 25 studies except that bone scans appeared to be a

- 1 little more sensitive than FDG.
- 2 (Inaudible) flaw of the bone scan,
- 3 because we usually see longstanding effect from
- 4 cancer in the bone, it lasts for a long time, and
- 5 that really gives us an indication that disease is
- 6 active, that FDG shows some of those patients not
- 7 having active disease.
- 8 So I believe that this is going to be
- 9 an effective technique, especially with metastatic
- 10 cancer, doing one single study allows you to look
- 11 at the entire body in three dimensional space,
- 12 versus doing a CT scan for the liver or bone scan

- 13 for the bone, so if the other diseases are an
- 14 indication, which I think they are, FDG is going
- 15 to be the study of choice for metastatic disease.
- 16 Thank you.
- DR. PAPATHEOFANIS: Thank you,
- 18 Dr. Alavi. Anyone else?
- 19 Anyone on the panel that would like to
- 20 recall any of the speakers for any questions at
- 21 this point? Okay.
- 22 Anyone else then who spoke before the
- 23 open public session that may also want to address
- 24 the panel at this point? Okay. If not, we're
- 25 going to move on to an open panel deliberation,

- 1 and I think the best way to start is to quite
- 2 literally go down the list of five questions that
- 3 HCFA wants us to address, and so why don't we
- 4 spend our discussion along those lines and let's
- 5 start off with the first question, is there
- 6 adequate evidence that PET can improve health
- 7 outcome when used to decide whether to perform a
- 8 biopsy in patients with an abnormal mammogram or
- 9 palpable mass? Jeff.
- DR. LERNER: Frank, I have a question
- 11 actually before we go directly into going through
- 12 the questions. One of the things that I guess
- 13 surprises me a little is in the prepared
- 14 presentations and in the open public comments,
- 15 there wasn't to my mind a great deal of critique
- 16 of the TEC assessment, and at the same time what I
- 17 think a lot of the public comments had in common
- 18 was that they were more looking at in a sense
- 19 Medicare policy, you know, how we make decisions,
- 20 as opposed to looking at what I interpret to be
- 21 the direct charge of this committee which is to go
- 22 through those questions. And you know, I'm not
- 23 quite sure what to do about that, but I think it's
- 24 important to raise that issue because I don't want
- 25 to seem unresponsive to what the audience has

00152

1 raised, because if we just go through these

- 2 questions, at least to my mind so far, I feel
 3 these are fairly clear-cut.
- 4 So I would like to at least ask the
- 5 question, whether people have questions about the
- 6 fundamental assumptions going on, and there's some
- 7 follow-up to that, but why don't I leave that for
- 8 the moment.
- DR. PAPATHEOFANIS: Sure. Sean, can
- 10 you speak on the process by which this technology
- 11 assessment came to be, and sort of the internal
- 12 events? Maybe that will get us started.
- DR. TUNIS: Sure. Actually the
- 14 technology assessment, this particular technology
- 15 assessment was already in process before the HCFA
- 16 had decided to refer this issue to the MCAC. It
- 17 was being done and Carole or Debbie can correct me
- 18 if I'm wrong, was being done for the purposes of
- 19 the Blue Cross/Blue Shield Association medical
- 20 advisory panel to make their own recommendations
- 21 about coverage in Blue Cross/Blue Shield. That
- 22 was the reason this TEC assessment had been
- 23 started.
- 24 As part of our review of the coverage
- 25 request from July of 2000 for broad coverage of

- 1 PET and when we concluded that review in December
- 2 of 2000, had ended up extending coverage for four
- 3 additional cancers, I believe it was, to a total
- 4 of six, and at that point had been decided that
- 5 several issues would be referred to the coverage
- 6 advisory committee, this issue being one, and then
- 7 we worked with the AHRQ to piggyback on to the
- 8 work already being done by Blue Cross/Blue Shield
- 9 to have this TEC assessment ready in time for this
- 10 meeting, so that was this process.
- I don't know Frank, or Jeff, if you
- 12 wanted me to comment more broadly on sort of the
- 13 role of the MCAC in this process in terms of the
- 14 focus on the evidence versus the sort of policy
- 15 and the thresholds for decision making.
- DR. LERNER: Maybe I can help a little
- 17 bit by just making one more statement. I almost

- 18 found the public comments, that they would have
- 19 been more useful in the entire coverage process if
- 20 they had gone in prior to the formulation of
- 21 questions, and maybe they did, maybe other things
- 22 went in there, but by the time we reach this
- 23 stage, as I understand the charge of the panel, is
- 24 to answer these questions, and we certainly are
- 25 prepared to do that. But I think, what I'm

- 1 wondering is whether the audience and the people
- 2 who commented will feel that that is responsive
- 3 that they've been heard, because they raised all
- 4 kinds of issues. I have my own list and I'm sure
- 5 other people do.
- 6 DR. TUNIS: Well, maybe a question to
- 7 ask that would be a clarifying question, again,
- 8 anyone from the public who has spoken can address
- 9 this, is, I had gotten the sense that at least
- 10 several of the speakers were not contesting the
- 11 fundamental conclusions of the technology
- 12 assessment, which for the five questions asked
- 13 here were negative conclusions in terms of
- 14 adequacy of evidence. So maybe, I'm not proposing
- 15 that that's a correct restatement of what folks
- 16 have concluded, but maybe if there are folks who
- 17 have spoken who believe that any of the
- 18 conclusions in the TEC assessment are in fact
- 19 incorrect, then maybe we can get that conversation
- 20 moving further by addressing that explicitly.
- DR. PAPATHEOFANIS: Sam, before you go
- 22 on, is this what you're getting at, Jeff?
- DR. LERNER: Yes, it is.
- DR. PAPATHEOFANIS: Okay. Go ahead,
- 25 Dr. Gambhir.

- DR. GAMBHIR: You know, first of all, I
- 2 think the TEC report is done in a very
- 3 professional manner, very rigorous in its design
- 4 and its actual reporting of results. I think the
- 5 problems I have with it as well as other people
- 6 are when you're looking at a new technology such a

8 question is should the inclusion criteria for studies be what this particular report chose as 9 the inclusion criteria? 10 For example, as a lot of people have 11 12 argued throughout the day, there are other 13 articles that don't meet the inclusion criteria 14 but exist in the literature. For rapidly emerging 15 technologies, just like we've argued in the past, 16 there continue to be abstracts that emerge which 17 will eventually see publication but have not seen 18 publication yet. To us, those need to be weighed into any emerging technology report, because it 19 strengthens the confidence for the N in the case, 20 number of patients or number of studies performed. 21 So the one area when I read the report and was 22 23 actually asked to critique it, the one thing I thought would be useful is to actually include 24 25 abstract.

as PET in the role of breast cancer, the first

00156

20

21

22

7

1 That's why in my presentation what I 2 tried to show you is that when you start to include abstracts, and of course you can't use the 3 inclusion criteria then, because one of the 4 inclusion criteria is it be a research article, 5 but when you start to use abstracts, the 6 7 sensitivities and specificities all remain in these same ranges, but the confidence goes up, 8 because now the number of patients, as you saw in 9 most applications, is almost doubled. And that 10 11 doesn't even include abstracts that have just 12 started to come out or are due out next week. 13 So I think one problem we have with the 14 report is how to be fair to all the literature and 15 how to be fair to abstracts specifically. 16 The second problem for the report is 17 that although we agree that the conclusion if you 18 only include those articles show there is limited evidence, if you start to include the other papers 19

and abstracts I'm talking about, we think there is

applications. If we focus on recurrence and we

strengthened evidence for these other

- 23 focus on staging after recurrence, or monitoring
- 24 for therapy, the numbers almost double from the
- 25 numbers presented previously. To me, that adds

- 1 confidence in those accuracy values. And as I
- 2 stated, I don't think the issue is what is the
- 3 sensitivity and specificity of PET for this
- 4 particular application.
- 5 You can revisit these over and over and
- 6 over, and just like we did with lung cancer, you
- 7 will see them converge into a range with
- 8 increasing N, and they stay in that range. The
- 9 bigger issue is, given those accuracies and the
- 10 clinical management algorithm, how many good
- 11 benefit outcomes will you have for your patients
- 12 and how many harmful benefits. And that's why
- 13 what I tried to show was that if you look at
- 14 certain underserved women that are not served well
- 15 in the current management algorithms, we think
- 16 PET's useful.
- 17 So I think those are the issues, but I
- 18 don't have a problem of saying if those are the
- 19 inclusion criteria, although we might disagree
- 20 with the gold standard issue and by the way, did
- 21 the other result know about the PET results, did
- 22 the other biopsy know about PET, that's sort of a
- 23 misunderstanding of what happens clinically, that
- 24 pathology reports don't need to understand that.
- DR. MANYAK: You know, I have been

- 1 struck today with something that I was unaware of
- 2 reviewing this literature regarding breast cancer.
- 3 Since I don't deal with breast cancer very much,
- 4 being a urologist, we avoid it, but we have
- 5 certainly some parallels in our field as well with
- 6 the diagnostic dilemmas that are faced here. And
- 7 the thing that struck me here today is that there
- 8 is a subset of patients where the question hasn't
- 9 been asked, and it's not because of the fault of
- 10 the construct of the technology assessment group,
- 11 but it's a question that I'm not sure, I don't

- 12 know if the other panel members were aware of it,
- 13 certainly one I wasn't aware of, and that is that
- 14 there may be a subset of patients where this does
- 15 show a greater benefit than what's existing out
- 16 there, such as your dense breast tissue patients.
- Now that raises questions in my mind,
- 18 what defines a dense breast, and avoiding any
- 19 jokes or anything else, seriously, is there some
- 20 measurement of that and first of all, is that
- 21 universally accepted and is it universally applied
- 22 in clinical settings, and if it is, what's to
- 23 prevent the use of PET scans to escape outside a
- 24 dense breast tissue patient.
- I mean, these are all issues that come

- 1 into play, but if you pick out a subset where
 - 2 there may really be an advantage to PET, and it
 - 3 may be with that subset, I have heard several
 - 4 people mention that today, but that data we
- 5 couldn't glean from the literature, and I don't
- 6 know if it exists in the literature. Those of you
- 7 that really looked at this very carefully may be
- 8 able to answer that.
- 9 DR. GAMBHIR: Yeah, let me clarify
- 10 that. So first of all, of all the applications
- 11 we've heard, there is the screening category and
- 12 then of course the management after diagnosis. In
- 13 the screening category, first of all, dense
- 14 breasts is an artifact of mammography, that is, if
- 15 you had a world where for some reason mammography
- 16 never existed and PET existed before mammography
- 17 did, we wouldn't be talking about from a PET
- 18 perspective dense breasted women and non-dense
- 19 breasted women, because as I said, PET radiation
- 20 doesn't care about density of breast tissue.
- 21 There is a formal way to grade breast
- 22 density. It is published in the literature and is
- 23 called the Wolf grade. There are four grades of
- 24 breast density, with DY, the category I chose in
- 25 that decision model, being the densest of the

- 1 dense breast categories. Grade DY women, of which
- 2 there are estimated to be about 3 million on the
- 3 high end, and on the low end 500,000 women, are
- 4 the kinds of women that as I argued, are
- 5 underserved by mammography. It's now no longer a
- 6 question of oh, how many biopsies did you avoid or
- 7 is there a harm from not catching something.
- 8 Those women are being harmed now
- 9 because in fact, they are screen, nothing is
- 10 detecting anything, they go back, have their next
- 11 screen, their next screen. In the decision models
- 12 I would love to be able to show you that oh,
- 13 there's a trial comparing only dense breasted
- 14 women, mammography versus PET. It was asked to me
- 15 outside, why hasn't such a trial been done? Part
- 16 of the reason is because it's such a low incident
- 17 of breast cancer in the screening population, to
- 18 do such a trial takes a long time to pick up dense
- 19 breasted positive findings. So it would take
- 20 years, literally five to seven years to get even
- 21 enough N in those women.
- But the second thing is, remember in my
- 23 reasoning, the dense breast stuff is an artifact
- 24 of mammography. From the PET world, there is no
- 25 difference in response for the signal from dense

- 1 breasts versus normal breasts. We have just the
- 2 same chance of detecting a lesion within a dense
- 3 breast or normal breast. Where is that evidence?
- 4 That evidence is in all the literature we do, the
- 5 normal and dense breast women are both screened,
- 6 all the data you see presented, it's not like
- 7 we're subdividing it into dense breasted versus
- 8 non-dense breasted women.
- 9 So I think that's one area where
- 10 although no clinical trial exists, it's proven
- 11 head to head that if you take a look at a decision
- 12 model, use good judgment based on what data is
- 13 available, there's likely to be a useful benefit
- 14 for that subgroup of women.
- DR. LERNER: Can I ask you a question
- 16 on that, Sam? When we talk about the Medicare

- 17 population, we should be clear about who that is,
- 18 but if you said it is people over 65, how frequent
- 19 is the dense breast issue in that age group?
- DR. GAMBHIR: Certainly it's much
- 21 higher in the younger age group than it is in the
- 22 older, but I think we shouldn't think about it in
- 23 terms of well, will this affect the over 65
- 24 population from a reimbursement point of view,
- 25 because what's done here is of course watched by

- 1 all kinds of providers. So I think the issue is,
- 2 dense breast women of any age are being
- 3 underserved, and if you say which dense, where are
- 4 more dense breasted women, younger or older, it's
- 5 more younger women that have dense breasts.
- DR. LERNER: But you see, it does go to
- 7 the charge of the committee, and for purposes of
- 8 this being a Medicare committee as opposed to you
- 9 know, a committee for the whole population of the
- 10 United States.
- DR. GAMBHIR: Right, but I'm saying
- 12 what is done here is watched by more than just --
- DR. LERNER: Yes, I agree.
- DR. GAMBHIR: So if we say what women
- 15 are being underserved, it's women of all ages with
- 16 dense breasts. The fact that there's less women
- 17 that are older with dense breasts is a relevant
- 18 issue to some of the direct reimbursement from
- 19 Medicare, but it's not the only issue when we look
- 20 at which women are underserved in the entire
- 21 population, which includes all dense breasted
- 22 women.
- DR. PAPATHEOFANIS: Sure. Donna?
- MS. NOVAK: It sounds like we're really
- 25 talking to question five here, is that correct,

- 1 that question one and two assume that there has
- 2 already been a mammography, and question five is
- 3 saying, is PET an alternative to, a better
- 4 diagnostic, am I interpreting that question
- 5 correctly?

- DR. PAPATHEOFANIS: No. This is a whole separate issue really, and I think we have gone probably a little farther than we want on the dense breast issue at this point.
- MS. NOVAK: Okay. Well, I guess my question is, if we are first to assume that there has been a mammography.
- DR. PAPATHEOFANIS: Yes.
- MS. NOVAK: Five does not, if I read it correctly, and I guess where my question was going is, one of the things that surprised me is that we didn't see any evidence at least that stuck out to me as to, you know, if PET is really a better diagnostic tool than mammography, which we kind of always assumed that it has been. Is that true?
- DR. PAPATHEOFANIS: Is that what you
- 22 want to speak to, Barbara?
- DR. MCNEIL: Well, yeah. I have just a
- 24 procedural question and maybe it's to Sean or to
- 25 Frank. I'm getting a little confused about what

- 1 our charge is and what we're supposed to do,
- 2 because I want to make sure we do the right thing
- 3 here and we use the right information to make it.
- 4 So I read our little bible here about
- 5 recommendations for evaluating effectiveness this
- 6 morning again, and this tells us that we're
- 7 supposed to give you Sean, and HCFA, advice about
- 8 the evidence.
- 9 So my problem is, there is now an
- 10 indication that's on the table for which we have
- 11 no evidence, and I am not sure that given this
- 12 statement, that I personally feel comfortable
- 13 about making a judgment in the absence of somebody
- 14 giving me some data other than comments. And part
- 15 of the reason I got more worried about this than
- 16 what I was this morning, because I could see that
- 17 was coming up on the agenda, is the fact that I
- 18 guess Steve or somebody raised the issue about the
- 19 potential for biopsies, false positive biopsies,
- 20 unnecessary biopsies impacting subsequent
- 21 mammograms, somebody over there.

- So that made me think, well, we can't
- 23 assume that every positive PET study is a true
- 24 positive, I don't think, because we know we have
- 25 some specificities that are not 100 percent in all

- 1 of these indications. So if that's the case, then
- 2 we know, or it would be reasonable to assume that
- 3 there would be some false positives in dense
- 4 breasts, just following the same line of
- 5 reasoning.
- 6 And then taking up on the question that
- 7 I never would have thought to ask this morning, in
- 8 a million years I wouldn't have thought to ask
- 9 this about biopsies, then I'm now wondering about
- 10 the impact of those on this whole discussion that
- 11 Sam is raising. So this whole, what I am trying
- 12 to say is, I'm feeling very uncomfortable
- 13 personally about getting into any of the data on
- 14 this subject, because we have no data, and I would
- 15 almost propose that this is a question that we
- 16 can't answer today.
- DR. GAMBHIR: Let me just answer that
- 18 by saying first of all, there is data. I think
- 19 we're getting confused about the data that's out
- 20 there. There is data on FDG-PET in detection of
- 21 the primary breast tumor, both in screening
- 22 studies as well as in palpable masses, as well as
- 23 nonpalpable and palpable. So it's not fair to
- 24 say --
- DR. MCNEIL: But it is not here, Sam.

- 1 It hasn't been presented to us.
- DR. GAMBHIR: Actually, no. Even in
- 3 the blue TEC report, when you look under the
- 4 diagnosis category when they're talking about
- 5 looking at the primary and lymph node staging, the
- 6 primary detection data is the data we're talking
- 7 about. That data is there.
- B DR. FLAMM: Except, I think there is a
- 9 clinical difference when a physician refers for a
- 10 focal abnormality and a focal evaluation, and

- 11 someone coming in off the street for a screening 12 study.
- DR. GAMBHIR: There is, but the
- 14 abstract data and other data which, you know, may
- 15 not be fully in the blue TEC report, but the other
- 16 articles I showed do in fact show even those
- 17 populations, that is, people walking off the
- 18 street, the screening groups, so I don't -- I
- 19 wouldn't say that this is out of the blue that you
- 20 know, there is no data on this, or we just said
- 21 let's pick on dense breasted women. The reasoning
- 22 is, to try to find an underserved group that would
- 23 benefit, say what is the existing data that's
- 24 applicable to that group, and what I'm trying to
- 25 argue is that from the PET perspective, all these

- 1 women that have been scanned where we were looking
- 2 at the primary lesion, it doesn't matter whether
- 3 they were dense breasted or not, so that data
- 4 applies to that decision model, and that is the
- 5 key issue that links that data to the model that's
- 6 in breast cancer research and treatment that was
- 7 originally designed to answer this question, what
- 8 is the role of a second study inserted in when a
- 9 first study like mammography does so poorly.
- 10 Now I realize from your perspective
- 11 it's frustrating to say, but that isn't one
- 12 category that was addressed specifically in the
- 13 report, but I think it's a category we need to
- 14 visit, because it's one of the most important
- 15 categories from a perspective of women that are
- 16 currently underserved.
- DR. TUNIS: Let me just address and try
- 18 to at least clarify from my view procedurally what
- 19 we should try to do taking this into account, and
- 20 you know, I think this is going to stay a little
- 21 bit confused, in part because there is an
- 22 important new issue that's been added to the table
- 23 and we have to figure out what to do about it, and
- 24 that's the dense breast issue. The charge to this
- 25 committee is in fact to review the evidence and

```
00168
```

essentially the framework that we are ultimately 1 2 going to go through is to answer these five questions, around which you have the five 3 questions to the panel. So we will do that and we 4 will take a vote on those five questions. 5 The issue of you're supposed to 6 7 consider the evidence, as Janet said at the beginning, we think about the evidence broadly, so 8 the evidence is what you got in advance and then 9 whatever else people bring into the room to your 10 11 attention, including what Dr. Gambhir has raised and what other folks have raised. 12 It's new 13 evidence but it's still part of the evidence. may not be published evidence but it's still a 14 form of evidence and you still have to deal with 15 it at some level, so we will deal with that issue. 16 17 We won't take a formal vote on the issue of dense breasts, because it's not one of the questions 18 that we were sort of in advance charged to answer, 19 but we will continue to discuss it. 20 So, I don't know if that clarifies 21 things but at least, we will go through an orderly 22 vote on the issues on the table before us and I 23

00169

24

25

1 the evidence.

2 DR. PAPATHEOFANIS: Before we go on, there are a couple of things on the table right 3 The first question you had, Jeff, and the 4 dense breast issue hass sort of now become the 5 6 focus, your first question was a critique of the 7 technology assessment. Is there anyone that wants 8 to provide some discussion on that, and afterwards 9 what we will do is return to the issue of the dense breast and as you just heard from Sean, we 10 11 will not be voting on this, because it is not an 12 issue that we've had a chance to really spend some time and have been provided any sort of background 13 material on. 14 15 What I would want to do is open the

think we will be staying within the boundaries and

the guidance of the panel in terms of considering

- 16 floor so that each of us can provide any comments
- 17 regarding their personal position or opinions or
- 18 thoughts on this dense breast issue, which may be
- 19 revisited at a future MCAC panel meeting, but I
- 20 want to just finish with the issue of critiquing
- 21 the technology assessment, and Dr. Zarin?
- DR. ZARIN: I just thought I would
- 23 explain where the five questions came from,
- 24 because what we're talking about now is really a
- 25 sixth question or a subpart of one of the other

- 1 questions, depending on how you look at it. The
- 2 questions came from ongoing discussion between
- 3 HCFA staff and the people who had applied for
- 4 coverage, as well as other interested parties,
- 5 between us, the Agency for Health Care Research
- 6 and Quality, Blue Cross/Blue Shield TEC and HCFA
- 7 staff, and they were really designed to reflect
- 8 what we were hearing were the proposed indications
- 9 for PET scanning. So they weren't sort of
- 10 arbitrary and they weren't simply what Blue
- 11 Cross/Blue Shield decided to look at, but were
- 12 based on what we were hearing were the proposed
- 13 indications.
- 14 And the specific questions came from
- 15 applying the MCAC Executive Committee's criteria,
- 16 the bible as Dr. McNeil referred to it, as to
- 17 these indications. So that's where the questions
- 18 came from. I think the issue of dense breast is
- 19 raising the issue, as Sean said, of how to deal
- 20 with sort of a new indication that comes up at the
- 21 time of the discussion, and there wasn't a
- 22 systemic assessment of that indication, but that's
- 23 because it hadn't been raised ahead of time.
- DR. PAPATHEOFANIS: So from your
- 25 perspective as the chair of the technology

- 1 assessment group for AHRQ, is this a typical
- 2 product that you can expect from the EPCs and is
- 3 it in keeping with those standards?
- DR. ZARIN: Well, the question is, how

- 5 do you determine this sort of a policy question of
- 6 what to do about coverage for PET for breast
- 7 cancer, and that has to be kind of turned into a
- 8 set of research questions, if you will, and that
- 9 process is a very key process, and this was done
- 10 collaboratively between HCFA staff who were in
- 11 contact with the different stakeholders, as well
- 12 as those of us who were reviewing the actual data.
- 13 And we did it as best we could to try to come up
- 14 with the indications that seemed to be being
- 15 proposed and which seemed the most promising, sort
- 16 of the best case argument for the use of PET in
- 17 breast cancer.
- I think what we're hearing today is
- 19 given the findings there, as people's thinking has
- 20 evolved perhaps, maybe one of those questions has
- 21 been refined further, and maybe that's
- 22 unavoidable. I'm not sure if that could have been
- 23 known several months ago.
- DR. PAPATHEOFANIS: Great, thank you.
- 25 Anyone else that would like to comment on or

- 1 critique the assessment? Dr. Phelps.
- DR. PHELPS: I have a question about
- 3 procedures actually, because I think the dense
- 4 breast issue is a paradox, because to mammography
- 5 and palpation there are dense breasts but to PET
- 6 there are not, it's the diagnosis of breast
- 7 cancer. So you know, I think with that paradox,
- 8 the committee has to determine, has to rule about
- 9 whether dense breasts fit into PET's criteria of
- 10 diagnosing breast cancer or their radiographic
- 11 palpation criteria that makes them a
- 12 subpopulation, so I would ask you to do that.
- DR. PAPATHEOFANIS: Great. Dr. Conti.
- DR. CONTI: With all due respect to the
- 15 comment that was made earlier, I'm a stakeholder
- 16 as in the Society of Nuclear Medicine, as is the
- 17 American College of Radiology. We were not
- 18 consulted on the nature of these questions, so I
- 19 beg to differ with that comment. I'm also not
- 20 aware of any other stakeholders in the audience

- 21 from other professional societies here that were
- 22 consulted on the structure of these questions, so
- 23 I would like some clarification on that.
- Now specifically with regard to these
- 25 questions, I would also like clarification on what

- 1 health outcomes means, because I think if you as
- 2 the majority of people in this room how you would
- 3 want to evaluate diagnostic imaging technologies,
- 4 health outcomes would probably fall to the bottom
- 5 of the list rather than the top. We're looking
- 6 for management changes, we're looking for
- 7 decisions that are made in respect to the
- 8 introduction of the procedure.
- 9 Health outcomes are in large measure in
- 10 breast cancer patients determined by the treatment
- 11 choices that are made, and those made by the
- 12 surgeon or the medical oncologist, so we also need
- 13 to be clear what those measurements are. And I
- 14 don't believe that the questions reflect the
- 15 reality of diagnostic imaging measurements, and I
- 16 don't think they reflect the technology assessment
- 17 that was done, because that wasn't addressed at
- 18 all as far as I can see.
- 19 And third, I would also point out that
- 20 in my statement, we specifically presented
- 21 arguments that go contrary to the results of the
- 22 technology assessment with regard to recurrent
- 23 disease and metastatic breast cancer, and it is
- 24 documented for you.
- DR. PAPATHEOFANIS: Thank you.

- 1 Dr. Wahl?
- DR. WAHL: I did not have an
- 3 opportunity to review the Blue Cross TEC report
- 4 much before this meeting. I did get a look at it.
- 5 But I had an opportunity to review personally the
- 6 breast cancer PET literature in writing a review
- 7 article for the Seminars in Radiology, and this
- 8 will be coming out shortly, so I did take a very
- 9 careful look at the literature, including

- 10 abstracts, and I do believe one of the limitations
- 11 of the TEC report is not looking at abstracts.
- 12 Further, specifically regarding
- 13 questions four and five, my read of the literature
- 14 and my conclusions in my review was that clearly,
- 15 PET is in virtually every study in which it has
- 16 been examined for looking at distant metastatic
- 17 disease, it performs as well or better than
- 18 conventional methods, and as a single test could
- 19 replace several other tests. So the question was,
- 20 could it replace standard imaging tests? It's
- 21 hard for me to say if the accuracy is as good or
- 22 better, that it couldn't.
- 23 Similarly, the fifth point, and I just
- 24 wanted to comment that of course the difficulty in
- 25 doing studies in metastatic disease is that you

- 1 certainly can't biopsy every normal tissue, so
- 2 it's very hard other than follow-up, to determine
- 3 what is true in these studies. So the situation
- 4 in determining assessment of accuracy of
- 5 metastatic disease is really hard. So my
- 6 conclusion in my review is that the fourth point,
- 7 I would certainly differ in the conclusion, and I
- 8 just wonder if the entry criteria in the TEC
- 9 assessment are completely appropriate.
- 10 The other question, number five, I know
- 11 that one of my studies was quoted, the one from
- 12 1993, which was the first to prospectively look at
- 13 PET in assessing the response to chemotherapy. It
- 14 was described as having two PET scans in each
- 15 patient and in fact it had five PET scans in each
- 16 patient, sequentially done at base line, day 8,
- 17 day 21, 42 and 63, looking at the time course of
- 18 change in PET compared to independently and
- 19 blindly read mammograms. And what that study
- 20 clearly showed, it was in the JCO in 1993,
- 21 statistically significant was that PET showed a
- 22 much more rapid change in response to effective
- 23 therapy than did mammograms. Mammogram didn't
- 24 change in this period of time, so conventional
- 25 diagnostic methods didn't change, and the PET scan

- 1 changed very rapidly and did significantly by
- 2 eight days after treatment, with further
- 3 reductions in metabolism with additional
- 4 treatment.
- 5 So that, that wasn't discussed but that
- 6 was one of the questions, it does provide an
- 7 earlier response assessment than conventional
- 8 response criteria, and that was specifically in a
- 9 paper that I don't believe was accurately quoted
- 10 in the review. Again, I didn't read the entire
- 11 review, but at least in the summary presented
- 12 today, and I think that's consistent with other
- 13 studies.
- 14 The other concern I had about the
- 15 review is as regards the fifth point was that
- 16 there was an emphasis on denying patients therapy
- 17 in case PET was falsely showing a lack of
- 18 response. Indeed, PET showing response much
- 19 earlier than mammogram or measurements of tumor
- 20 size, I think that's improbable that it would
- 21 happen, that it is a more sensitive measure of
- 22 response.
- The other concern not addressed was
- 24 what if you treat a patient too much with
- 25 aggressive treatment, some of those drug regimens

- 1 contain six drugs, who aren't responding? I think
- 2 it's a tremendous disservice to a patient. And
- 3 not including that argument and not assessing the
- 4 relative weight to that potential damage I think
- 5 would be a limitation in the analysis. I wanted
- 6 to mention that I did have those disagreements
- 7 based on my review of the literature, and I would
- 8 be happy to provide you with a copy or preprint of
- 9 that Seminars article if you need it, that was
- 10 recently completed. Thank you.
- DR. PAPATHEOFANIS: What I don't want
- 12 to do is have another session of open public
- 13 comment. I would really like to hear the thoughts
- 14 of committee members. Jeff, have you heard enough

- 15 as far as critique of the technology assessment at 16 this point?
- DR. LERNER: Yeah, I think so.
- DR. BURKEN: I need to make a comment
- 19 in response to Dr. Conti in terms of the
- 20 formulation and design of the questions. The
- 21 questions were really designed as a combination of
- 22 CMS as we call ourselves now, the Center for
- 23 Medicare and Medicaid Services, and I'll try to
- 24 stick to that if I can, between CMS and AHRQ,
- 25 okay.

- 1 We have become increasingly through web
- 2 site postings, but not everything we do is totally
- 3 transparent, and Dr. Tunis may want to kind of
- 4 respond in which directions we may be going or not
- 5 going in terms of transparency. But as I said, it
- 6 was not a fully transparent process, nor intended
- 7 to be, for formulating the questions.
- DR. PAPATHEOFANIS: Thank you,
- 9 Dr. Burken.
- 10 Well, with the critique of the TEC
- 11 assessment off the table at this point, and we can
- 12 return to it if there is a need or if there is
- 13 time, I would like to refocus on the other issue
- 14 that snuck in on the table so to speak, and that's
- 15 the issue of dense breasts, and I'd like to hear
- 16 from the panel members. And again, I welcome you
- 17 to ask for audience input, but I think we're
- 18 beginning to get a flavor of what that input will
- 19 be, and I'd rather have you share some of your
- 20 thoughts as this is an opportunity for you to do
- 21 so. Mike.
- 22 MR. KLEIN: Okay. One of my
- 23 observations is that the issue we have been
- 24 debating or at least has been on the floor here,
- 25 is so much of how one defines what is the disease

- 1 that we're dealing with. And some of the comments
- 2 that have been made have been along the lines of
- 3 looking at the, the need to look at breast

- 4 anomalies, in this case cancerous lesions,
- 5 biologically or has been described as an endocrine
- 6 problem. And as such, the imaging technology that
- 7 exist today don't effectively, it would appear
- 8 from discussions, don't appear to address the
- 9 biological aspect of it as such.
- 10 Functional imaging or biologic or
- 11 metabolic imaging is the issue, so I contend that
- 12 the dense breast issue is a part of that. If you
- 13 reduce it to just a dense breast issue, you will
- 14 introduce the issue of ultrasound, which is
- 15 certainly a viable way in conjunction with
- 16 mammography of looking at and diagnosing dense
- 17 breast tissues. Certainly in Asian countries
- 18 where there is a very high incidence of dense
- 19 breast tissues at all ages, ultrasound is not only
- 20 used as an adjunct to mammography for dense breast
- 21 tissues but is in fact in many areas used as the
- 22 preferred method of imaging.
- So I think it's part of this issue of
- 24 looking at it as more of a biological disorder
- 25 than one that needs to be treated as such, and I

- 1 would be interested to make some additional
- 2 comments later when we talk about how PET can be
- 3 used in the staging of the disease, treating it,
- 4 and certainly for recurring and for other risk
- 5 factors. But I'm not sure that the dense breast
- 6 issue in and of itself is the central point that
- 7 was being made by the speakers. I think it was
- 8 the issue of this is more of a systemic or
- 9 biological problem. If someone wants to comment
- 10 or correct me on that, please do so.
- DR. PHELPS: I think if you just stop
- 12 for a minute and look at the very signal, you
- 13 know, where is the signal coming from in x-ray
- 14 techniques, and even through palpation addresses
- 15 it, and even ultrasound, those are all issues
- 16 related to the density, so the very signal that
- 17 you're collecting to make a diagnosis is coming
- 18 from density. And when you turn to PET, it's not
- 19 the fact that the imaging can penetrate that

- 20 tissue easily, which it can't, but the signal is
- 21 not coming from density, it's coming from the
- 22 glucose metabolism so it has nothing to do with
- 23 density. Density happens just to be in the
- 24 clinical work-up by both palpation and the x-ray
- 25 techniques, it happens to subpopulate them, but

- 1 they don't subpopulate in PET, because they are
- 2 metabolically differentiated.
- 3 You know, that's why I was responding
- 4 to Barbara's comment that it depends on how you
- 5 want to take, the direction you want to take. If
- 6 you say yes, I accept that argument, then they are
- 7 not a subpopulation to us and the diagnostic
- 8 criteria apply. If you subpopulate them by the
- 9 density, then they are subpopulated that way and
- 10 you might exclude them from the questions.
- 11 And I think you has asked the question
- 12 actually in the beginning about you were concerned
- 13 that some of the people were raising questions
- 14 that were not in the questions here. Now I
- 15 respectfully would say that this is a process in
- 16 evolution so you know, there are mistakes that
- 17 will be made and it's improving, and we also have
- 18 to do a better job of engaging you, so next time
- 19 we will do better on your side and our side about
- 20 the questions, but there will be some mistakes.
- DR. PAPATHEOFANIS: Dr. Weinberg?
- DR. WEINBERG: Yes, if I may, just with
- 23 regard to this dense breast issue and how it
- 24 relates to biologic imaging. I think if you look
- 25 at symptomammograpy, which I have some

- 1 publications which I participated in some
- 2 publications on, the question there is problem
- 3 solving, and can functional imaging assist in
- 4 problem solving in difficult mammograms. And
- 5 dense breast is really one subset of difficult
- 6 mammograms. It may be a patient who has had a
- 7 biopsy in the past, it may be an elderly patient
- 8 who is on hormone replacement therapy who all of a

- 9 sudden has a density that wasn't seen on the 10 previous examination.
- 11 So I think the question of not only
- 12 whether to perform a biopsy but more importantly
- 13 for us is where to perform a biopsy on a patient
- 14 with difficult mammograms is a very critical issue 15 to every mammographer.
- DR. PAPATHEOFANIS: Thank you. David
- 17 MR. SAMSON: I would like to pose a
- 18 question to the committee having to do with the
- 19 breast density issue. In the technology
- 20 assessment report, we tried to distinguish between
- 21 two segments of the biopsy population, the upper
- 22 segment that has clearly abnormal mammograms and
- 23 palpable masses, and the lower segment that might
- 24 have an indeterminate mammogram. And I wonder if
- 25 there is a relationship between the lower segment

- 1 and patients with dense breasts, whether there are
- 2 patients who have a dense breast and have an
- 3 existing tumor that is fairly large in size, would
- 4 that be picked up in spite of the density of the
- 5 breast?
- 6 And is there a lot of overlap between
- 7 the, I guess the smaller tumors, the nonpalpable
- 8 ones, indeterminate mammograms and the patients
- 9 who have dense breasts? Are, the ones with dense
- 10 breasts tend to be smaller tumors. Is that the
- 11 same issue?
- DR. PAPATHEOFANIS: What do you think?
- MR. SAMSON: I don't know, that's why
- 14 I'm posing it to the committee. And if so, if it
- 15 is the same issue, if the dense breasts are hiding
- 16 small tumors, then we need to know the diagnostic
- 17 performance of PET for small tumors, and we don't
- 18 know that. That's my point.
- DR. FLAMM: I think there are some
- 20 logical similarities. You have to think about
- 21 patients presenting for mammography as being a
- 22 whole spectrum of different types of patients, and
- 23 we have diagnostic performance data in a very
- 24 specific segment of that population. And I have

- 1 performance characteristics across the whole range
- 2 of patients who present themselves for a PET scan.
- 3 And I think we need to be very clear about what we
- 4 know and what we don't know about the diagnostic
- 5 performance. We can't just say these are
- 6 diagnostic patients so therefore, we can take
- 7 these estimates, because I think the types of
- 8 lesions you would want to pick up in a patient
- 9 presenting with dense breast de novo for her
- 10 screening study would be different than someone
- 11 who is coming in with a palpable mass for the PET
- 12 study, to diagnose it as benign or malignant.
- DR. GAMBHIR: Let me just respond to
- 14 that. There is some --
- DR. PAPATHEOFANIS: Sam, you have two
- 16 seconds.
- DR. GAMBHIR: In fact, when you have
- 18 larger lesions, those can also be missed in dense
- 19 breasted women. For example, it's not just the
- 20 issue of lesion size and sensitivity in both
- 21 mammography and PET relate to versus the density
- 22 of the breast versus nondense. So the literature
- 23 shows that in dense breasted women, even lesions
- 24 that are larger in size -- the example I showed
- 25 you was a one centimeter lesion that was missed by

- 1 mammography entirely, actually on three subsequent
- 2 uses. So it's not simply that oh, PET is catching
- 3 those larger lesions and is going to miss all the
- 4 small ones and that's really what mammography is
- 5 missing on dense breasts. It's not that clearcut.
- 6 Now there is an issue of exactly what is the
- 7 sensitivity and specificity of mammography, PET,
- 8 ultrasound, as a function of lesion size, and
- 9 that's not well known ever from mammography,
- 10 especially for the smaller size lesions.
- 11 So I think the best we can do and this
- 12 is why it keeps coming back to the best you can do
- 13 at the current time, you can take the estimates

- 14 that you have and that's the purpose of
- 15 sensitivity analysis, right, we can say what is
- 16 the best estimate, what if it got slightly worse,
- 17 what if it got worse than that, how would that
- 18 change the management or outcome of patients?
- I encourage all of you to read that
- 20 Breast Cancer Research and Treatment paper by
- 21 Allen, et al., because that's exactly what it
- 22 does. It doesn't say here are the values and we
- 23 know them. It says what happens when we vary
- 24 these values, what is still the benefit or outcome
- 25 for these patients? And that's all we can do at

- 1 the current time, because to do these trials head
- 2 to head to answer these questions will be another
- 3 five, seven, eight years of data collection,
- 4 especially in a cancer in a screening population
- 5 where there's low incidence, and during that time
- 6 you do, I think, a disservice to the women that
- 7 currently have a need for the test.
- DR. PAPATHEOFANIS: Thank you.
- 9 Dr. Abrams, as the only oncologist sitting on this
- 10 panel, can you share your thoughts on this
- 11 subissue of dense breast?
- DR. ABRAMS: I'm not sure an oncologist
- 13 is the one to answer a screening question. I
- 14 think the screening issue is complicated because
- 15 it's not one of the pieces of information that we
- 16 really reviewed. I think when I read the report
- 17 and it was pointed out to me that they
- 18 specifically didn't have data on these
- 19 indeterminate cases where the -- so that's why
- 20 they went with the larger palpable, larger tumors.
- 21 And you know, when I first looked at that, I said
- 22 well, if PET can't prove its role there, then it
- 23 may not be able to prove its role in the others.
- 24 But thinking about that more, that may
- 25 not necessarily follow. I think we still need the

- 1 data in these indeterminate cases, which maybe
- 2 they're indeterminate because mammography does

- 3 depend on density, and that may be an area where
- 4 PET would have a true advantage as we've heard,
- 5 because it gives it signal another way. But I
- 6 don't, no data was presented on that so it's hard
- 7 to have an opinion today other than what was
- 8 talked about by the public comments.
- 9 So, I think the other thing is, we made
- 10 mammography prove itself in screening by doing
- 11 mammogram studies that took many many years to
- 12 prove that they actually hopefully would save
- 13 lives and bring some benefit, because there are
- 14 some costs to biopsies, and anxiety, and all the
- 15 issues that people who lived through the
- 16 mammography debates know about. So I suspect,
- 17 just speaking to the screening issue, other
- 18 techniques that want to enter this arena as
- 19 screening tools will have to go through that kind
- 20 of testing also, and that at least wasn't
- 21 presented so far.
- DR. PAPATHEOFANIS: Dr. Guyton.
- DR. GUYTON: I think another thing to
- 24 is that there are biopsies and there are biopsies.
- 25 There are needle biopsies, there are core biopsies

- 1 and there are excisional biopsies. And to
- 2 consider using the PET scan on a palpable mass is
- 3 for a surgeon an anathema. When you can stick a
- 4 needle into the thing, stick a core needle into
- 5 the thing and find out what it is, you don't have
- 6 to depend on its glucose metabolism. So that some
- 7 of those issues come into evaluating these
- 8 questions.
- 9 I think the other thing that can come
- 10 out of the discussion today is to try to determine
- 11 what data is needed by HCFA in order to make some
- 12 of these determinations and that they can then
- 13 determine what they need, how they might be able
- 14 to go about it, as they have done with the
- 15 national emphysema treatment trial, and arrange
- 16 for those studies to be done. Study PET versus
- 17 biopsy for nonpalpable mammographic abnormalities.
- 18 Study PET versus mammography and ultrasound in DY

- 19 dense breasts or as identified in problem
- 20 mammograms. Study PET versus present methods of
- 21 determining locoregional disease after a positive
- 22 biopsy, as Dr. Rollo suggested. Study PET on some
- 23 schedule versus short interval mammography on
- 24 follow-up with low or medium suspicious findings
- 25 on mammography. Compare PET to sentinel node

- 1 biopsy and axillary lymph node dissection in
- 2 determining locoregional staging.
- 3 Those are ways of going about getting
- 4 the information that is needed to answer these
- 5 first three questions.
- DR. PAPATHEOFANIS: I think that's well
- 7 said. Dr. Flamm.
- B DR. FLAMM: Just to add one more piece
- 9 to the discussion about other imaging choices and
- 10 dense breast, I think there are a couple of other
- 11 technologies that are being applied to looking at
- 12 dense breast. You mentioned ultrasound, and MRI
- 13 as well, both which function on the basis of
- 14 different physical mechanisms for obtaining their
- 15 signal than radiographic x-ray density, ultrasound
- 16 characteristics, and MR is proton signal density.
- 17 So I think that both of those technologies would
- 18 need to be kind of at least put into the
- 19 discussion in thinking about meeting this unmet
- 20 need, where mammography is very limited in the
- 21 dense breast patient.
- DR. PAPATHEOFANIS: Anyone else on the
- 23 panel that might want to add or subtract
- 24 something?
- MR. KLEIN: Just in terms of some data

- 1 on this, there is a lot of data about what is
- 2 missed and the percentage of misses in traditional
- 3 mammography. And you know, I worked at Variant a
- 4 number of years and we spent a lot of time taking
- 5 a look at what cancers are missed. And in the
- 6 breast cancer area it's very clear in all the data
- 7 that anywhere between 70 to 82 percent, so that 80

- 8 percent of the cancers that are there are caught 9 during traditional mammographic review, which is 10 another way of saying that 20 percent are missed 11 and are missed for a variety of reasons, either 12 due to radiologic oversight, you know, very busy 13 departments, they are on the images but they are
- 14 just not picked up. 15 But in those cases, where 20 percent 16 are missed, a third of those cases are in dense 17 breast tissues, so we're looking at 7 percent, or seven out of a hundred times when it's missed, or 18 19 seven out of a hundred mammograms will be missed, and they will be missed because of dense breast 20 21 Now whether or not this is the best 22 modality or not to detect that is a subject for 23 discussion, because I think there were a lot of 24 other points made also about ways in which cancers

25

- 1 because there's been breast augmentation or
- 2 because there may be other risk factors, genetic
- 3 risk factors, family history, whatever, that would 4 be important.

are missed either because there has been biopsy or

- 5 But I think the dense breast issue is
- 6 one area. But the reality is that seven out of a
- 7 hundred will be missed, 20 out of a hundred will
- 8 be missed and seven out of those will be because
- 9 of dense breast issues, and some may even argue
- 10 that that's a conservative number.
- DR. GAMBHIR: I think that's right, but
- 12 if you then go to Wolf grade DY -- that's actually
- 13 across all Wolf grades, but if you now focus on
- 14 the model where we are talking about the worst
- 15 ones, or the highest density, it will be actually
- 16 almost double that number, because those are the
- 17 ones that mammography does even worse on, so yeah,
- 18 I think there are real misses in these women that
- 19 have to be addressed through PET and/or additional
- 20 technologies.
- DR. TUNIS: Sam, do you know much, or
- 22 Dr. Flamm, about the performance of ultrasound or
- 23 these other modalities that were mentioned in

- 24 terms of the these DY 4 breast densities?
- DR. GAMBHIR: Again, the problem I

- 1 think lies in that with the other technology as
- 2 well, there's not good published data on a head to
- 3 head comparison. There are studies underway now
- 4 at several institutions that are looking at dense
- 5 breast women with high risks, that is a family
- 6 history in addition to dense breasts, where they
- 7 are looking at MR imaging, ultrasound,
- 8 mammography, and in some they are adding PET.
- 9 Until those data come out, I can't give you a head
- 10 to head comparison of the two.
- I do want to say though, that from my
- 12 other hat, which is more as a molecular cell
- 13 biologist, what we're talking about sounds so
- 14 primitive in that it's to me, just to put it in
- 15 contrast, I raised this analogy the last time six
- 16 months ago, that it's like saying prove to us that
- 17 what applies in an x-ray on the left pinky applies
- 18 on the right pinky, because you haven't proven it
- 19 for the right pinky. To me it's not just a breast
- 20 cancer issue, it's the fundamental biochemistry of
- 21 these tumors. This is not the tissue it
- 22 originated in. When we go later to the issue of
- 23 recurrence, looking for staging, it's not which
- 24 metastasis is present in the liver, where it came
- 25 from, it's the fact that it's in the liver. We

- 1 are limited in its size for sensitivity, and its
- 2 specificity it determined by issues of
- 3 inflammatory response and other background
- 4 activity that's not anything to do with the origin
- 5 of the tissue type. So when we look at these
- 6 other categories, we have to be careful not to say
- 7 oh well, show it to me in the breast literature.
- 8 When we look back a decade from now later, you
- 9 will hear in your own minds echoing these words,
- 10 that that doesn't matter, it just doesn't matter
- 11 that it originated from breast.
- DR. TUNIS: Sam, what is it that

- 13 explains the 10 to 20 percent false negative rate
- 14 for the axillary use in breast cancer, or, I don't
- 15 remember exactly what the false negative was, but
- 16 given that these tumors do consume 20 times more
- 17 glucose or whatever, what accounts for a false
- 18 negative?
- DR. GAMBHIR: I think that's a very
- 20 good question, and it applies to all cancers, not
- 21 just breast. The main reason for false negatives
- 22 tend to be, one, tumor burden at that site. None
- 23 of these imaging technologies are looking at a
- 24 single cell or a hundred cells or a thousand
- 25 cells. You have to approach hundreds of thousands

- 1 to millions of cells in a given site. We would
- 2 love to have a technology that identifies these
- 3 molecular areas when you're down to just one or
- 4 two cells having that error. These technologies
- 5 don't do that, so the smaller the tumor is, the
- 6 smaller the lymph node metastasis is, the less our
- 7 chance of being able to catch it on any
- 8 technology, including PET. So that produces false
- 9 negatives.
- 10 Then there are different degrees of FDG
- 11 uptake by different tumor types. Not all breast
- 12 cancers are absolutely equal. Ductal carcinoma in
- 13 cyto will not be as metabolically active as
- 14 infiltrating ductal cancer. Infiltrating ductal
- 15 cancer tends to be a little more active than
- 16 lobular, so different tumor types do have a range
- 17 of glucose metabolism, and that also causes us to
- 18 miss certain tumors, but both those lead to less
- 19 than perfect sensitivity and again, then, it
- 20 depends on not the origin of the tumor but the
- 21 tumor burden at a given site. So whether it's
- 22 lung cancer that has made its way into the axilla
- 23 or whether it's breast cancer that's made its way
- 24 into the axilla, it's the number of those cells at
- 25 a given site that matters and the rate of glucose

- 2 And the contrary is the specificity
- 3 issue. It's not which tumor metastasized to the
- 4 liver, it's what are the things that cause false
- 5 positives in the liver or false positives near the
- 6 bowel wall. It's not the site it came from. So
- 7 although we can close our eyes and say no, no, but
- 8 let's focus on the breast literature, really what
- 9 we should be focusing on is for all these
- 10 different tumors coming to this site, what's our
- 11 probability of catching it at this site and what's
- 12 our probability of being falsely positive. And
- 13 that's the arguments that you know that I used at
- 14 the last meeting across all those other cancers,
- 15 and that's I think the more important way to look
- 16 at this data.
- DR. PAPATHEOFANIS: Thank you.
- 18 Dr. Phelps and then Dr. Weinberg, and we are going
- 19 to close this discussion.
- DR. PHELPS: Just a brief comment. You
- 21 know, still the issue with MR, you're switching
- 22 from electron density to proton density, or
- 23 hydrogen density, so it's still categorically a
- 24 different issue. It's still the issue of gross
- 25 density, and there is no relationship proven

- 1 between disease and electron density or proton
- 2 density. You know, and that's the point where
- 3 we're trying to get everybody to come over to the
- 4 other side to look at biology where there is
- 5 fundamental proof in the relationship between
- 6 biological process and disease, and then just take
- 7 that evidence over to the patient with PET.
- 8 And it's not an issue of the value of
- 9 x-ray techniques or CT or MR, we all know they are
- 10 valuable, but it is to separate these two
- 11 categories when we are trying to define the type
- 12 of information that we are looking at and how we
- 13 use that.
- DR. PAPATHEOFANIS: Thank you.
- 15 Dr. Weinberg.
- 16 DR. WEINBERG: Yes. I would like to
- 17 perhaps assist Dr. Tunis in his question as to the

- 18 possible reasons for false negatives in PET. In
- 19 nuclear medicine, size does matter, and just as
- 20 Dr. Gambhir pointed out, you can miss large
- 21 cancers and even in patients with palpable
- 22 cancers, as Dr. Guyton has focused on, it's very
- 23 helpful for some surgeons to be able to see
- 24 whether there is multifocality associated with
- 25 those large cancers, and that is again, a size

- 1 question.
- 2 A technology was developed in
- 3 Dr. Phelps' lab that's being used currently in
- 4 animal imaging where you get one millimeter
- 5 resolution. We have looked in protocol at
- 6 patients who were injected with FDG, had the
- 7 specimens removed, and we looked at those core
- 8 specimens. You could see minute amounts of
- 9 cancer. People have shown with autoradiography
- 10 they can detect as few as 10 cancer cells, so PET
- 11 is the heir to radiography, it really has a lot of
- 12 power in terms of being able to see not only the
- 13 large cancers but also very minute cancers, and so
- 14 there's a lot of promise in this technology.
- DR. PAPATHEOFANIS: Thank you. Donna?
- MS. NOVAK: It seems like there's a
- 17 spectrum of you know, from initial screening
- 18 through, you know, we know we have a tumor and
- 19 it's quite large. And it seems to me that these
- 20 questions start in the middle of that spectrum
- 21 somewhere and do not include the initial
- 22 screening, so that really isn't part of our charge
- 23 if our charge is in fact these five questions, and
- 24 I think a lot of the discussion is really around
- 25 initial screening.

- DR. PAPATHEOFANIS: So far, right.
- DR. BURKEN: And that has to do with
- 3 the fact that there are statutory reasons for that
- 4 maneuver and the questions did start there because
- 5 of a statutory exclusion of screening, except for
- 6 mandated reasons such as mammography. But on the

- 7 flip side of that, I think this has been a
- 8 provocative discussion on dense breasts and just
- 9 because we don't have a question on the page and
- 10 we may not vote on it today doesn't mean we will
- 11 leave it behind.
- MS. NOVAK: That's another interesting
- 13 point. Can this panel say, you know, we voted on
- 14 these five and this is our vote, and here is
- 15 something else that we would have liked to have
- 16 considered or want to consider in the future?
- DR. PAPATHEOFANIS: Well, I think we
- 18 have said that, and I just wanted to close the
- 19 discussion on the dense breast by asking Sean if
- 20 he has captured enough information at this point,
- 21 since it's not an issue that we will vote on, and
- 22 we're just going to move on from here. Is there
- 23 any further discussion you would like us to
- 24 consider?
- DR. TUNIS: My only measure is as long

- 1 as everybody on the panel feels like they've had
- 2 their say on this issue for the record and for our
- 3 consideration, that's the only measure of whether
- 4 there has been enough discussion. So I don't know
- 5 if anyone who hasn't weighed in on this wants to
- 6 weigh in. As I said, we won't vote on it
- 7 formally, but obviously all of this discussion
- 8 becomes part of our internal consideration.
- 9 MS. NOVAK: I'll say that I think dense
- 10 breast is a specific example, but I think initial
- 11 screening in general as far as what gives better
- 12 diagnostic help. One thing with mammography, if
- 13 you haven't had a mammogram obviously, you have to
- 14 wait a period of time. And so, I think there are
- 15 other issues besides just this one, which I think
- 16 is an example of an initial screening issue.
- DR. PAPATHEOFANIS: Right. And that's
- 18 not to say that we will not be charged with
- 19 addressing that issue at a future panel meeting.
- 20 So with that, let's go would to what we
- 21 do have, and that is the charge of working our way
- 22 through these five questions and offering our

```
23
     recommendations to Sean and to HCFA.
  24
                 DR. PHELPS: Can I ask one thing?
  25
                 DR. PAPATHEOFANIS: Go ahead.
00200
  1
   2
```

- - DR. PHELPS: So I quess the question I
 - have asked, you decided against, about the -- I
 - mean, I raised the issue that dense breast 3
 - 4 subpopulation is an issue of palpation and x-ray
 - techniques, it is not a subpopulation in PET, so 5
 - you're rejecting the including dense breasts in 6
 - 7 the general diagnostic population in question with
 - 8 PET?
 - 9 DR. PAPATHEOFANIS: That's what we're
 - 10 going to do, that's the sense from this panel, and
 - 11 if it does come up again from the Agency, we'll
 - 12 look at it in that light. I think what you're
 - 13 seeing is we sort of have one hand tied behind our
 - 14 packs in that dense breasts means something to a
 - 15 lot of people and the data weren't cut that way,
 - 16 it's not to say the data don't exist, but it's
 - 17 sort of an 11th hour request when what we have
 - 18 been dealing with are these five questions. It's
 - not an excuse, it's just that it's sort of a 19
 - 20 destabilization of what we can do at this point,
 - and I think that's why I'm offering that perhaps 21
 - 22 we will look at this at a future panel meeting.
 - DR. TUNIS: Yeah, and I don't think 23
 - 24 the -- I mean, we have obviously heard from this
 - panel that a number of these panelists consider 25

- this an extremely relevant and important issue, 1
- 2 and so that becomes part of our deliberation in
- the 60 days or whatever from the time we get our 3
- Executive Committee ratification of whatever is 4
- 5 decided out of this meeting, so it's not as though
- this closes off the conversation on the dense 6
- 7 breast issues. So I don't know that that
- constitutes in your view rejecting your proposal 8
- 9 or not, but that's not what's intended. We are
- not going to vote on your proposal. 10
- 11 DR. PHELPS: I think you rejected it

- 12 for the vote today.
- DR. PAPATHEOFANIS: We did, yeah.
- 14 Well, I started this by reading
- 15 question one, that was about an hour ago. Let's
- 16 try it again. Question one. Is there adequate
- 17 evidence that PET can improve health outcomes when
- 18 used to decide whether to perform a biopsy in
- 19 patients with an abnormal mammogram or palpable
- 20 mass? I think what I would like to do is discuss
- 21 this question, and I think maybe take a vote after
- 22 we discuss this question so it's fresh on our
- 23 minds and do the same for the remaining five.
- So with that, any comments on question
- 25 number one? Dr. Flamm?

- DR. FLAMM: One framework to begin
- 2 breaking down this question is to look at what we
- 3 know about the diagnostic performance of PET in
- 4 this indication, think about how it seems to
- 5 change management, and then think about the
- 6 balance tables that were presented in terms of
- 7 benefits and harms and thinking about whether PET
- 8 improves health outcomes.
- 9 And one point I think is helpful in
- 10 this indication and it also applies to the second
- 11 indication, we had a fair number of studies
- 12 estimating diagnostic performance of PET here, and
- 13 while adding in abstracts may increase the end,
- 14 it's reassuring to see that the diagnostic
- 15 performance estimates coming out in the abstract
- 16 literature are in line with what we know now, so I
- 17 think, I personally feel like we have some sense
- 18 of how PET performs in the patient population that
- 19 was studied, and I'm referring specifically to the
- 20 segment of the population that we have.
- 21 And then you go to the next step and
- 22 think about the balance of benefits and harms, and
- 23 I think it's of concern here that a patient using
- 24 PET to avoid biopsy faces such a relatively high
- 25 false negative rate of having a cancer not picked

- 1 up by avoiding a biopsy. So I think the problem
- 2 in question one is not so much the diagnostic
- 3 performance data, and I don't think that bringing
- 4 in the abstracts would change my mind at all about
- 5 this, in this indication. It really is, and given
- 6 that level of performance, how it would be used in
- 7 this clinical circumstance, the net effect
- 8 wouldn't help the population of patients.
- 9 DR. PAPATHEOFANIS: Okay. Anyone else?
- 10 DR. GUYTON: I guess I would agree with
- 11 that assessment, and particularly the palpable
- 12 masses, because they ought to be biopsied and are
- 13 easily biopsied and then can be evaluated from
- 14 there. And then if you then take a subsegment of
- 15 the abnormal mammograms, I think there is a
- 16 standard of care that's present at this time for
- 17 treating that situation and it's not clear to me
- 18 that adding PET to that standard of care is going
- 19 to change the outcomes.
- DR. PAPATHEOFANIS: Mike.
- DR. MANYAK: I think that, you know, we
- 22 wrestled with this issue of trying to be a little
- 23 more inclusive with more data from the abstracts,
- 24 and I mean, I agree with the strict criteria that
- 25 has been used. We wrestle with this in our

- 1 specialties along the same lines, and you kind of
- 2 really have to go with something that's, in my
- 3 opinion, critically looked at like this.
- 4 However, even, let's say we did accept
- 5 that data, and I think there was some valid points
- 6 about incorporating a lot of that data, it still
- 7 doesn't answer that issue of the small lesion or
- 8 the indeterminate mammogram and if it did, then I
- 9 would say that would be an important point to
- 10 consider here. But it doesn't change, so adding
- 11 another thousand patients doesn't change the
- 12 conclusions of question one, and I think that's an
- 13 important thing to remember here.
- DR. PAPATHEOFANIS: And I think in your
- 15 recommendations for PET forward, one of your
- 16 suggestions was doing just that.

```
17
                 DR. GUYTON: Yeah, and HCFA can decide
      what information it wants, to design a study to
  18
      garner that information, and then determine how
  19
  20
      large a study they want.
  21
                 DR. PAPATHEOFANIS: Barbara, do you
  22
      have anything you wanted to add?
  23
                 DR. MCNEIL: No, I think the data is
  24
      incomplete.
  25
                 DR. PAPATHEOFANIS: Anyone else?
                                                   Well,
00205
      I need a motion, I quess. Janet.
   1
   2
                 MS. ANDERSON: At this time, the
   3
     chairperson, Dr. Frank Papatheofanis will call for
      a motion and will ask the voting members to vote.
   4
     We are going to vote on the first question which I
   5
   6
      will read, which is: Is there adequate evidence
   7
      that PET can improve health outcomes when used to
      decide whether to perform a biopsy in patients
   8
   9
      with an abnormal mammogram or palpable mass?
      what you're going to do is, we will start with the
  10
  11
      for, and just simply raise your hand until I tell
  12
      you that I have you marked. How's that?
  13
                 DR. PAPATHEOFANIS: I'm sorry, I didn't
  14
      get that.
  15
                 MS. ANDERSON: We will start with the
  16
      members of the panel who are voting in the
  17
      positive, voting for the question number one.
  18
                 DR. PAPATHEOFANIS: We need someone to
  19
      make the motion first.
  20
                 MS. ANDERSON: Oh, I thought you made
  21
      the motion.
  22
                 DR. PAPATHEOFANIS: I can't.
  23
                 DR. FLAMM:
                             I move that we vote.
  24
                 DR. MANYAK:
                              Second.
  25
                 DR. PAPATHEOFANIS: So the motion is
00206
      the question, is everyone agreed on that one?
   1
   2
                 DR. GUYTON: So a positive vote is that
   3
     there is adequate evidence, and a negative vote is
      that there is not adequate evidence?
   4
   5
                 DR. PAPATHEOFANIS: That will keep us
```

- 6 from having to rephrase the questions, right.
- 7 MS. ANDERSON: Those who are voting
- 8 for? Those who are voting against? No one has
- 9 abstained.
- DR. PAPATHEOFANIS: Unanimous in the
- 11 negative.
- Okay, let's move on to question two,
- 13 and I'll read that one.
- MR. KLEIN: Can I ask a procedural
- 15 question?
- DR. PAPATHEOFANIS: Absolutely.
- 17 MR. KLEIN: Are we, are our votes in
- 18 each of these areas going to be binary in the
- 19 sense of yea or nay for each one of these, or is
- 20 there a possibility to answer these questions yes
- 21 or no under certain circumstances or for certain
- 22 indications?
- DR. PAPATHEOFANIS: Typically, a
- 24 question answered no, correct me if I'm wrong,
- 25 Sean, meets with a question from me, which I

- 1 didn't do, and I apologize for that, as to why you
- 2 voted no, and in that way, that information is
- 3 entered into the transcripts. Would you like us
- 4 to do that, Sean?
- 5 MR. KLEIN: I guess what I was getting
- 6 at --
- 7 DR. PAPATHEOFANIS: Because that gives
- 8 you a chance to say, well, I voted no, but this is
- 9 why.
- 10 MR. KLEIN: I was really thinking about
- 11 as we move forward in some of the other questions,
- 12 there may be some points that, because some of
- 13 them are very sweeping questions, there may be
- 14 some points as we move forward, even in the next
- 15 one that we have to deal with, where it may not be
- 16 as simple as saying yes or no. The answer might
- 17 be, if the motion stated it this way, I would say
- 18 yes, or I make a motion that this is an indication
- 19 for recurring cancers, or situations where a prior
- 20 biopsy would be indicated. Can one move as such,
- 21 or is one limited to make a motion that's

- 22 precisely duplicative of the question listed here?
- DR. PAPATHEOFANIS: No, we will
- 24 entertain motions in language that you propose,
- 25 and either vote that language or not.

- DR. TUNIS: You can either make a
- 2 motion to amend any of these questions, and have a
- 3 vote on that, or you don't have to change the
- 4 question, you can simply make commentary on your
- 5 vote, which becomes part of the record and is as
- 6 important as your vote itself. And that's even
- 7 true for the nonvoting members who don't have a
- 8 vote, they can still make a comment in relation to
- 9 a vote, you know, even without being formally
- 10 counted as part of the vote.
- DR. PAPATHEOFANIS: So then before we
- 12 go to question two, is there a comment you would
- 13 like to make on question one?
- MR. KLEIN: My comment is I will have a
- 15 comment on the other questions.
- DR. PAPATHEOFANIS: Anyone else that
- 17 might want to make a comment?
- 18 All right. Let's go on to question
- 19 two.
- DR. BURKEN: I would like to make a
- 21 comment on question one. I was wondering how many
- 22 votes on the panel, you know, might want to
- 23 comment on the risk-benefit ratios that were
- 24 highlighted by David Samson in his presentation,
- 25 whether that played a part in the decision making,

- 1 because I think David highlighted those and I
- 2 would just be curious how others were responding
- 3 to David's remarks.
- 4 DR. MCNEIL: I actually thought Carole
- 5 said that very nicely in her summary.
- DR. BURKEN: Okay.
- 7 DR. PAPATHEOFANIS: Okay. Question
- 8 number two: Is there adequate evidence that PET
- 9 can improve health outcomes by leading to earlier
- 10 and more accurate diagnosis of breast cancer

- 11 compared to short interval mammographic, vis-a-vis
- 12 three to six months, follow-up in patients with
- 13 low suspicion findings on mammography and other
- 14 routine imaging procedures?
- This is where you comment, Michael.
- MR. KLEIN: Yeah. I think that there
- 17 has been a pretty healthy introduction of some
- 18 data on the floor by our presenters, indicating
- 19 that if there has been an occurrence, and in fact
- 20 there has been prior treatment either because of
- 21 biopsy occurred, maybe making it difficult for a
- 22 follow-up review, or if someone is on hormone
- 23 replacement therapy even though, for Medicare
- 24 purposes, one might normally suspect that there
- 25 would be dense breast tissue but found because of

- 1 hormone replacement therapy. Recurrent cancer is
- 2 obviously, or one can argue that genetic
- 3 predisposition, there are a couple of genetic
- 4 factors that fairly conclusively lead to a higher
- 5 percentage rate.
- 6 But I would say in the case of an
- 7 already diagnosed cancer, to get an, that current
- 8 mammographic procedures fall very much short in
- 9 terms of the ability to detect anomalies or
- 10 reoccurrences, particularly if there has been some
- 11 treatment or if there's been breast augmentation,
- 12 an open excisional biopsy or whatever. So I offer
- 13 that as a comment in terms of one particular way
- 14 one might want to consider PET as an indicator in
- 15 certain circumstances.
- DR. GUYTON: But you're talking about a
- 17 situation where cancer has been diagnosed. This
- 18 question does not address that at all.
- MR. KLEIN: Well, you're talking about
- 20 short interval mammographic follow-up for patients
- 21 with low suspicion findings. I look at that as an
- 22 indicator, and while there's later questions that
- 23 may deal with people that have been treated, this
- 24 is the case where there is clearly an individual
- 25 in the high risk, the reason for the short

```
00211
      interval treatment would either be because of
   1
     prior cancer or because some risk factor has been
   2
     determined. What are the other reasons for short
   3
      interval, three to six month mammographic reviews?
   4
                 DR. PAPATHEOFANIS: Dr. Flamm?
   5
   6
                 DR. FLAMM: I think there is a clinical
   7
      scenario where a woman who is coming in for a
   8
      screening mammogram has something a little
      questionable on one view, they don't see a
   9
      definite mass on the other view, and they are a
  10
      little unsure, they would like the woman to come
  11
  12
      back in three to six months for a repeat mammogram
      and maybe it will make itself a little clearer
  13
      over time. That's the type of clinical quandary
  14
  15
      that I think is also captured in this group.
  16
                 DR. PAPATHEOFANIS: I agree, picking up
  17
      disease and tracking a patient who you're not sure
      of, whether or not there is disease.
  18
  19
                 MR. KLEIN: That's the intent of the
  20
      question?
  21
                 DR. PAPATHEOFANIS: Yeah.
                                            Any other
  22
                Any interest in changing the language?
      comments?
                 DR. GUYTON: I don't know that enough
  23
     data has been presented, I mean, essentially no
  24
  25
      data has been presented on this issue.
00212
   1
                 MS. ANDERSON: Call for a motion.
   2
                 DR. PAPATHEOFANIS: It's called for a
   3
     motion.
                 MS. ANDERSON: Would someone like to
   4
   5
     move that we vote?
   6
                 DR. LERNER: Yes.
   7
                 DR. GUYTON:
                              Second.
   8
                 DR. PAPATHEOFANIS: Any discussion on
   9
      that motion?
                 DR. ABRAMS: I'd just like to add, this
  10
  11
      again, this is not uncommon, this happens a lot,
      there's millions of women getting mammograms, so
  12
  13
      you would think that this is an area that if you
  14
      have another test that might add to the adjunctive
```

procedures to replace mammography, this is the

- 16 place where you could do a many thousand, nay
- 17 hundred of thousand patient studies to see if PET
- 18 would really add, and I guess I'm repeating
- 19 Dr. Guyton's comment that that is what needed.
- 20 This would be a great improvement in the field if
- 21 you didn't have to tell people, go home and wait
- 22 six months, you might have cancer, you might not,
- 23 we can't tell you right now, so I think this is
- 24 really important to do such studies.
- DR. PHELPS: And who would pay for

- 1 that?
- MS. ANDERSON: This is what we are
- 3 voting on: Is there adequate evidence that PET
- 4 can improve health outcomes by leading to earlier
- 5 and more accurate diagnosis of breast cancer
- 6 compared to short interval mammographic follow-up
- 7 in patients with low suspicion findings on
- 8 mammography and other routine imaging procedures?
- 9 Those panelists who are voting for?
- 10 Those panelists voting against?
- We have a unanimous against.
- DR. PAPATHEOFANIS: Would anyone like
- 13 to provide any comments regarding their votes or
- 14 should we just move on?
- DR. GUYTON: I think the comment is
- 16 basically what Jeff said, this is a ripe area for
- 17 HCFA to decide what information they want and go
- 18 get it.
- DR. TUNIS: And I would just say in
- 20 response to Dr. Phelps's comment, which wasn't
- 21 particularly audible, about who would pay for such
- 22 research, that I think after we're done voting
- 23 with these questions, if this panel wanted to have
- 24 some conversation about how they think this sort
- 25 of research ought to be at least prioritized if

- 1 not funded, that certainly the panel could have a
- 2 conversation about that. I don't know if
- 3 Dr. Phelps meant it as a rhetorical question, but
- 4 he's asked the question of me before so I'm

```
5
    passing it along to you.
 6
               DR. MANYAK: Is that appropriate for
    this panel? I was led to believe that we were
 7
    generally not to discuss financial issues and
 8
    those kinds of things, at least that's what I
 9
10
    recall.
                           I think there is some
11
               DR. TUNIS:
    recommendations here about --
12
13
               DR. MANYAK: Because there are other
14
    issues along that line that are very serious in
    this particular issue with PET scanning, very
15
16
    serious, but that's not our charge or our purview
17
    today.
18
                          The purview is not to
               DR. TUNIS:
19
    consider the cost of the technology in making the
20
    coverage recommendations. The issue has come up,
21
    it has been raised by several panel members about
22
    you know, the need, the priority of this sort of
    research. So I think, you know, at some level,
23
24
    wrestling some with that as a policy issue, given
    that it's raised in the context of this as a
25
 1
    coverage issue can be discussed. I think that's
```

- 2 different from --
- 3 DR. MANYAK: That's a different question than what he mentioned. Who's going to 4 pay for it sounds to me like a cost consideration, 5 6 as opposed to saying it should be a priority, 7 that's a different issue.
- 8 DR. TUNIS: Exactly.
- DR. PAPATHEOFANIS: It's also in 9 keeping with I think one of the future roles of 10 11 the Executive Committee as the identity, or the 12 responsibility of the Executive Committee shifts to an even purer advisory capacity, one of the 13 14 issues that the Executive Committee will deal with 15 is prioritization of research needs. And to have 16 our panel for example, pass that along to th EC 17 would give further guidance to that committee and 18 move things along. Jeff.
- 19 DR. LERNER: For the purposes of today, I guess I'm sort of a strict constructionist, and 20

- 21 having read the document from the Executive
- 22 Committee, we're just -- you know, I think we are
- 23 voting properly according to that document, but I
- 24 am glad that you're opening side comments on
- 25 overall policy issues because there are lots of

- 1 them that come out. But for the moment, that
- 2 document does say that we're not supposed to --
- 3 studies that haven't been done -- I'm trying to
- 4 phrase it according to the actual language of that
- 5 document, but there may be studies that haven't
- 6 been done that may be difficult to do, or may be
- 7 costly to do, but that doesn't mean that you know,
- 8 we can't say, well, they ought to be done. But we
- 9 have to vote on the current evidence and that's
- 10 how I understand that document, so as a strict
- 11 constructionist, yeah, I would like so see those
- 12 studies done, but I think it's irrelevant at this
- 13 point.
- DR. PAPATHEOFANIS: Right, it's
- 15 irrelevant but it's important information. What
- 16 will happen as soon as we close today's panel
- 17 meeting is that I along with Dr. McNeil will put
- 18 together a summary of this meeting in very much a
- 19 decision analytic format, and try to convey to the
- 20 Executive Committee, and we're both on the
- 21 Executive Committee, why this panel behaved the
- 22 way that it did, and why it took the votes that it
- 23 dia. But along with that narrative, we can add
- 24 specific recommendations regarding policy and I
- 25 think they would be met with favor by the EC in

- 1 certain ways.
- DR. KRUBSACK: Did the panel address --
- 3 this also says, if the evidence is inadequate or
- 4 insufficient to draw conclusions, the panel will
- 5 explain the reasons for its determination and also
- 6 form a judgment about the possibility of
- 7 developing better evidence and the potential
- 8 benefits of obtaining better information, and it
- 9 goes on to say what are common obstacles to not

- 10 having adequate information, and that includes
- 11 technology is relatively new, costs of performing
- 12 study is high, funding has not been available. I
- 13 think all of these apply to the present situation,
- 14 so I think this panel is charged by its own bible
- 15 to form its own guidelines to address those
- 16 issues.
- DR. PAPATHEOFANIS: Yes, and I think as
- 18 we get into questions three, four and five, that
- 19 discussion becomes even more relevant, and we will
- 20 probably draft language that takes that into
- 21 account. Okay. Dr. Conti?
- DR. CONTI: Could I ask a question to
- 23 the question?
- DR. PAPATHEOFANIS: Sure
- DR. CONTI: You asked also about

- 1 restructuring the question itself, rephrasing the
- 2 wording, and I might propose you to consider this
- 3 for perhaps a future meeting, to take question
- 4 number two and look at it in terms of something
- 5 like this. Is there adequate evidence, et cetera,
- 6 compared, to use PET leading to an earlier and mor
- 7 accurate diagnosis of locally recurrent breast
- 8 cancer compared to short interval mammographic
- 9 follow-up in patients with equivocal findings on
- 10 mammography? That perhaps could be a specifically
- 11 addressed question from the literature and
- 12 something that would be more directed towards the
- 13 appropriate patient population I think we're going
- 14 to be talking about.
- DR. PAPATHEOFANIS: Thank you. All
- 16 right, question three. Is there adequate evidence
- 17 that PET improves health outcomes when used to
- 18 decide whether to perform axillary lymph node
- 19 dissection? If so, is a more detailed analysis of
- 20 sentinel node biopsy versus PET as alternatives to
- 21 axillary lymph node dissection necessary?
- It's kind of a two-part question.
- DR. GUYTON: Not necessarily.
- DR. PAPATHEOFANIS: Any discussion?
- DR. MCNEIL: Well, I think, Frank, that

00219 the analysis for three is very similar to the 1 2 analysis that Carole made for question number one, 3 so I would say ditto to what she said there. 4 DR. PAPATHEOFANIS: Okay. Any 5 additional comment before I ask for that language? 6 Okay. 7 MS. ANDERSON: Then we need a motion to 8 vote on question number three. 9 DR. LERNER: So move. 10 DR. PAPATHEOFANIS: Is there any 11 discussion before we vote? 12 DR. MANYAK: Second the motion. DR. PAPATHEOFANIS: We have a second, 13 and no discussion, so you can take the vote. 14 15 MS. ANDERSON: Okay. Those voting in 16 favor of question three as it stands worded? Those voting against question three? Okay. 17 have six votes, it's unanimous against question 18 19 three. 20 DR. PAPATHEOFANIS: Any comments about 21 your voting? Anything else you want to add to the 22 If not, let's go on to question four. Is there adequate evidence that PET improves health 23 outcomes as either an adjunct to or replacement 24 for --25 00220 1 DR. BURKEN: Excuse me, I believe we 2 need to go to the second part of question three? I'm sorry; that was only if yes to the first part. 3 4 DR. PAPATHEOFANIS: Is there adequate 5 evidence that PET improves health outcomes as either an adjunct to or replacement for standard 6 7 staging tests in detecting locoregional occurrence 8 or distant metastases or recurrence? Dr. Flamm? 9 10 DR. FLAMM: One comment that I think I want to make to help when we look at some of the 11 studies that are presented in this evidence, 12 patients were selected into the study by virtue of 13

having had equivocal findings or problem scenarios

- 15 based on conventional staging tests including CT,
- 16 MR in many cases, and PET was used in those
- 17 settings and those studies do report sensitivities
- 18 and specificities of PET and CT. But one caution
- 19 I think is important to note is that that's not a
- 20 prospective head to head comparison of CT versus
- 21 PET in all unselected patients.
- In this type of study population, we've
- 23 taken out the easy diagnoses for CT and so, it's
- 24 not logical to directly say that because the
- 25 sensitivity of PET may be higher than PET in this

- 1 type of a selected study setting that one is
- 2 interchangeable for the other and you can expect
- 3 this diagnostic performance to be the case in all
- 4 patients.
- DR. PAPATHEOFANIS: Yes, Dr. Abrams.
- DR. ABRAMS: This question is the one
- 7 that gives me personally the most difficulty,
- 8 because I think we can all relate to some of these
- 9 stories that we have heard about how PET has
- 10 helped in certain difficult clinical
- 11 circumstances, like brachial plexopathy versus
- 12 soft tissue invasion, like bone metastases versus
- 13 advanced degenerative disease, where certain of
- 14 our other tests don't work all that well and we
- 15 know that by long experience, and having another
- 16 adjunctive test can be useful, although I can also
- 17 see here how you know, as Dr. Wahl pointed out, we
- 18 might have to wait a very very long time to have a
- 19 series of a hundred patients that were properly,
- 20 you know, that had a prospective study done.
- 21 So I think in some circumstances, you
- 22 are forced to look at smaller pieces of evidence,
- 23 10, 15-patient studies that if they are fairly, if
- 24 the evidence is fairly distinct and coming from
- 25 experienced clinicians and radiologists, is pretty

- 1 believable, and I myself am struggling with
- 2 having, with getting much better evidence. And
- 3 maybe others have some thoughts about that, but I

think those, that's what makes this a difficult 4 question. It sounds like there is some evidence 5 that it has helped people in difficult clinical 6 7 circumstances.

In the evidence that we DR. GUYTON: can consider, there is expert testimony, and consideration of -- there is another term that they used here -- other relevant information including guidelines from professional societies and other expert bodies, et cetera, so that also is evidence.

15 DR. PAPATHEOFANIS: That's right. 16 DR. GUYTON: And we are the jury.

DR. PAPATHEOFANIS: Right.

17 18 MR. KLEIN: I just have a question and The question is, in other areas where 19 a comment. 20 PET is indicated, as it is for lung cancer 21 detection, where one could argue similar systemic concerns about the spread of disease beyond the 22 23 local area, where they might be nodal involvement, 24 Sean, do you know what the coverage is on that? The reason I raise that is because I 25

00223

8

9

10

11

12

13

14

think you can argue that if it's indicated for 1 cancers in other areas for this specific reason, 2 for use of detection of lesions systemically or 3 recurrences in other areas, then you could make 4 the argument that it could apply here as well, and 5 6 I'm just wondering what the coverage is, if there 7 is coverage for this particular indication in other areas. 8

9 DR. TUNIS: For the cancers that are 10 currently covered as of the December decision 11 memo, we decided there that if there was a clearly 12 proven single indication within a cancer, that 13 other uses within the same cancer would be covered, subject to a set of restrictions. 14 15 would be that there wasn't evidence that showed in 16 fact that they were not useful for a particular clinical use, and the other provision was that 17 18 conventional imaging can't have already answered the question that you would presumably be asking 19

- 20 with the PET scan.
- 21 So in other words, for the lung cancer
- 22 example, this would be covered for lung cancer, as
- 23 long as there was documentation by the ordering
- 24 physician that the treatment decision would be
- 25 changed based on the result potentially, based on

- 1 the results of the scan. Does that answer your
- 2 question?
- 3 MR. KLEIN: Yes. Let me provide some
- 4 useful background. I guess the problem I have may
- 5 be similar to yours, Jeff, in that we could wait a
- 6 long time to get data on this one, but it seems
- 7 both intuitively and beyond intuitively proven
- 8 with some concrete degree of comfort that if there
- 9 has been a recurrence, that the regional or
- 10 systemic involvement is not adequately answered by
- 11 using imaging technologies, particularly as we
- 12 have begun to start grasping how we're looking at
- 13 this, which is in a more biological way. And the
- 14 anatomical sort of spatial relationship between
- 15 the tissue model that we have used is not really
- 16 adequate in looking at the staging of disease, and
- 17 I found some of the images pretty compelling, and
- 18 I see other images as well that are even more
- 19 compelling.
- I've also seen the statistics, in fact
- 21 this is a well established statistic, that when
- 22 you find a cancer, if you go back the prior year,
- 23 and two-thirds, 66 percent of the time, you will
- 24 find that cancer one year earlier, and 50 percent
- 25 of the time you will find it two years earlier.

- 1 So it clearly means that our ability to detect
- 2 cancers is not only lacking, but the ability to
- 3 find the cancers in all the areas that they might
- 4 be as they spread, as you get nodal involvement or
- 5 further metastatic spread, is currently very
- 6 limited.
- 7 So, in this one, whatever the vote is,
- 8 I would hope that if the vote is to the no, which

- 9 would mean that there may not be adequate
- 10 evidence, that I think we could at least establish
- 11 for the record that there is some indication of
- 12 such, of evidence, and perhaps there needs to be
- 13 some further documentation to the point. But I'm
- 14 not comfortable dismissing this point outright,
- 15 because it's very clear that there is a propensity
- 16 of evidence in the clinical setting, and while we
- 17 wait to get the data, there are going to be a lot
- 18 of people that will be misdiagnosed and will be
- 19 lost.
- 20 And I think Kim Pierce made the point
- 21 as a survivor and she is one of thousands of those
- 22 who might benefit. So what I would be arguing for
- 23 here is that there be some motion along the lines,
- 24 if the argument is no, that there be some
- 25 statement, there is indication requiring some

- 1 further documentation to move to the category of
- 2 adequate evidence.
- 3 DR. PAPATHEOFANIS: Dr. McNeil?
- DR. MCNEIL: Like I guess Jeff, I'm the
- 5 most conflicted about this particular indication.
- 6 And as I'm thinking about it, I'm trying to think
- 7 about it in terms of the data and the clinical
- 8 consequences, and the feasibility of getting
- 9 additional data as well as the problems with not
- 10 getting additional data. I think we have to
- 11 consider all four of those.
- 12 And as I listened to Rich Wahl, I was
- 13 struck by one fact, which was that brachial plexus
- 14 was an unusual situation, it occurred
- 15 infrequently, 15 times in 8 years, but when it did
- 16 occur, this was quite a dramatic way to diagnosis
- 17 it, and there might not be other technology as
- 18 good for that particular site of suspected
- 19 recurrence. So that's, I think I could understand
- 20 approving an indication that said suspected
- 21 brachial recurrence, and maybe with a slightly
- 22 broader mantel to that.
- 23 So then I get to the rest of the body,
- 24 and I get in trouble and my logic, it's hard for

25 me to be clear about what's really going on here

00227

- 1 because I read the document, and the studies have
- 2 the problem that Carole mentions in that the easy
- 3 patients have been taken out of the pool, so that
- 4 we're looking at only the tough ones, and even
- 5 when we look at only the tough ones, there are
- 6 some false positive rates here in several of the
- 7 areas.
- 8 So I say okay, now what do we do? Then
- 9 I say, maybe we take what Michael just said and we
- 10 say we should do what was done for lung cancer and
- 11 if all other efforts have failed, you go to this
- 12 one. As I thought about that one, and I actually
- 13 hadn't thought about it until you raised the
- 14 issue, Michael, that one bothers me actually. And
- 15 the reason it bothers me is that if we were to say
- 16 downstream, this is really going to be a very
- 17 powerful one-stop shopping for distant metastases
- 18 in this disease, we have lost the opportunity to
- 19 ever find that out by the approach that has just
- 20 been suggested, because we will never get the
- 21 data. We will always have the patients presorted
- 22 by other modalities and then we will be left with
- 23 the ones that were a problem.
- DR. GUYTON: I don't see why a decision
- 25 to allow that precludes us getting that data.

- DR. MCNEIL: Well, I'm just guessing
- 2 that the radiology community is not going to rush
- 3 to do that particular study. Now I could be
- 4 wrong, but they --
- DR. GUYTON: I don't see it.
- DR. MCNEIL: Well, perhaps, but anyhow,
- 7 if that were the case.
- 8 DR. GUYTON: I think you would find
- 9 people would love to find, replace all the
- 10 multiple scans with a single scan, given the same
- 11 or better data.
- DR. MCNEIL: Perhaps. I mean, I'm sure
- 13 they would. The question is would they -- I don't

- 14 want to disagree with you, I'm just raising that
- 15 as an issue. So what I come down on is that on
- 16 the basis of just the anecdotal data that Rich
- 17 Wahl presented, the locoregional, if that means
- 18 brachial plexus, sounded pretty convincing to me.
- 19 The other area does not sound convincing to me,
- 20 and it looks to me as if it's begging for
- 21 additional data. Now maybe I'm misinterpreting
- 22 something in this document, but given the way the
- 23 patients were selected, I'm not sure that I am, so
- 24 I would just like a little help on my thinking
- 25 here.

- 1 DR. GUYTON: It sounds tome like the
- 2 way the patients were selected was basically the
- 3 way we're treating lung cancer coverage at this
- 4 point in time. Is that approximately correct? If
- 5 the findings are equivocal on the CT scans or
- 6 whatever needs to be done, that's indeterminate
- 7 findings in evaluation, that's how the patients
- 8 were selected for the studies that have been
- 9 presented.
- DR. ABRAMS: If I understood what you
- 11 said correctly, maybe it bears repeating one more
- 12 time what they're doing in lung.
- DR. TUNIS: The way the coverage policy
- 14 is written is that if there could potentially be,
- 15 HCFA -- right, if this was residual clinical
- 16 uncertainty about appropriate management after
- 17 conventional imaging, in other words, if the PET
- 18 study may inform a change in the clinical
- 19 management, that the PET scan would be covered.
- 20 And the requirement is that the reason that it's
- 21 being ordered is documented in the chart.
- 22 So whether that maps exactly to the
- 23 scenario that you were describing that most of
- 24 these studies are done in, is close. I'm not sure
- 25 it's exactly the same, but it's close.

- DR. ABRAMS: But is that from
- 2 metastatic evaluation in general in lung cancer,

```
3
      or are we talking about pulmonary nodules and
      things like that?
   4
   5
                 DR. TUNIS: It's not specific to
   6
      metastatic evaluation.
   7
                 DR. ABRAMS: It's not specific to
   8
      nodules, it could be any metastatic evaluation?
   9
                 DR. TUNIS: Right, exactly.
                 DR. PAPATHEOFANIS: Dr. Wahl.
  10
  11
                 DR. WAHL: Since my name was mentioned,
  12
      I thought I should just comment, and my intention
      wasn't to suggest that PET only had a role in
  13
  14
      imaging brachial plexopathy. Our experience is
  15
      that is was uniquely superior to other methods in
  16
      that particular setting and I couldn't convince
  17
      our referring physicians to order any other tests.
  18
                 But I would respectfully disagree with
  19
      Dr. Flamm in how some of the studies were done in
  20
      evaluating the comparative accuracy of PET.
  21
      bone scanning as an example, I think the study
  22
      from Gary Cook as one, and having just reviewed
  23
      this for the Seminars, was done as a prospective
      comparison, as I read it, between PET and bone
  24
  25
      scan for bone metastasis. And these were read
00231
      independently, thus the bone scan wasn't used as a
   1
   2
      selector for the PET scan, and PET showed more
      lesions and had fewer false positives.
   3
   4
                 This was also true of the performance
      of PET in evaluating the skeleton in lung cancer,
   5
      where it's now covered. So, you could easily
   6
   7
      argue as that point suggested, could PET replace
   8
      the bone scan, and the answer would be yes. And I
   9
      think several studies showed that where they were
     directly compared, and the entrance criteria were
  10
     not an abnormal, or was not to be an abnormal
  11
  12
      conventional diagnostic imaging study, if I
      remember that correctly, and I think I do.
  13
  14
                 DR. MCNEIL: That's what it says here,
  15
            Do you think that's wrong? That's what
  16
      written in the table.
  17
                 DR. WAHL: That is was -- well, the
  18
     patients, as my understanding --
```

```
DR. MCNEIL: It says history of breast
```

- 20 cancer, evidence of bone mets on bone scan in
- 21 greater or equal to one other test.
- DR. WAHL: My understanding, and I
- 23 don't have the two papers with me, was that that
- 24 paper and the study from Germany were done to
- 25 directly compare patients with PET and bone scan,

- 1 and some of the patients having normal studies.
- DR. FLAMM: When you mentioned the
- 3 Germany study, you've heard of the Bender study?
- 4 DR. WAHL: Yes.
- 5 DR. FLAMM: The Bender study
- 6 specifically states that patients were selected on
- 7 the basis of having equivocal or uncertain
- 8 findings on the basis of conventional imaging, so
- 9 I think that that is definitely a subselected
- 10 group. I would link it specifically to the Cook
- 11 study at that time.
- DR. WAHL: I would have to review it to
- 13 be absolutely certain, but Cook just wrote a
- 14 chapter for a textbook I'm doing on PET and I did
- 15 read -- well anyway, I believe that's how it was
- 16 reported. I think the point is that PET appears
- 17 to be able, even in difficult cases, appears to be
- 18 able to find more abnormalities and be more
- 19 certain about what they are than the conventional
- 20 tests. I guess that would be the point.
- DR. PAPATHEOFANIS: It's my intent to
- 22 bring Dr. Larson back to the podium, but he seems
- 23 to have volunteered.
- DR. LARSON: I think that, I just
- 25 wanted a point of clarification in the data that I

- 1 presented. The problem of the 133 patients in
- 2 that table that I gave you, Barbara, was with the
- 3 clarification you'll see in the handwritten data,
- 4 and again, I apologize for this, but you'll notice
- 5 that actually based this categorization, which is
- 6 six month follow-up as the gold standard with the
- 7 available tests including biopsy and progression

8 on conventional testing, there really is quite a 9 small rate of false positive. The problem is the 10 false negative.

11 Actually, the bottom line where there 12 was a positive PET with conservative management 13 that was stable is the category of false positive, and that's only 7 out of 133 patients. The false 14 negative group is significantly greater than that, 15 16 and that's what accounts for the balance of the remainder of the inaccuracies. Remember, the 17 accuracy here was 78 percent, so most of those 18 19 were false negatives, so I just want to clarify 20 that point for the thinking.

And again, this was a population that 22 was selected because they were imaged because 23 physicians referred these patients because the 24 management was in question after conventional 25 techniques were done. And this is actually a very

- 1 important category and a very difficult patient
- 2 group to manage, and I would submit that getting a
- 3 significant fraction of an accurate management
- 4 resolution, which my calculations suggest is about
- 5 78 percent, if that were followed, is very
- 6 helpful.
- But again, this is a very very selected subset, this is a group where the conventional techniques are equivocal.
- DR. MCNEIL: So Steve, the false
 negative rate on this would be 7 -- I mean the
 false positive rate would be 7 over 7 plus 28, so
 it would be false positives over false positives
 plus true negatives right, so it would be about 20
 percent? Do I have that right?
- DR. LARSON: That's correct, but what I was thinking is the contribution to the inaccuracy in the whole population is quite small, but on the other hand, the false negative, the contribution, the thing that degrades the accuracy down to about
- 21 78 percent is primarily the false negatives.
- DR. PAPATHEOFANIS: Thank you. I see
- 23 that this Bender study obviously is one of the

- 24 pivots to this argument, and I wanted to ask
- 25 Dr. Conti if he didn't mind coming up and giving

- 1 the alternative interpretation to the data. There
- 2 seems to be some issue with the data. Peter, are
- 3 you still here? I would be curious to hear your
- 4 interpretation of this study and why you think its
- 5 placement and the way it was represented in the
- 6 assessment might be less than right on.
- 7 And David Samson, is he here? You
- 8 might want to power your laptop up again and let's
- 9 take a look at the data for part three, which is I
- 10 think where we're at, so we're all clear as to
- 11 what we're talking about here and why we are
- 12 forming these conclusions.
- DR. TUNIS: While we're waiting for
- 14 that, someone was nice enough to hand me the
- 15 actual language from the coverage decision
- 16 regarding how it's worded, so I can read it for
- 17 folks if they are still --
- DR. GUYTON: Please.
- DR. TUNIS: So, for staging and/or
- 20 restaging for the covered malignancies, PET is
- 21 covered in clinical situations in which the stage
- 22 of the cancer remains in doubt after completion of
- 23 the standard diagnostic workup including
- 24 conventional imaging, or the use of the PET could
- 25 potentially replace one or more conventional

- 1 imaging studies. And in addition to that
- 2 criteria, the clinical management of the patient
- 3 would have to differ depending on the stage of the
- 4 cancer identified. In other words, the test would
- 5 have to have made a difference. So the stage has
- 6 to remain in doubt after conventional imaging or
- 7 it's felt that the PET could replace conventional
- 8 imaging, at least one or more studies, and the
- 9 treatment would change as a result of the
- 10 findings. So that's the way the current coverage
- 11 decision is structured, so if you want to model
- 12 this one on that one is open to discussion.

```
13
                 DR. GUYTON: The other issue about the
      question is it states, is there adequate evidence
  14
  15
      that PET improves health outcomes as either an
  16
      adjunct to or replacement for standard staging
      tests in detecting locoregional recurrence or
  17
  18
      distant metastasis or recurrence. So if Barbara
      feels that it's a good test for locoregional
  19
     disease in the shoulder, she has to say yes, and
  20
  21
      then we put conditions on it.
  22
                 DR. TUNIS:
                             She has to say yes or she
  23
      has to amend the question.
                 DR. GUYTON: Right, but the way it's
  24
  25
      stated, she would need to say yes.
00237
   1
                 DR. TUNIS: Right.
   2
                 DR. PAPATHEOFANIS: Dr. Conti.
   3
                 DR. CONTI: Just again to remind you of
   4
      the ACR and SNM's position on this, representing
     nearly 50,000 practicing radiologists and nuclear
   5
     medicine physicians, we would vote yes to this
   6
      particular indication. Now, also, in terms of the
   7
      issues on the Bender article, the things that I
   8
      was concerned about, and perhaps I misheard them
   9
      but I just wanted to make sure. Number one is
  10
      that this article also evaluated patients under
  11
      routine clinical conditions, so it's not the type
  12
      of study perhaps that one might decide on
  13
```

14 perform

15

16

17

18

performing in a prospective fashion, but it does reflect a clinical practice scenario which, being

a country physician myself, I like to do that.

It talks about patients being followed

19 evaluated and followed up for at least six months, 20 so clinical follow-up is a component of the 21 verification process in this particular paper,

verification process in this particular paper, which I think was not mentioned in the analysis.

23 In particular, if you look on page 1689 of the

24 article, only patients were included where results

up with -- excuse me -- who have been completely

25 had been verified by histology, except for a few

00238

1 cases, four, where extensive disease was verified

```
2 by clinical course. So in fact, there was
```

- 3 reasonable criteria established and used to
- 4 establish whether or not there was a disease in
- 5 the location of interest.
- 6 The other point I wanted to make was
- 7 that these patients all were part of a routine
- 8 workup for staging, usually consisting of a
- 9 physical examination, axillary lymph node
- 10 ultrasonography, thoracic abdominal CT and/or MRI,
- 11 bone synthegraphy, and serum tumor markers, so all
- 12 the patients had a regimen of routine tests in
- 13 addition to the PET scan. So they weren't
- 14 screened out on the basis of a particular finding
- 15 on a routine test, they were all studied with the
- 16 technologies.
- 17 The PET scans were later independently
- 18 compared to the standard imaging, so they were
- 19 rereviewed and compared independently to the
- 20 original performance of the study. Those are my
- 21 comments.
- DR. TUNIS: Dr. Conti, I just want to
- 23 ask one question. Does your society develop any
- 24 sort of professional, do you have a formal process
- 25 for doing clinical guideline development for the

- 1 nuclear medicine community?
- DR. CONTI: Yes, the Society of Nuclear
- 3 Medicine does.
- 4 DR. TUNIS: And is this a topic that --
- DR. CONTI: Yes. I was corrected, ACR
- 6 does also.
- 7 DR. TUNIS: And has any been issued on
- 8 this topic, use of PET for breast cancer?
- 9 DR. CONTIN: For use of PET, yes, not
- 10 for use with breast cancer, in other words, use of
- 11 PET across the board.
- DR. TUNIS: Okay.
- DR. MCNEIL: Could I ask one more
- 14 question while you're there? I want to make sure
- 15 I understand this article correctly. Do you have
- 16 any idea why only 63 of the patients ended up
- 17 having CT and 75 ended up having PET?

```
18
                 DR. CONTI: There was some MR done
  19
      instead of CT.
  20
                 DR. MCNEIL: No.
                 DR. CONTI: It says CT and/or MR.
  21
  22
                 DR. MCNEIL: Yeah, but if you add them
      up, it comes out to 63, unless this table, unless
  23
      table 12 is wrong, there is a dropout of 12
  24
      patients between taking CT and/or MR and PET.
  25
00240
                 DR. CONTI: They do say that this was
   1
   2
      an optional examination in their methods section,
      so I can't explain why the authors chose to do
   3
   4
      that.
   5
                 DR. MCNEIL: Right. Just one more
     point to make sure I have this right. You
   6
  7
      disagree with this notation that the PET was not
   8
      read blind, is that what you just said?
   9
                 DR. CONTI: Well, I'm just reading what
  10
      the article said.
                 DR. MCNEIL: That's why I'm asking you,
  11
     you're the only one with it in his hands.
  12
  13
                 DR. CONTI:
                             It says quote-unquote, PET
     results were later independently compared to
  14
      standard imaging modalities, x-ray, CT/MR,
  15
     ultrasound, mammography, film synthegraphy,
  16
  17
      quote-unquote.
  18
                 MR. SAMSON: And I would like to
  19
      clarify my point of view on this. Later on in
      that same paragraph on page 1689, it says, only
  20
  21
      patients were included, and this is I think a
      translational error, where results had been
  22
     verified by histology except for a few cases.
  23
                                                     So
  24
      that I read as meaning they had histologic
  25
      confirmation as the reference standard for 71 out
00241
   1
      of 75 patients, and they used follow-up in four
   2
      cases, and that's, that was the fundamental
      criticism I had with the Bender paper. It didn't
   3
   4
      seem to make sense to me that they could do
     histology in 71 patients for a number of different
   5
```

sites, they did bone, lymph nodes, local sites,

- 7 liver, it doesn't seem logical that they would be
- 8 sampling lots of negative sites in all 75
- 9 patients, and there just isn't enough detail to
- 10 really know what the reference standard was for
- 11 all sites for all patients, and I think that's the
- 12 kind of detail we should demand of studies like
- 13 this.
- 14 And then also, it says PET results were
- 15 independently compared to standard imaging
- 16 modalities and names them, but that's not the same
- 17 thing as saying PETs were read blindly to the
- 18 reference standard because what is the reference
- 19 standard, it's not really clear.
- DR. CONTI: Again, all the patients
- 21 have been verified, either histopathologically or
- 22 by clinical follow-up, so we know that they have
- 23 disease or not disease. So the issue is, we're
- 24 using standard radiological procedures which we
- 25 rely on every day in clinical practice to

- 1 determine the presence or absence of this disease,
- 2 and you're telling me perhaps that that's not a
- 3 reliable source to compare the PET imaging data
- 4 to, and I --
- 5 MR. SAMSON: That's not what I'm
- 6 saying. What I'm saying is it's not clear from
- 7 this article whether the reference standard for
- 8 the sites that they were assessing, the
- 9 recurrences of different anatomic locations,
- 10 whether the reference standard was histologic of
- 11 whether it was clinical follow-up, this paper is
- 12 not clear on that.
- DR. CONTI: Again, I'm sorry to be
- 14 argumentative but the fact is, it says four cases
- 15 were not histologically confirmed, they used
- 16 clinical follow-up on the patients that were
- 17 evaluated, so I'm not sure I understand what
- 18 you're talking about here. And let's also keep in
- 19 mind that with metastatic disease, we are not
- 20 going to be able to biopsy every particular site,
- 21 as we talked about earlier.
- MR. SAMSON: No. And I think it's

- 23 perfectly legitimate to use follow-up as a
- 24 reference standard, and I made that point in the
- 25 presentation this morning. What I'm saying in

- 1 this particular article, we don't know what the
- 2 reference standard was. It's not clear.
- 3 DR. PAPATHEOFANIS: Any additional data
- 4 on this part three of your assessment that you
- 5 want to comment on?
- 6 MR. SAMSON: The only other thing I
- 7 would mention is that if you want to take a
- 8 separate look at the issue of locoregional
- 9 recurrence and especially at the brachial plexus,
- 10 we have one published study by Hathaway that
- 11 looked at issue in 10 patients. I think lots of
- 12 other comments have been made about how PET may be
- 13 particularly useful for this particular
- 14 indication, but I think this is a pretty small
- 15 evidence base to make that kind of conclusion.
- DR. PAPATHEOFANIS: Great, that's very
- 17 helpful. Dr. Flamm?
- DR. FLAMM: As long as we're
- 19 pinpointing details of language in the paper, let
- 20 me just clarify two things. One may help Barbara
- 21 in your initial question about the number of CT
- 22 patients that are good PET patients. It says all
- 23 patients were part of a routine workup for staging
- 24 usually consisting of physical exam, axillary
- 25 lymph node, CT, da, da, da, so they may have had

- 1 some but not necessarily all of those things.
- 2 And the second point is the second to
- 3 last sentence in that same paragraph after what
- 4 Dr. Conti read, it says patients were referred in
- 5 order to confirm or dismiss a suspicion of tumor
- 6 recurrence or systemic disease, or distant
- 7 metastasis in undecided/equivocal cases, so that's
- 8 where I was getting that from.
- 9 MR. KLEIN: Just a question. Sean, I
- 10 know you had a summary of the data. You wouldn't
- 11 happen to know what the burden of proof was in

- 12 presenting, in getting that indication in terms of
- 13 what led up to those conclusions, or those
- 14 indications?
- DR. TUNIS: For the lung descriptors,
- 16 you mean which was the indication that was
- 17 considered the prove indication?
- 18 MR. KLEIN: Yeah, the one you read that
- 19 was indicated for, I'm just wondering what the
- 20 burden of clinical efficacy date, the data for
- 21 efficacy was to produce that result.
- DR. TUNIS: Mitch, do you want to talk
- 23 about that at all, in terms of the December 15th
- 24 memo? I guess on lung cancer is what you are
- 25 exploring. See, in lung cancer we had a covered

- 1 indication for the pulmonary nodules, if I recall;
- 2 is that right?
- 3 SPEAKER: And initial staging.
- DR. BURKEN: That's correct, for the
- 5 evaluation of solitary pulmonary nodules and also
- 6 for staging nonsmall cell lung carcinoma. But as
- 7 I said, you know, many of you are familiar with, I
- 8 think just about everybody in the room is familiar
- 9 with the December 15th decision memorandum where
- 10 we extended coverage to many other indications by
- 11 tumor type as long as there wasn't a particular
- 12 contraindication.
- DR. TUNIS: But I guess they're asking
- 14 what sort of studies did we have for the staging,
- 15 restaging in lung cancer, for nonsmall cell, how
- 16 do those compare to these sort of studies we're
- 17 looking at here, like are these studies worse, or
- 18 better or about the same?
- DR. BURKEN: Unfortunately my memory
- 20 fails me, but there was a fairly good British
- 21 study that really helped us to get into the
- 22 particular area for lung cancer. And let me see,
- 23 I'm not sure it's going to be in my folder her, in
- 24 fact I'm positive it's not going to be in my
- 25 folder, but there was a particular article that we

```
1 used as evidence for lung, for extending that.
```

- DR. MCNEIL: Was it better than these
- 3 data?
- DR. BURKEN: I remember the study, I
- 5 don't have that particular study in front of me.
- 6 I didn't think we would be getting into that
- 7 particular issue and I didn't bring all my PET
- 8 material with me, but I have several notebooks
- 9 worth of PET articles back at the office, but I
- 10 remember being, you know, I'm not being very
- 11 scientific here, but I remember it being a fairly
- 12 good study, certainly strong enough to go to bat
- 13 with.
- DR. PAPATHEOFANIS: Dr. Conti.
- DR. CONTI: Just to fill in the gap
- 16 perhaps with the CT issue that we talked about in
- 17 the Bender study. If you look at the Huebner
- 18 study, he also looked at CT versus PET and in my
- 19 document from ACR and SNM, I did quote those
- 20 numbers and again for the record, the sensitivity
- 21 in the 57 patients that had PET scan, the
- 22 sensitivity was 85 and specificity 73 percent,
- 23 compared to CT that was only done in 44 of those
- 24 patients, the numbers were 71 and 54 percent. So
- 25 at least you have additional data to show that PET

- 1 is superior to CT with regard to detecting
- 2 metastatic disease.
- DR. PAPATHEOFANIS: Thank you. Any
- 4 other comments. I think we have almost gone all
- 5 the way back to -- sure, Dr. Phelps.
- DR. PHELPS: You know, I think when we
- 7 look at the TEC assessment criteria, even on Sam's
- 8 papers we read the methods of the Blue TEC
- 9 assessment, and we understand why that criteria
- 10 was used. But on the other hand, when you go just
- 11 to those strict and rigid criteria, you're setting
- 12 a weight to all other information of zero, whether
- 13 we recognize it or not. When we start looking at
- 14 other evidence, you start shifting back to that.
- 15 So all of the thing, you say the value that it
- 16 provides is zero, and we know that that's not

- 17 true. And so, you know, maybe it shouldn't be
- 18 equal to the peer studies, but it does have value,
- 19 so it should have some weighted value in the
- 20 decision that you make.
- You know, also in the real world, where
- 22 patients are being taken care of and you're doing
- 23 research, you know, things are not so easy to
- 24 build large populations in these criteria, so
- 25 that's the real world we live in, and its weight

- 1 shouldn't be zero.
- DR. TUNIS: The only way I disagree
- 3 with that, Mike, is for purposes of a TEC
- 4 assessment what we're trying to do there is
- 5 formally summarize the kind of better half of the
- 6 rigorous scientific literature so at least we know
- 7 what the rigorous side of the world has to say
- 8 about this stuff. The reason we don't set the
- 9 other stuff to zero is that we have meetings like
- 10 this where, you know, Dr. Wahl can talk about his
- 11 experience in Vancouver and Dr. Conti can talk
- 12 about additional studies, and so that information
- 13 is making its way into the considerations of this
- 14 committee through all kinds of avenues other than
- 15 being summarized in the TEC assessment.
- So I think that for purposes of the TEC
- 17 assessment, we're trying to summarize the more
- 18 reliable body of scientific literature, and the
- 19 rest of this meeting is about bringing all that
- 20 other information forward, maybe not in as
- 21 systematic a fashion, but it's not systematic
- 22 information. So I don't think it's true that it's
- 23 set to zero, I think it's just coming through in a
- 24 different form.
- DR. PAPATHEOFANIS: Dr. Conti.

- 1 DR. CONTI: One last very quick
- 2 comment. Just keep in mind that we recommended
- 3 again, that this be at physician discretion. We
- 4 would implore you to consider physician discretion
- 5 in determining whether or not a patient needs

- 6 additional studies to make a diagnosis. Your
- 7 question also poses as an adjunct, which I also
- 8 think you should seriously consider the use of
- 9 that particular word in your decision.
- DR. PAPATHEOFANIS: Thank you. I was
- 11 going to say, we have almost gone all the way back
- 12 to Jeff Lerner's question about quality assurance
- 13 and so forth, and this little side bar illustrates
- 14 the process that we went through in choosing one
- 15 of the data points if you will, of our
- 16 consideration, and I'm pretty convinced that in
- 17 the document that David and Carole prepared, due
- 18 diligence was done, and I think it's a fair
- 19 representation of the information that was there
- 20 and I haven't heard otherwise, so again, for what
- 21 that's worth, I commend them.
- We've got number four on the table
- 23 still, and we've got the data in the TEC
- 24 assessment, we've got the data from public
- 25 commentary, we've got individuals here with their

- 1 own personal experience. Anyone else want to add
- 2 to this discussion?
- DR. GAMBHIR: (Inaudible) data, if you
- 4 go and add the abstracts in this category, that
- 5 doubles the N, okay? So it's like saying instead
- 6 of Bender is just 75 patients, there are an
- 7 additional 75 there, the Huebner article doubles,
- 8 and then there was now management percentages that
- 9 have been noted, and those management percentages
- 10 in the abstract show that after conventional
- 11 imaging, by adding the PET, 30 to 40 percent of
- 12 patients change management due to the PET. So I
- 13 think that data has to be weighed, and that
- 14 changes managements occurring because you have now
- 15 been able to understand whether it's locoregional
- 16 recurrence, axillary recurrence, and/or distant
- 17 recurrence, and all those data then, even though
- 18 they're not yet in publication form, need to be
- 19 weighed into the vote you're about to make.
- DR. BURKEN: I would, you know, note
- 21 some caution with respect to abstracts, although

- 22 you know, certainly much valuable information is
- 23 in abstracts, there hasn't been a chance to really
- 24 review the methodology and look and go through it
- 25 carefully to see whether there are certain types

- 1 of biases in those studies. So I think certainly
- 2 there can be a lot of good information available
- 3 in abstracts but I think there has to be some
- 4 caution as well.
- DR. PAPATHEOFANIS: Barbara.
- DR. MCNEIL: Frank, I am confused
- 7 beyond belief about what to do in this one, and I
- 8 guess I'll just throw out some thoughts, and they
- 9 may not be right, but I'll just throw them out.
- 10 The first one is, in rereading this table,
- 11 Hathaway stands out and Rich Wahl's comments about
- 12 brachial plexus stands out, so I kind of have a
- 13 feel for that.
- I also think that from a policy
- 15 perspective consistency is good, so that if in
- 16 fact these data on looking for metastatic disease
- 17 were actually equivalent in quality to the same
- 18 data that led to the decisions in December, that
- 19 would influence my thinking a lot because I think
- 20 when you're making policy, you want to have some
- 21 sort of consistent framework for making those
- 22 recommendations.
- If these data are not the same or of
- 24 lower quality than the data that went into the
- 25 December 15 judgment, and if we look at these data

- 1 as they stand, then I'm really troubled, I don't
- 2 think they hold up. I just looked at the Huebner
- 3 article and it's a retrospective study with all
- 4 kinds of people dropping out.
- 5 So I don't really know what to do. I
- 6 quess what I'm doing is asking for some kind of
- 7 potential advice about how to split up this
- 8 question in a friendly way before we go down a
- 9 vote that may not be helpful.
- DR. MANYAK: You know, maybe this is a

- 11 role for changing the wording of the question,
- 12 because I have exactly the same conflict. I'm
- 13 really torn with this, because there is clearly
- 14 anecdotal evidence that suggests that PET is
- 15 valued in a subset of patients, but it clearly
- 16 does not meet the criteria of strict review. So I
- 17 mean, which way do you want? And frankly, I'm
- 18 uncomfortable just saying no to this outright, yet
- 19 I think it's very important to adhere to the
- 20 criteria that have been set up which are good
- 21 criteria.
- 22 So I think maybe either we vote on this
- 23 issue and then add a significant comment after, or
- 24 we change the wording of the question. I think we
- 25 have to do one or the other.

- DR. PAPATHEOFANIS: I would actually
- 2 favor more of the latter, that we actually change
- 3 the wording. Dr. Abrams?
- DR. ABRAMS: You know, I thought what
- 5 Dr. Gambhir said earlier about should you ignore
- 6 the evidence from other diseases, I did feel like
- 7 we should ignore it in the screening question,
- 8 because I think you are dealing with different
- 9 issues when talking about primary tumor. But I
- 10 would take his point here that we shouldn't
- 11 totally ignore what has been found in other
- 12 metastatic diseases in terms of, you know, its
- 13 ability to help with differential diagnosis.
- 14 And so, I don't view the lung data or
- 15 the other indications as necessarily, you know,
- 16 this data has to be as good as that data. In a
- 17 way I view it that data sort of helps me here,
- 18 because you know, we are dealing with somewhat
- 19 similar issues, and I think as best we understand
- 20 the biology of these metastases, there are some
- 21 similarities. They may not be identical, but at
- 22 least the principle that this test is operating
- 23 under, it seems to make sense that that's the
- 24 understanding.
- 25 So for me, that was why I was thinking

- 1 that the wording that we just heard on that lung
- 2 policy, posing it as an adjunctive as opposed to a
- 3 replacement sort of makes pretty good sense.
- 4 Replacement, I would have want to have better
- 5 evidence; adjunctive, I think that's sort of where
- 6 they went with the lung data, and this data speaks
- 7 to that point too.
- B DR. PAPATHEOFANIS: Good. I want to
- 9 call just one more person to the podium to get a
- 10 little more insight, and that's Ed Coleman if he's
- 11 still here. Dr. Coleman, share your thoughts on
- 12 that proposed language change.
- DR. COLEMAN: I'm Ed Coleman from Duke
- 14 University, am a professor of radiology. I have
- 15 received honoraria from GE, from Radiology
- 16 Corporation of America, from other mobile PET
- 17 vendors to give lectures. I have been doing PET
- 18 scanning for many years now, starting back when I
- 19 was a resident at (inaudible) Institute of
- 20 Radiology. I have had one of the most active
- 21 clinical PET centers at Duke. Over the last
- 22 couple of years we have started doing more and
- 23 more patients with breast cancer, and it's
- 24 primarily in this indication that we're talking
- 25 about here. And it's generally as an adjunct to

- 1 the other imaging studies after they have been
- 2 completed and they have indecisive conclusions
- 3 based on the other imaging modalities.
- 4 So I think that putting it as an
- 5 adjunct would be appropriate. I think that as e
- 6 get more data, we're going to find that it does
- 7 replace the other imaging modalities, and a
- 8 wording similar to what's been used for staging of
- 9 the malignancies in the December 15th memorandum
- 10 would be appropriate for this use in breast
- 11 cancer.
- DR. PAPATHEOFANIS: Give us a sense of,
- 13 and I know this is putting you in an awkward
- 14 position, but let's say the breast cancer
- 15 specialists at Duke, let's say the language comes

- 16 in, and it is an adjunctive test, is this going to
- 17 open the floodgates, is there discretion, is there
- 18 an understanding by breast cancer specialists of
- 19 the appropriate use of PET? I mean, I'm trying to
- 20 get a sense of where the real world stands.
- DR. COLEMAN: I think that the
- 22 oncologists are learning extremely rapidly how PET
- 23 is best used in the management of their patients.
- 24 They've learned a lot with the indications that we
- 25 have now; with the expanded indication that's

- 1 coming in July, certainly they will learn more,
- 2 but I think that the oncologists are getting very
- 3 savvy on how to best utilize PET in answering
- 4 these specific questions to their patients. It's
- 5 not just going to open the door that everybody
- 6 that has breast cancer needs a PET scan. I think
- 7 that it would be specific patients with specific
- 8 questions as to does the patient have recurrent
- 9 disease, metastatic disease, and will be used
- 10 specifically with the other imaging modalities to
- 11 answer that question.
- DR. PAPATHEOFANIS: Great, thank you.
- 13 Any questions for Dr. Coleman? Thank you.
- Well, anyone good at word smithing or
- 15 are we going to just change a couple words around?
- 16 Barbara is very good with commas.
- 17 DR. MCNEIL: That's an inside joke.
- DR. PAPATHEOFANIS: That's an inside
- 19 joke.
- DR. MCNEIL: All right, I'll try a word
- 21 smithing, given what we've just said.
- Is there adequate evidence that PET
- 23 improves health outcomes as an adjunct to standard
- 24 staging tests in detecting locoregional recurrence
- 25 or distant metastases/recurrence when results from

- 1 these other tests are inconclusive?
- I think that's the spirit of what the
- 3 lung cancer, and consistent with --
- 4 DR. MANYAK: Would it be inappropriate

```
5
      to say anecdotal evidence, is that the --
   6
                 DR. PAPATHEOFANIS: No, I think she
      meant results from other imaging tests.
   7
                 DR. MANYAK: Right, but what I'm saying
   8
   9
      is we change the, instead of adequacy, anecdotal
  10
      evidence?
  11
                 (Chorus of nos.)
  12
                 DR. MANYAK:
                              That's what it is, folks,
  13
      I really think, but you know, that's okay, we
  14
      don't have to call it that.
                             There was a consensus that
  15
                 DR. TUNIS:
  16
      that was a bad idea though.
  17
                 (Laughter.)
  18
                 DR. MANYAK:
                              That's the first thing
  19
      everybody agreed on today.
  20
                 MR. KLEIN:
                            Is it worth reading -- I
  21
      think that was pretty good what Barbara put
  22
      together -- is it worth reading the lung statement
  23
      again, just in case there's a little trailer there
  24
      that might be interesting to add?
  25
                 DR. PAPATHEOFANIS: Well, you know, the
00258
      lung is for the lung, and I think we want to move
   1
      beyond that, because I think the language there
   2
      was a little different than what we're hearing
   3
      today, and I think we're pushing this as much as
   4
   5
      we can.
   6
                             I mean, just to respond, on
                 DR. TUNIS:
   7
      the lung issue, we were careful to make sure that
      the approved indications in December, you know,
   8
     met reasonable but at least minimum standards of
   9
  10
      scientific adequacy of evidence, so it wasn't a
  11
      gimme or something like that. So you know,
  12
      without being able to cite you how big the study
      was or what flaws it was, there was at least one
  13
  14
      good study in this area, and that clearly exceeded
      the margin of anecdotal evidence. Beyond that, I
  15
  16
      can't say much about the lung question, but I
  17
      think this has to stand or fall on its own merit.
  18
                 DR. PAPATHEOFANIS: I agree, so I think
  19
      we should back off from the lung analogy because I
  20
      think we've taken this as far as we can. And I
```

- 21 think that rather than use the word anecdotal, I
- 22 think what we're trying to say and while we're
- 23 trying to be consistent with previous policy, is
- 24 that there is a certain level of data, there is
- 25 some discrepancy in the interpretation of those

- 1 published reports, there is a significant body of
- 2 anecdotal information, and we're taking all of
- 3 that into account in changing the language and
- 4 voting on that.
- 5 So with that, if you want to reread --
- 6 I'm sorry, go ahead, Donna.
- 7 MS. NOVAK: I had a question. Because
- 8 of the wording of inconclusive, does some of this
- 9 evidence actually indicate that it's better? I
- 10 guess maybe if it does not indicate more lesions,
- 11 then you can say that's inconclusive and go to the
- 12 next step. I'm just having a little problem
- 13 because it seems like there's some evidence that
- 14 it might be a better test.
- DR. MCNEIL: I was using the word
- 16 inconclusive with regard to the results of the
- 17 tests.
- MS. NOVAK: I understand that, right.
- 19 I understand that, and I guess I wanted to make
- 20 sure that there is enough leeway that if a
- 21 physician felt it was a better test, that they
- 22 could go on, even though there might be some --
- DR. PAPATHEOFANIS: You mean skip the
- 24 test in between that might turn out to be
- 25 inconclusive?

- 1 MS. NOVAK: It's an adjunct, so you
 - 2 can't skip the tests, but I guess I'm saying that
 - 3 -- maybe I'm convincing myself that if the
 - 4 original test doesn't show any additional lesions,
- 5 we could say that's inconclusive because it didn't
- 6 show anything, and go on to the next step.
- 7 DR. GUYTON: But if there's significant
- 8 clinical suspicion, that would be the plan.
- 9 DR. NOVAK: I just needed to convince

- 10 myself that there would be some way a physician
- 11 could order those tests if the first test they
- 12 didn't accept, for whatever reason.
- DR. PAPATHEOFANIS: Go ahead,
- 14 Dr. Conti.
- DR. CONTI: I think it's important
- 16 clinically to understand that we may need to know
- 17 tumor burden to make certain decisions in these
- 18 patients, so you might have an equivocal finding
- 19 that's on a bone scan or CT scan, and even if it
- 20 is perhaps a solitary lesion, you might act
- 21 differently than if you knew you had widespread
- 22 metastatic disease. So I think you need to have
- 23 enough flexibility in this indication to allow
- 24 physician discretion, because that decision, the
- 25 physician has in his mind a certain pathway that

- 1 he or she is going to go down if they know certain
- 2 pieces of information.
- 3 So you might have a test that has one
- 4 lesion, but if they have two, they're disqualified
- 5 perhaps from a particular protocol, so I think you
- 6 -- make sure that we have enough flexibility so
- 7 that the physicians ordering the tests have enough
- 8 discretion to determine which tests, or which
- 9 pathways to choose from.
- DR. GUYTON: But you're talking to Sean
- 11 at HCFA, you're not talking to us, because we have
- 12 to make a decision based on the evidence that's in
- 13 front of us.
- DR. CONTI: The issue that I'm --
- DR. GUYTON: But that's not the issue
- 16 that we're talking about.
- DR. CONTI: I'm talking about the word
- 18 inconclusive. I just want to make sure we
- 19 understand what the use of that word is, because
- 20 inconclusive might mean that there is no evidence,
- 21 it might mean that there is an equivocal finding,
- 22 or might be the patient has widespread disease
- 23 from some other process.
- DR. MANYAK: But that's the definition
- 25 of inconclusive, I believe, isn't it?

00262 DR CONTI: Indeterminate might be a 1 2 better word rather than inconclusive because you might make a conclusion, or you might be 3 inconclusive because you're not --4 5 DR. MCNEIL: Well, maybe, I quess two The Bender article that meets the 6 comments. criteria said undecided or equivocal, so maybe 7 that would be more appropriate. But my guess is, 8 I would vote against the motion as I just word 9 10 smithed if it this were to be used to measure tumor burden. These data that are presented to us 11 have nothing to do with tumor burden in a 12 quantitative sense, they just had to do with sites 13 of disease, so I think if you want to introduce 14 15 that, then that should be put on the table as another question. If it gets rolled up into this 16 one, you will change my way of thinking. 17 DR. CONTI: Well, we can forget the 18 discussion of tumor burden, that's not a problem. 19 20 (Laughter.) 21 DR. MANYAK: Boy, did you scare him 2.2 off. DR. MCNEIL: Well, no. I think we have 23 to read the data the way we've got it. 24 DR. MANYAK: I agree with you, I think 25 00263 1 you're absolutely right. 2. DR. TUNIS: On that point I quess I would ask Dr. Abrams. I mean, what I understand this motion to be about is that if the clinical 4 5 information would potentially change the management strategy, treatment strategy, then 6 7 that's relevant information. So if it's a 8 solitary lesion versus ten lesions then we need to know that. You know, you as a clinician would 9 know in breast cancer. I figure one lesion in the 10 bone is enough, you don't need to know that 11 there's ten, but I'm not an oncologist. 12 13 DR. ABRAMS: I would agree with you

that if the CT scan gave me five metastatic

- 15 lesions in the liver and a PET scan gave me seven,
- 16 I'm not sure that would help me very much, so I'm
- 17 not sure I would need the PET scan in that
- 18 circumstance. On the other hand, if I had
- 19 elevated liver enzymes, couldn't find any other
- 20 explanation, the CT was negative, maybe a PET scan
- 21 would be indicated in that circumstance. So I
- 22 mean, that's how clinicians will have to use this.
- 23 And I agree with you, it should be to inform
- 24 decision making.
- 25 And with that in mind, I just, you

- 1 know, my interpretation of health outcomes
- 2 included that. Some people use the word health
- 3 outcomes as you know, end points of survival or
- 4 disease free, those sorts of things. I included
- 5 in health outcomes that it changes one's decision
- 6 making and that may affect treatment choices which
- 7 have their own morbidity, et cetera. So, I just
- 8 wanted to make sure we were okay with health
- 9 outcomes as well.
- DR. PAPATHEOFANIS: Sure. Any more
- 11 word smithing?
- MS. ANDERSON: I'm going to go ahead
- 13 and read what we have so we know what we're
- 14 looking at and see if there's a word or two that
- 15 we want to change.
- 16 Is there adequate evidence that PET
- 17 improves health outcomes as adjunct to standard
- 18 staging tests in detecting locoregional recurrence
- 19 or distant metastases recurrence when results from
- 20 other tests, and some did mention imaging may be
- 21 placed in this area, are inconclusive? So it's
- 22 either tests or imaging tests.
- DR. MCNEIL: Just tests.
- DR. PAPATHEOFANIS: Did you have a
- 25 comment, Carole?

- 1 DR. FLAMM: Well, I quess I'm just
- 2 wondering if there's going to be a companion piece
- 3 of what's left over after we've modified this.

```
Are we splitting this out basically into two
   4
   5
     different questions and votes? Is there going to
     be any specific discussion as a replacement for?
   6
  7
     That's kind of being silent then, if we change the
      language just to be a vote on PET as an adjunct
  8
  9
      to, there is something left over.
 10
                 DR. GUYTON: I think the committee
 11
      could decide to do that if they wanted to.
 12
                 DR. PAPATHEOFANIS: What would help
 13
     you, Sean?
 14
                 DR. TUNIS: Well, it sounds like you
 15
     dropped the replacement because the feeling was
 16
      there may not be any evidence on that, so it
 17
     probably would be useful to frame that as a
     question and then vote on it, since it is part of
 18
 19
      this question.
 20
                 DR. PAPATHEOFANIS: So it's two
 21
     questions then. One is replacement, and the other
 22
      is the new one, the word adjunct. Okay. Any
 23
     other word smithing? Let's start with the one
     where the words replacement are left in place.
  24
 25
                 MS. ANDERSON: Could I have a motion?
00266
  1
                 DR. MANYAK: So move.
   2
                 DR. MCNEIL: Could you read that again?
   3
                 DR. GUYTON: Wait a minute. Why don't
     we stick with the one that we smithed?
   4
   5
                 DR. PAPATHEOFANIS: Well, we've smithed
  6
     both really and created new ones, but okay, let's
  7
     do that. We're going to go with the one that you
      created, Barbara.
  8
  9
                 DR. MCNEIL: So that the outcomes as an
 10
     adjunct to, that one.
 11
                                I need a motion to vote.
                 MS. ANDERSON:
 12
                 DR. MANYAK: So move.
 13
                 DR. GUYTON:
                              I will second, if you will
 14
     read it again.
 15
                 MS. ANDERSON:
                                This is the question.
 16
     Is there adequate evidence that PET improves
 17
     health outcomes as adjunct to standard staging
 18
     tests in detecting locoregional recurrence or
 19
     distant metastases recurrence when results from
```

```
other tests are inconclusive? That's what we
 20
  21
     have.
 22
                 DR. MANYAK: I think the wording was,
 23
     when results from these tests are inconclusive.
                 DR. MCNEIL: From other.
 24
  25
                 (Inaudible colloquy.)
00267
                 DR. MANYAK: Leave other, okay.
  1
   2
                 DR. MCNEIL: Is there a value in having
   3
     this second recurrence in here, distant
     metastases/recurrence, is that any value?
   4
   5
                 DR. GUYTON: Yes. It could be both, it
  6
     could be several times recurrent disease.
                 DR. PAPATHEOFANIS: Any further
  7
  8
     comments on the language as it stands now?
  9
                 MS. ANDERSON: Okay. We have the
 10
     motion, so I'm just going to carry the motion and
 11
     we will vote on the language that I just read.
 12
                 DR. PAPATHEOFANIS: Well, on the motion
 13
     itself.
 14
                 MS. ANDERSON: Those voting for?
                                                   We
     have five votes for. Those who are voting
 15
     against? Those who are abstaining. We have five
 16
     votes for and one abstention.
 17
 18
                 DR. PAPATHEOFANIS: Boy, would we want
 19
     to know what why you abstained.
 20
                 DR. LERNER: I am trying to cope with
 21
     the burden of evidence. I guess I'm not
 22
     comfortable and I quess I need to see more and in
 23
     a sense, the people who voted yes said they wanted
 24
     to --
  25
                 DR. PAPATHEOFANIS: So your conflict is
00268
     with the literature, the evidence in the
  1
   2
      literature.
   3
                 DR. TUNIS: And it would on this one,
   4
     it would help to know that Dr. Manyak had proposed
     the word anecdotal I think to reflect some sense
   5
   6
     within the conversation that while the evidence.
   7
     while you just voted that the evidence was
```

adequate, that my sense from this discussion was

- 9 that the committee felt that it was barely
- 10 adequate or just adequate, and maybe that's what
- 11 you're saying, Dr. Lerner. I just want to make
- 12 sure that if anybody on the committee disagrees
- 13 with that characterization, they can let us know
- 14 now, just because that may, we would take that
- 15 into account as we discuss this internally.
- So even though there is no such thing
- 17 as saying barely adequate, you have voted that
- 18 it's adequate, but the sense I'm taking away from
- 19 the conversation is that it sort of just got over
- 20 the line, and if somebody disagrees with that on
- 21 the committee, I would be interested in hearing
- 22 that.
- DR. GUYTON: Well yeah, I think it
- 24 probably does meet a higher standard than that.
- 25 Jeff was talking about it earlier when he said

- 1 that the propensity of the clinical evidence that
- 2 was presented was very positive for this
- 3 particular indication, and I think we've heard
- 4 very strongly from the people who are involved in
- 5 the clinical activities related to this process
- 6 that they are convinced themselves and they have
- 7 convinced us that the evidence is adequate, and I
- 8 think it's more than just barely adequate.
- 9 DR. MCNEIL: Sean, I actually think
- 10 it's barely adequate and I think that I voted yes
- 11 for this, but I voted because it just hit the
- 12 line, but if we were to have other studies like
- 13 this, with this level of evidence, I'm not sure I
- 14 would vote yes again. I mean, I think this was
- 15 kind of a, it was that close to me and on another
- 16 day, if I woke up on the wrong side of the bed, I
- 17 just might not be able to vote yes with this level
- 18 of evidence.
- DR. TUNIS: Good thing you flew first
- 20 class.
- 21 (Laughter.)
- DR. MANYAK: I would also like to add
- 23 that I believe it was barely adequate. I mean,
- 24 the dust cleared and the runner was safe at the

25 plate, and that's really the way I looked at it.

00270

- 1 It was slightly over the line, enough to convince
- 2 me after this discussion, but I still like
- 3 anecdotal even though you guys don't like it, but
- 4 in the spirit of moving forward, I will desist
- 5 from any further discussion.
- 6 DR. FLAMM: I would also agree that it
- 7 was a very borderline decision for me, and that
- 8 one of the elements was that these patients in a
- 9 highly selected kind of way may be few and far
- 10 between, the problem of equivocal cases, and that
- 11 may be a harder to study population, and may be
- 12 justification for the way I voted.
- DR. PAPATHEOFANIS: That makes sense.
- 14 I think it also points to the use of information
- 15 that is not in the peer reviewed literature and
- 16 how that was used in this example, for those who
- 17 are in attendance in the audience, to really make
- 18 a decision on a really hard one. And I agree,
- 19 hopefully it will be a population that's very well
- 20 screened and preselected and the technology is
- 21 used appropriately.
- So with that, we have one more.
- DR. MCNEIL: No, we have two more.
- DR. PAPATHEOFANIS: Oh, we have to do
- 25 the replacement. I apologize.

- DR. BURKEN: I would kindly ask the
 - 2 chair to ask the committee to vote on the size of
 - 3 the effect, since we did vote in the affirmative
 - 4 on this question, to examine that question of
 - 5 effect size in keeping with the EC recommendations
 - 6 several months ago.
 - 7 DR. PAPATHEOFANIS: Well, let's have a
 - 8 discussion on the effect size, if you want to
 - 9 start us off with that, Mitch.
- DR. BURKEN: Earlier, to take us back
- 11 to 8:30 this morning, I had talked about the
- 12 seven-point scale that was recommended by the
- 13 Executive Committee, and I would ask that the

- 14 panelists consider placing this effect size into a
- 15 range ranging from not effective to less
- 16 effective, as effective, more effective, and
- 17 breakthrough technology, with some breakdowns
- 18 within the less effective and as effective range,
- 19 as I talked about this morning.
- I know it's not, you know, not the
- 21 easiest thinking to break down some of this stuff
- 22 that has some intangibles into a neat discrete
- 23 category, but I would ask that we give it our best
- 24 shot.
- MS. NOVAK: The way the question is

- 1 worded, it says better health outcomes, so I think
- 2 we're almost voting that it's more effective, or
- 3 has the potential of adding something.
- 4 DR. GUYTON: It could be as effective
- 5 with advantages.
- DR. PAPATHEOFANIS: Right. The degree
- 7 is what he is getting after.
- 8 MS. NOVAK: Yes, but the improved
- 9 health outcomes to me as part of the definition
- 10 would be that means it is more effective, because
- 11 we said it has improved health outcomes.
- DR. PAPATHEOFANIS: But I think in that
- 13 sense it's from the baseline condition of the
- 14 patient who's having the study done. I think it's
- 15 not a generic improvement of health outcomes. In
- 16 other words, someone who's ill who experiences --
- 17 DR. GUYTON: That's not the way I
- 18 interpreted it.
- DR. PAPATHEOFANIS: How would you
- 20 interpret it?
- DR. GUYTON: Compared to the other
- 22 strict staging tests that are available.
- DR. PAPATHEOFANIS: Okay, you can do
- 24 that. We still need an effect size.
- DR. GUYTON: Then it's either as

- 1 effective with advantages or more effective, as
- 2 far as I'm concerned. I don't consider it

```
3
     breakthrough.
   4
                 DR. PAPATHEOFANIS: We have seven
   5
     categories?
   6
                 DR. BURKEN: That's correct.
                DR. PAPATHEOFANIS: We're not going to
  7
     go to one extreme or the other so we won't, and I
  8
     don't mean to put words in anyone's mouth, but you
  9
      just suggested it's not a breakthrough. If anyone
 10
     think it's not effective at all, obviously you
 11
 12
     wouldn't have voted the way you did, so the two
 13
      extremes are pretty much out.
                 DR. BURKEN: Let me clarify that,
 14
     Dr. Papatheofanis. You can have adequate evidence
 15
      in part one but the evidence could be extremely
 16
     negative, at which point it would be not
 17
     effective. In this case, we've had some evidence
 18
     that is positive, but you know, so that has kind
 19
     of taken not effective out of the picture.
 20
 21
                 DR. PAPATHEOFANIS: So what are the
     middle five categories, or what categories are
 22
 23
     missing, I should ask.
 24
                 DR. BURKEN: Well, the middle five is
      less effective without any advantages such as
  25
00274
     tolerability or convenience, less effective with
  1
     advantages, as effective without advantages, as
   2
     effective with advantages, or more effective. And
   3
      I know these are kind of slippery categories in
     spots, but again, this is just a framework that
   5
     was put in front of us several months ago.
  6
  7
                 DR. PAPATHEOFANIS: Okay. Barbara?
                 DR. MCNEIL: Frank, I guess I -- do we
  8
     have to vote on this? Because I'm going to
  9
     abstain, I don't know how to answer the question,
 10
     because we have, even if the data were compelling,
 11
 12
     you know, if it were 70 percent instead of 50.1,
     the health outcomes are a little bit hard for me
 13
      to quantify on this scale. I understand what Jeff
 14
     said is really what it's doing is improving
 15
 16
     treatment strategies, and the associated health
     outcomes are going to vary with what treatment is
 17
      changed to what for what organ.
 18
```

```
19
                 DR. GUYTON: Isn't the effectiveness of
      the detection, because we're saying that it's used
  20
  21
      to detect locoregional recurrence and distant
  22
     metastases, and the question is, how effective is
  23
      it in detecting locoregional disease or metastasis
  24
      or recurrence.
  25
                 DR. MCNEIL: I don't think that's how
00275
      they formulated the --
   1
   2
                 MR. KLEIN: I think we may want to
   3
      hinge where we score this on the choice of words
      adjunctive versus replacement, because I think the
   4
   5
      reason it was for adjunctive as opposed to
      replacement has some relationship to the perceived
   6
      effectiveness. I'm curious what effectiveness
   7
   8
      with advantages typically means, Mitch.
   9
                 DR. BURKEN: What I'm going to do is
  10
      kind of answer your question in a more reflective
  11
               These are interim guidelines that have
  12
      been suggested by the Executive Committee.
  13
      turns out that when we put them into play, they
      may not play out as easily as we would have liked,
  14
      you know, so the very fact that we are wrestling
  15
      and grappling with this and maybe having a hard
  16
  17
      time with it may mean that we need to go back to
      the Executive Committee and consider some other
  18
      ways of trying to quantity or scale these effects,
  19
      or maybe not scaling them all. So I would leave
  20
  21
      it up to the committee to try to wrestle, and the
  22
      Executive Committee can I think get some good
      feedback from this discussion.
  23
  24
                 DR. PAPATHEOFANIS: Donna?
  25
                 MS. NOVAK: I think the with
00276
      advantages, I can see if it's noninvasive, versus
   1
   2
      the current procedure which is invasive, if
   3
      there's a quicker diagnostic time because of
      whatever, I would think that's what you're talking
   4
```

There's two things I think you're

5

7 asking for. One, is it more effective than the

about with advantages, does it have, you know --

- 8 current procedures and I agree with you, that it's
- 9 effective in diagnosing, not in curing, although
- 10 there is certainly some type of relationship
- 11 there. And then the second is, is there any
- 12 advantage over the current procedures, and I don't
- 13 think we've heard any testimony about that at all.
- 14 I mean, we might know, but I don't think there is
- 15 anything that has been written up as far as
- 16 advantages.
- DR. BURKEN: And again, the two
- 18 potential types of advantages that come quickly to
- 19 mind are convenience and tolerability of a
- 20 particular tests, and maybe others.
- DR. PAPATHEOFANIS: The others that are
- 22 listed, the language in the interim guidelines is
- 23 convenience, rapidity of effect, fewer side
- 24 effects, and other advantages, and that's under
- 25 category three, which is effective but with

- 1 advantages.
- 2 So, let's say we don't want to vote on
- 3 size of health effects, or the committee chooses
- 4 not to. Is that an option?
- DR. BURKEN: I certainly think it would
- 6 be, and it would send certainly some message to
- 7 the Executive Committee.
- 8 MS. NOVAK: Are we going to vote on
- 9 whether we are going to vote, or is that --
- DR. PAPATHEOFANIS: Well, we need a
- 11 motion. If there is no more discussion, we need a
- 12 motion that you're not going to vote on it, and so
- 13 if the motion is that, then we will vote on the
- 14 fact that you will not vote on this.
- DR. GUYTON: I quess my question is is
- 16 it, are we voting on the effectiveness of the
- 17 improvement in health outcomes or the
- 18 effectiveness of the test in detecting disease.
- 19 That's the real issue, I think.
- DR. BURKEN: To go back to this morning
- 21 when I stood up, I said everything we're talking
- 22 about in PET today is compared to something else,
- 23 so it would be the effectiveness of PET versus

- 24 conventional diagnostic tests, so that's, you
- 25 should always think of PET and its comparator or

- 1 comparators.
- DR. PAPATHEOFANIS: Does that help?
- 3 DR. GUYTON: Well, I quess it still
- 4 raises the question of are we comparing it in
- 5 terms of detecting disease or improving health
- 6 outcomes.
- 7 DR. BURKEN: Improving health outcomes
- 8 is what we're trying to do here.
- 9 DR. ABRAMS: But, I just think this
- 10 gets to what I brought up earlier. I mean, the
- 11 only thing that an imaging modality can really do
- 12 is help you make a different decision about the
- 13 treatment, and that eventually depending on how
- 14 good that treatment is, will or will not affect
- 15 the overall health outcome. But if you use health
- 16 outcome in a very broad sense, making a different
- 17 decision, you know, give radiation, not give
- 18 radiation, that, I mean, I think if it didn't
- 19 affect the decision, because we went through this
- 20 earlier, then you wouldn't want to use it as an
- 21 adjunct.
- I mean, if you were just doing it to
- 23 have another test, it doesn't make any sense, so
- 24 I, you know, I take this as a whole, and that's
- 25 just a subjunctive clause in the sentence, and

- 1 basically my thinking about this was the reason it
- 2 could be as effective or more effective in certain
- 3 circumstances. This advantages and stuff, I must
- 4 confess, I'm not sure what that really means in
- 5 this context. But it has to be as effective or
- 6 else you wouldn't have voted yes, and it may be
- 7 more effective in certain circumstances.
- 8 DR. LERNER: I'm just wondering whether
- 9 we're trying to fit a square peg into a round
- 10 hole. I think that maybe this was developed to be
- 11 an overall set of categories, and what we really
- 12 found here was something that doesn't quite fit.

```
13
                 DR. PAPATHEOFANIS: Isn't
     generalizable?
 14
                 DR. LERNER: Right. And maybe we're
 15
 16
     better off rather than trying to make that fit in
 17
     an uncomfortable way to simply say back to the
     Executive Committee, maybe for situations like
 18
 19
     this, you need something else.
 20
                 DR. GUYTON: Actually, I agree with
 21
     Jeff with regard to his characterization that,
 22
      improving health outcomes in that regard, and I
 23
     will move the question.
 24
                 DR. PAPATHEOFANIS: What is the
  25
     question then?
00280
  1
                 DR. GUYTON: Vote on the effectiveness.
   2
                 DR. PAPATHEOFANIS: To go ahead and
   3
     vote on the effectiveness?
   4
                 DR. GUYTON: Right.
   5
                 DR. PAPATHEOFANIS: And how would you
  6
      categorize it in the seven categories?
  7
                 DR. GUYTON: If you want me to
  8
      categorize it in the seven, I'll say more
     effective.
  9
                 DR. PAPATHEOFANIS: So more effective,
 10
     the new intervention improves health outcomes by a
 11
 12
      significant albeit small margin, as compared with
     established services or medical items.
 13
 14
                 DR. GUYTON: Uh-huh.
 15
                 MS. NOVAK: I will second that.
 16
                 DR. PAPATHEOFANIS: Any discussion on
 17
      that? Let's go to a vote.
 18
                 MS. ANDERSON: Okay. We're voting on
 19
     whether the effect size is considered more
 20
     effective, just the language more effective.
 21
     Those voting for? Those voting against? And
 22
      those abstaining.
  23
                 I believe we have two votes for, one
 24
     vote against, and three abstentions. That means
  25
     the vote does not carry, but the information is in
```

1 the record.

2 DR. PAPATHEOFANIS: Let's go back to question four but with the different language 3 that includes the words replacement for, and I'd 4 just like to move ahead. 5 MS. NOVAK: Excuse me. 6 I have a problem with leaving the "or" in. 7 I think maybe what you have to do is take out the "adjunct to" 8 9 and then vote on the other half of the question, 10 the replacement for. 11 DR. PAPATHEOFANIS: I think that would be clear, so does someone want to provide some 12 13 language here? 14 DR. FLAMM: Improves health outcomes as 15 a replacement for, blah, blah, blah. (Inaudible colloquy.) 16 17 MS. ANDERSON: So what we're voting on, 18 is there adequate evidence that PET improves 19 health outcomes as a replacement for standard 20 staging tests in detecting locoregional recurrence 21 or distant metastases recurrence? Those voting 22 for? Those voting against? We have a unanimous 23 against vote. 24 DR. PAPATHEOFANIS: Great. Let's move 25 on. Last question. Is there adequate evidence 00282 that PET can improve health outcomes by providing 1 either a more accurate or an earlier determination 2 3 of tumor response to treatment compared to the use 4 of conventional response criteria which may rely 5 upon clinical exam and/or standard imaging tests, for example CT, MR or bone scan. Any discussion 6 on this one? 7 8 DR. TUNIS: Let me just maybe mention one thing again from our previous coverage policy 9 related to monitoring therapy, which is, what we 10 11 cover for the other oncologic indications is restaging after the completion of a planned course 12 of chemotherapy or therapy, but that monitoring 13 14 during a planned course of treatment to look for 15 tumor response is not covered. That's for the 16 other cancers just so you know what existing policy is, so we did not elect to cover monitoring 17

- 18 a response to therapy during a planned course of
- 19 treatment, but did allow for coverage of restaging
- 20 following the completion of a planned course of
- 21 treatment.
- DR. PAPATHEOFANIS: Any discussion?
- 23 Any additional information that anyone would need
- 24 from the audience on this one?
- DR. WAHL: If I could comment? It

- 1 appears not.
- DR. PAPATHEOFANIS: Go ahead.
- 3 DR. WAHL: Sean mentioned that the
- 4 response therapy wasn't covered in the other
- 5 tumor, since you pointed out, it should be
- 6 mentioned that breast cancer has been studied
- 7 probably more extensively in terms of sequential
- 8 studies and response to treatment than many of the
- 9 other cancers, and probably that's why you are
- 10 considering it as a fifth question.
- DR. TUNIS: Well, I wasn't proposing
- 12 that that should be the model for this coverage,
- 13 just that they should know what the coverage was
- 14 for the other. It seems to me this hinges a lot,
- 15 and maybe Dr. Abrams, you could fill in here to
- 16 what extent the treatment of breast cancer is a
- 17 trial and error or multiple options, you try
- 18 something and you look for signs of recurrence and
- 19 how often those signs -- I means signs of
- 20 response, and how often things that are detected,
- 21 how PET could add something there. Does that
- 22 question make sense?
- DR. ABRAMS: Yeah. I mean, I think the
- 24 type of research studies that have been presented
- 25 the us and that are being published, the Mortimer

- 1 study that recently was published, the hormonal
- 2 therapy study that Dr. Wahl cited, these are very
- 3 exciting, because if we could have something that
- 4 we could rely on like a PET scan fairly soon into
- 5 a treatment to tell us that treatment was working
- 6 and we didn't have to wait for the longer end

- 7 point of response rate on standard scans that
- 8 usually takes at least a minimum of four weeks and
- 9 maybe eight weeks, you could spare people
- 10 treatment that wasn't helping them, and I think
- 11 that would be beneficial in some cases.
- But I, you know, I don't think from my
- 13 reading of this yet, that the evidence supports
- 14 that. I think that what it supports is that these
- 15 studies, again, need to be done and there is at
- 16 least sufficient evidence to do more of this type
- 17 of research and that it's promising, but I don't
- 18 know that I read anything that convinces me that
- 19 it's ready to be used in lieu of the standard
- 20 tests at this point.
- DR. PAPATHEOFANIS: Thank you.
- 22 Dr. Flamm.
- DR. FLAMM: I agree that some of these
- 24 studies are interesting and provide some
- 25 provocative results, but they are small studies

- 1 and one concern I have, especially for the studies
- 2 that do report imperfect prediction of tumor
- 3 response is that at least if a patient is going to
- 4 go on and respond to the treatment that they're
- 5 on, and you because of your PET think that they
- 6 are a nonresponder and you take them off of that
- 7 treatment to which they ultimately would have
- 8 responded and put them onto some second line maybe
- 9 not as effective treatment regimen, what have you
- 10 done to that patient, have you really helped them.
- 11 That's one of my concerns.
- DR. PAPATHEOFANIS: Okay. Any other
- 13 discussion? We need a motion to take a vote then
- 14 on question number five.
- DR. MCNEIL: I move to call the
- 16 question.
- 17 DR. MANYAK: Second.
- DR. PAPATHEOFANIS: Okay.
- 19 MS. ANDERSON: The question reads as
- 20 follows: Is there adequate evidence that PET can
- 21 improve health outcomes by providing either a more
- 22 accurate or an earlier determination of tumor

- 23 response to treatment compared to the use of
- 24 conventional response criteria, which may rely
- 25 upon clinical exam and/or standard imaging tests

- 1 such as CT, MRI or bone scan.
- 2 Those voting for? Those voting
- 3 against? And no abstentions. That's a unanimous
- 4 vote against.
- DR. PAPATHEOFANIS: Great. That
- 6 fulfills the charge of this committee. I want to
- 7 spend five minutes, and I know everyone has a
- 8 flight, but mine is not until 5:20. Everyone has
- 9 a flight to catch, but I want to spend five
- 10 minutes going back and touching on what
- 11 recommendations, if any, we can make that are
- 12 specific and that we think might be of use to HCFA
- 13 as far as the future role of this indication and
- 14 the use of this technology. Do you want to start,
- 15 Steve?
- DR. GUYTON: I think I went through the
- 17 potential studies that HCFA might do. I would
- 18 caution them to try to avoid some of the
- 19 contentious parts of the NETT trial.
- DR. PAPATHEOFANIS: So you're not a big
- 21 NETT proponent. Anyone else? Jeff.
- DR. LERNER: It's not a study per se,
- 23 but I'm just wondering whether future MCAC would
- 24 ever want to issue some guidance to people
- 25 presenting for public comments to MCAC committees

- 1 that might, you know, help them know sort of from
- 2 the get-go what panels tend to look for in
- 3 information, and I think it might be helpful.
- DR. PAPATHEOFANIS: Well, I think
- 5 that's a good recommendation. I think the folks
- 6 who spoke from the public sector and from other
- 7 vantages did an excellent job today, I think the
- 8 discussions were very focused, I think the
- 9 comments were relevant. And so they are picking
- 10 up that sort of guidance from what's out there,
- 11 but I think it can be refined maybe a step further

- 12 to some specifics, I think that's what you're 13 getting at.
- DR. LERNER: Right. I want to be
- 15 clear, I wasn't being critical of what people
- 16 presented, I just think there's a way to make it
- 17 easier for them to have a sense of what some of
- 18 the expectations are as a panel, and it's moving
- 19 for efficiency, not a criticism.
- MS. NOVAK: Along those same lines of
- 21 process, it would be helpful possibly if you
- 22 allowed individuals that were going to testify to
- 23 provide that ahead of time, and gave some time
- 24 frame of when they would have to get it in in
- 25 order to get it disseminated before we caught

- 1 airplanes.
- DR. ABRAMS: Having watched this play
- 3 out, new treatments, and I am more familiar with
- 4 drug treatments, but in other areas in breast
- 5 cancer, it seems until you have some partnership
- 6 of the research arm, the payer arm and industry,
- 7 and what the mix should be in any given treatment
- 8 may be different depending on the financial
- 9 circumstances, but until you do that, I don't
- 10 think you will get these trials done. So although
- 11 we recommended a lot of I think good trials and
- 12 ones that people would like to do, it is true that
- 13 if they are not going to find some way to pay for
- 14 them they will not be done, and we will all be
- 15 frustrated sitting around asking these questions
- 16 in another five years.
- 17 So I would hope that perhaps payers
- 18 would see it to their advantage to some degree to
- 19 pay for patients in trials so that they don't have
- 20 to support costs outside of trials which don't
- 21 answer the question and which perpetuates this
- 22 sort of lack of information.
- DR. GUYTON: It wouldn't necessarily
- 24 have to be limited to payers, it could be
- 25 manufacturers or pharmaceutical companies or

```
1
      whatever.
   2
                 DR. ABRAMS: That's why I said
     partnership, I think it has to be a partnership.
   3
   4
                 DR. GUYTON:
                              It may have to be some
   5
      sort of request for proposal that includes not
      only the effect that the research will have, but
   6
   7
      how much it will cost the government.
   8
                 DR. PAPATHEOFANIS:
                                     That's great.
   9
                 Just to give you a thumbnail of what
      happens next, our deliberations as I say will be
  10
      summarized and passed along to Sean and then
  11
  12
      eventually to the Executive Committee for
  13
      ratification, so there will be some discussion
  14
      again at the Executive Committee. The level of
      discussion, the details and so forth, we really
  15
  16
      can't predict, but that's what happens next, then
  17
      ratification, and then I quess up to the
  18
      Administrator's office is the next step.
  19
                 Did you have anything to add before I
  20
      close?
  21
                 DR. TUNIS: Just as many of you know,
      the ratification function of the Executive
  22
  23
      Committee is set to expire as of October 1st of
      this year. However, the decisions that are made
  24
  25
      until that goes into effect will probably still be
00290
  1
      subject to Executive Committee ratification.
                                                    As
   2
      far as I know, we don't have a scheduled EC
      meeting, or do we have a tentative.
   3
   4
                 MS. CONRAD: October 17th.
                 DR. TUNIS: October 17th is the
   5
      tentative Executive Committee date. If we could
   6
   7
      find a way to get this finalized prior to then,
      which is not out of the question, we will
   8
   9
      certainly pursue that, but I guess Frank and
  10
      Barbara will be writing up their detailed summary
      of the deliberations here, which will take a
  11
  12
      little bit of time, to present to the EC.
                 DR. PAPATHEOFANIS: Well, I wanted to
  13
  14
      thank Sean for being here, and to thank Janet
     Anderson for her efforts in getting this thing
  15
      together, and all the committee members. There
  16
```

```
were rumors that some of us had died in the
  17
      two-year interval since we met, but hopefully we
  18
  19
      will meet again sooner than two years.
  20
                 MS. ANDERSON: Actually, we are not
  21
                 We have to stay in compliance so
      done yet.
  22
      there's two more things we have to do.
  23
                 The first being that I do want to
      remind everyone that continuing information can be
  24
  25
      found on our web site. Our name may have changed,
00291
       but the web site is the same,
   1
   2
      www.hcfa.gov/coverage, or you can just go to
      www.hcfa.gov and click on the coverage process.
   3
   4
                 Now, to conclude today's session, would
   5
      someone please move that the meeting be adjourned.
   6
                 DR. MANYAK:
                              So move.
   7
                 DR. GUYTON:
                               Second.
   8
                 MS. ANDERSON: And second, thank you.
   9
      The meeting is adjourned.
                 (Whereupon, the meeting adjourned at
  10
  11
      3:56 p.m.)
  12
  13
  14
  15
  16
  17
  18
  19
  20
  21
  22
  23
  24
  25
```